

# **LIFE CYCLE SUSTAINMENT OF COMMERCIAL OFF-THE-SHELF (COTS) SUPPORT EQUIPMENT**

**SSCF RESEARCH REPORT**



**May 2012**

**Thomas E. Mikolinis Sr.  
Senior Service College Fellowship**

**Project Adviser:  
John Larson  
Senior Service College Fellowship  
Defense Acquisition University  
Aberdeen Proving Ground, MD**

| Report Documentation Page  |                                    |                                     |  | Form Approved<br>OMB No. 0704-0188                  |                                    |
|--|------------------------------------|-------------------------------------|--|---|------------------------------------|
| Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. |                                    |                                     |  |   |                                    |
| 1. REPORT DATE<br><b>MAY 2012</b>  |                                    | 2. REPORT TYPE                      |  | 3. DATES COVERED<br><b>00-00-2012 to 00-00-2012</b> |                                    |
| 4. TITLE AND SUBTITLE<br><b>Life Cycle Sustainment Of Commercial Off-The Shelf (COTS) Support Equipment</b>  |                                    |                                     |  | 5a. CONTRACT NUMBER                                 |                                    |
|  |                                    |                                     |  | 5b. GRANT NUMBER                                    |                                    |
|  |                                    |                                     |  | 5c. PROGRAM ELEMENT NUMBER                          |                                    |
| 6. AUTHOR(S)   |                                    |                                     |  | 5d. PROJECT NUMBER                                  |                                    |
|  |                                    |                                     |  | 5e. TASK NUMBER                                     |                                    |
|  |                                    |                                     |  | 5f. WORK UNIT NUMBER                                |                                    |
| 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)<br><b>Defense Acquisition University,Aberdeen Proving Ground,MD,21010</b>   |                                    |                                     |  | 8. PERFORMING ORGANIZATION<br>REPORT NUMBER         |                                    |
| 9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)  |                                    |                                     |  | 10. SPONSOR/MONITOR'S ACRONYM(S)                    |                                    |
|  |                                    |                                     |  | 11. SPONSOR/MONITOR'S REPORT<br>NUMBER(S)           |                                    |
| 12. DISTRIBUTION/AVAILABILITY STATEMENT<br><b>Approved for public release; distribution unlimited</b>  |                                    |                                     |  |   |                                    |
| 13. SUPPLEMENTARY NOTES  |                                    |                                     |  |   |                                    |
| 14. ABSTRACT<br><b>In supporting the warfighter, equipment for current and future missions has been procured through commercial sources to support construction, material handling, firefighting, and many other tasks. The equipment is categorized as commercial off-the-shelf (COTS) or a nondevelopmental item (NDI) with commercial market-proven components. This equipment is procured through programs of record, rapid initiatives (RI), operational needs statements (ONS) and Rapid Equipping the Force (REF) 10-liner documentation, or local leases/purchases to support a unit's assigned mission.</b>   |                                    |                                     |  |   |                                    |
| 15. SUBJECT TERMS  |                                    |                                     |  |   |                                    |
| 16. SECURITY CLASSIFICATION OF:  |                                    |                                     | 17. LIMITATION OF<br>ABSTRACT<br><b>Same as<br/>Report (SAR)</b> | 18. NUMBER<br>OF PAGES<br><b>85</b>                 | 19a. NAME OF<br>RESPONSIBLE PERSON |
| a. REPORT<br><b>unclassified</b>   | b. ABSTRACT<br><b>unclassified</b> | c. THIS PAGE<br><b>unclassified</b> |  |   |                                    |



# **LIFE CYCLE SUSTAINMENT OF COMMERCIAL OFF-THE-SHELF (COTS) SUPPORT EQUIPMENT**

**SSCF RESEARCH REPORT**

**May 2012**

**Thomas E. Mikolinis Sr.  
Senior Service College Fellowship**

**Project Adviser:  
John Larson  
Senior Service College Fellowship  
Defense Acquisition University  
Aberdeen Proving Ground, MD**

*The Army Test and Evaluation Command security section screened this document for information validity and classification prior to publication.*

## TABLE OF CONTENTS

|  |     |
|--|-----|
| <b>ABSTRACT</b> .....                                  | vii |
| <b>CHAPTER 1—INTRODUCTION</b> .....                    | 1   |
| Background .....                                       | 1   |
| Problem Statement .....                                | 3   |
| Purpose of this Study .....                            | 3   |
| Significance of this Research .....                    | 4   |
| Overview of the Research Methodology .....             | 4   |
| Research Questions .....                               | 5   |
| Research Hypothesis .....                              | 5   |
| Objectives and Outcomes .....                          | 5   |
| Limitations of the Study .....                         | 5   |
| Validity of the Research .....                         | 6   |
| Reliability of the Responses .....                     | 6   |
| <b>CHAPTER 2—LITERATURE REVIEW</b> .....               | 7   |
| Research Project Requirements .....                    | 7   |
| <b>CHAPTER 3—RESEARCH METHODOLOGY</b> .....            | 15  |
| Research Hypothesis .....                              | 15  |
| Research Process .....                                 | 15  |
| Data Collection .....                                  | 15  |
| How Were the Data Collected? .....                     | 15  |
| <b>CHAPTER 4—FINDINGS</b> .....                        | 17  |
| Population and Sample Size .....                       | 17  |
| Collected Data—Demographics .....                      | 19  |
| Collected Data—CLS Related .....                       | 21  |
| Training and Manuals .....                             | 21  |
| CLS Involvement .....                                  | 26  |
| Deployment, Operations, Overall Comments .....         | 31  |
| <b>CHAPTER 5—CONCLUSIONS AND RECOMMENDATIONS</b> ..... | 39  |
| Population and Sample Size .....                       | 39  |
| Demographics .....                                     | 40  |

|  |    |
|--|----|
| Training and Manuals .....   | 41 |
| CLS Involvement and Repair Activity .....  | 41 |
| Unit Operations and Deployment .....   | 43 |
| Miscellaneous Data Points and Comments .....   | 44 |
| Overall Analysis of Hypothesis Based on Data .....   | 45 |
| <b>REFERENCES</b> .....  | 47 |
| <b>GLOSSARY OF ACRONYMS AND TERMS</b> .....  | 49 |
| <b>APPENDICES</b>  |    |
| APPENDIX A—SURVEY INSTRUMENT .....   | 53 |
| APPENDIX B—DISPOSITION, BHL SYSTEMS .....  | 63 |
| APPENDIX C—DISPOSITION, HMEE SYSTEMS .....   | 71 |
| APPENDIX D—WORKLOAD BREAKOUT .....   | 73 |
| <b>LIST OF FIGURES</b>   |    |
| Figure 1. HMEE Type I Systems with CPK .....   | 1  |
| Figure 2. BHL System with Commercial Crew Compartment .....  | 2  |
| Figure 3. Total Demographics by Survey Type .....  | 18 |
| Figure 4. Total Surveys by Type Submitting CLS Data .....  | 18 |
| Figure 5. Question 3: Soldier Rank by Survey Type/Totals .....   | 20 |
| Figure 6. Question 4: Primary MOS by Survey Type/Totals .....  | 20 |
| Figure 7. Question 9: Surveys by System Type .....   | 21 |
| Figure 8. Question 11: Months of Experience by System Type .....   | 21 |
| Figure 9. Question 12: Source of Training Operator/Maintainer .....  | 23 |
| Figure 10. Leader Questions 12 and 13: Satisfaction with Training .....  | 23 |
| Figure 11. Question 13: Did You Receive Training Manuals on the System? .....  | 24 |
| Figure 12. Operator Questions 14 and 15: Did You Have Access to Manuals that Covered<br>PMCS and Operator Maintenance? .....                   | 24 |
| Figure 13. Leader Question 15 and Maintainer Question 14: Did You Have Access to<br>Sufficient Maintenance and Parts Manuals? .....            | 25 |
| Figure 14. Leader Question 16: Did CLS Training at Unit Level Meet Needs? .....  | 25 |
| Figure 15. Operator Questions 16 and 17: Did Your System Require CLS, and, if so,<br>Approximately How Many Times Since You Received It? ..... | 27 |

|  |    |
|--|----|
| Figure 16. Leader Questions 17 and 18: Did CLS Meet Unit Needs for Repairs and Services? .....                                       | 27 |
| Figure 17. Operator/Maintainer Question 18: How Long Did CLS Exist in Your Unit at the Time of this Survey? .....                    | 28 |
| Figure 18. Operator/Maintainer Question 19: How Many Repairs Were Warranty Related?.....   | 28 |
| Figure 19. Operator/Maintainer/Leader Question 20: Nonoperational Time .....   | 29 |
| Figure 20. Operator/Maintainer/Leader Question: Compare CLS Proficiency to That of Your Unit's Organic Operational Maintenance ..... | 30 |
| Figure 21. Operator/Maintainer/Leader Question: Did You or Your Unit Use the System While Deployed? .....                            | 32 |
| Figure 22. Operator/Maintainer/Leader Question: Did CLS Negatively Affect Your Unit's Mission? .....                                 | 33 |

## LIST OF TABLES

|  |    |
|--|----|
| Table 1. Challenges and Mitigation Approaches: Commercial Products and NDIs..... | 8  |
| Table 2. Spectrum of Logistical Support for Commercial Products.....             | 9  |
| Table 3. Modernization Options .....   | 10 |
| Table 4. Spectrum of PBL Strategies.....   | 11 |
| Table 5. Demographic Questions.....  | 19 |
| Table 6. Training and Manual-Related Questions .....                             | 22 |
| Table 7. CLS Repair-Related Questions .....                                      | 26 |
| Table 8. Operational and Comment Questions .....                                 | 32 |





## **ABSTRACT**

In supporting the warfighter, equipment for current and future missions has been procured through commercial sources to support construction, material handling, firefighting, and many other tasks. The equipment is categorized as commercial off-the-shelf (COTS) or a nondevelopmental item (NDI) with commercial market-proven components. This equipment is procured through programs of record, rapid initiatives (RI), operational needs statements (ONS) and Rapid Equipping the Force (REF) 10-liner documentation, or local leases/purchases to support a unit's assigned mission.

In many procurements, the item/system is modified, such as finishing it with chemical agent resistant coating (CARC), modifying the electrical system to accept a 24-volt North Atlantic Treaty Organization (NATO) slave receptacle and infrared or black-out drive capabilities, providing a ballistic crew or operators compartment, adding weapons or military equipment racks, lift and tie-down points, fuel system modification to support jet propellant (JP)-8 fuel, and other minor mission-related enhancements.

This research product addresses the need for COTS/NDI systems to have a complete System Support Package (SSP) that includes organic maintenance support throughout the systems' life cycles. Organic maintenance support is the ability of the operating unit to upkeep and repair the system using internal support and resources.

Using the data collected from two recently fielded Army engineer systems, this study attempted to answer the question "Is organic maintenance support required for full life cycle sustainment of COTS/NDI systems?"

The research is centered on collecting data from operators, maintainers, and leaders from the field who have experience with either the Backhoe Loader (BHL) or High Mobility Engineer Excavator (HMEE). Survey solicitations were sent to 242 Army engineer unit identification codes (UICs—UICs identify detachments, platoons, or companies) who received one or more of the 433 BHL or 269 HMEE systems issued. Both systems were fielded using contractor logistical support while the organic maintenance support plan could be designed and developed. Data collected address how these two systems were maintained in contrast to other systems in the unit and if there were any significant issues while executing unit missions in the area of logistical support.

This research paper does not address COTS/NDI equipment used in communications, automation support, chemical or biological detection, or software acquisition.

# CHAPTER 1

## INTRODUCTION

### Background

The High Mobility Engineer Excavator (HMEE) Type I, the heavy variant of the HMEE family, was established through the August 2000 Operational Requirement Document (ORD) and originally required the procurement of 1,546 systems to support Army Engineer material handling and excavation missions.

This system is a C-130 transportable 26,000-pound unit with mission-driven attachments, is approximately twice the size and weight of the Fiscal Year (FY) 1985 procured Small Emplacement Excavator (SEE), and is designed with a stronger bucket breaking force to support missions of the heavier mechanized forces.

Base configuration is a 1 cubic yard front bucket, a .27 cubic yard front hoe, and attachments such as a 6,000-pound forklift, sweeper, snowblower, sandbag filler, and plow. It has a hydraulic tool suite with chainsaw, air hammers, rock drills, augers, and other pioneer-related tools. The base model is built by JCB Inc. (named after its founder, J.C. Bamford) in Pooler, GA. A picture of the current production representative HMEE system is located at Figure 1. The HMEE has a removable armored crew protection kit (CPK) capable of providing protection from improvised explosive devices (IEDs) and small arms fire, and can travel 65 miles per hour on hard surfaces. This system was fielded with contractor commercial contractor logistical support (CLS) in lieu of organic unit logistical support.



Photo by Army Test and Evaluation Developmental Test Team

**Figure 1. HMEE Type I Systems with CPK**

CLS is defined as external nonmilitary support usually provided by the system vendor or other commercial contractor who can repair and perform services on the system. In most cases, this support is provided through a supplemental contract and is independent of warranty-related repairs or services.

The Backhoe Loader (BHL) started out as the HMEE Type III program and was originally introduced by Caterpillar Inc. during the 2003 vendor solicitations to provide an existing tractor to the Army. It is a modern version of the John Deer 410-D backhoe of the late 1970s and it is a non-self-transportable digging asset in the field used to support lines-of-communication installation, to counter emplaced mobility obstacles, to support mobility, and for general construction excavation. Case Inc., (named for its founder, J. I. Case) received the final contract during solicitations to produce approximately 700 systems over a 5-year period starting in 2006.

A picture of the current production representative BHL system is located at Figure 2. The BHL requires a tractor-trailer to transport it to the job site and has a maximum effective road speed of 20 miles per hour if it must be driven from mission location to location. The BHL system, like the HMEE Type-I, was fielded using CLS. The program manager (PM) has added an armored CPK to meet Coalition Forces Land Component Command (CFLCC) requirements for use in Operation Enduring Freedom, and both systems were used to support Operation Iraqi Freedom/New Dawn.



Photo by Army Test and Evaluation Developmental Test Team

**Figure 2. BHL System with Commercial Crew Compartment**

As of the date of this document, neither the HMEE nor the BHL systems meet acquisition requirements for full material release due to shortfalls in supportability according to Army Regulation (AR) 700-142. In addition, both systems, as systems of record, still are required to transition to organic sustainment to meet the systems full life cycle maintenance requirements as identified in AR 700-127.

### **Problem Statement**

Is organic maintenance support required for full life cycle sustainment of COTS/NDI systems?

### **Purpose of this Study**

This study looks at how CLS has been used during the initial fielding of the BHL and HMEE in the absence of organic unit maintenance. The maintenance elements and requirements in AR 700-127 as they apply to COTS/NDI systems and how this may differ from application to developed systems will be used as the baseline for this study. Due to the war effort, many COTS/NDI systems were issued to deployed units after meeting minimal material release requirements by using CLS to meet short-term maintenance requirements. With most systems, fielding and support plans were drafted to emplace organic maintenance later. Logistical requirements such as parts provisioning, technical manuals (TMs), and maintenance allocation chart (MAC) production, identifying repair parts demand using reliability or contractor supplied repair data, operator-maintainer training packages, and a logistics demonstration (LD) to verify their accuracy were not in place as of the date the data were collected for this report.

If organic Soldier support is the life cycle maintenance strategy, then a suitable support concept and system support package (SSP) is required and must be developed by the program management office (PMO). The SSP includes all the items listed above along with test, measurement, and diagnostic equipment (TMDE) compatibility. This becomes a significant challenge for the PMO fielding COTS/NDI equipment, for most COTS/NDI systems are on a shortened acquisition cycle (as short as 12 months).

With many systems purchased to support CFLCCs effort, the SSP development is overlooked, is abbreviated, or CLS is the maintenance plan until the SSP development (typically 2 to 4 years if done correctly) can be completed for the procured system.

AR 700-142 provides guidance on the requirements for levels of material release. A system with full material release (FMR) is considered safe, suitable, and supportable within its

operational parameters. Adequate, cost-effective sustainment support is required for COTS/NDI systems, just as with developed systems. Department of Defense Instruction (DoDI) 5000.02, December 2008, states that COTS/NDI procurement is the preferred method of acquisition with the intent of lowering total life cycle costs (LCC). This allows the COTS/NDI system to enter the acquisition cycle at Milestone C for programs of record, saving the system costs associated with development. The BHL and the HMEE COTS/NDI systems that have passed the first unit equipped (FUE) milestone are identified in Appendix B and C by unit identification code (UIC). Report data were collected from the system operators, maintainers, and leaders at these UICs.

### **Significance of this Research**

This research looks at the supportability of COTS construction equipment using CLS and compares it to supportability of COTS construction equipment fielded with organic logistical support. It addresses issues identified under both types of support and provides discussion of whether CLS should replace organic support under certain instances.

### **Overview of the Research Methodology**

The data supporting this research were collected mainly via a participant survey. All participants are operators, maintainers, or supervisors of operators or maintainers of a BHL or a HMEE system. All participants completed the demographics portion of the survey followed by a series of questions that pertained to their functional area with respect to the BHL or HMEE (operators answered operator-related questions, maintainers answered maintenance-related questions, and supervisors answered questions on system performance, training, and mission interface). The surveys were distributed by Army Knowledge On-line (AKO) e-mail using the group capability with rule sorting to identify individuals in the units who were issued the BHL or HMEE. Rules were written to sort AKO mail addresses in the general address book by UIC and Military Occupational Specialty (MOS), targeting the operators, maintainers, and supervisors by an e-mail. A letter was then sent to these groups containing the links of the survey locations to support electronic collection through the web using the SurveyMonkey tool for collection. The survey was distributed, populated, and then collected by the web tool as an e-mail attachment. A copy of the survey instrument is located in Appendix A.

Attachment data were analyzed in Microsoft Excel and merged with the SurveyMonkey data to complete the analysis. Any subjective data collected through the comment sections of the

survey that required additional investigation or clarification were documented through follow-on e-mail correspondence or phone interview. The results of this effort are found in Chapter 4.

### **Research Questions**

Is organic maintenance support required for full life cycle sustainment of COTS/NDI systems?

### **Research Hypothesis**

Organic maintenance support is required for full life cycle sustainment of COTS/NDI systems.

### **Objectives and Outcomes**

The degree of supportability currently in place with the BHL and HMEE systems and their current supportability strengths and shortfalls to the warfighter were subjectively assessed. Survey participants identified how the systems were affected by CLS from an operator and leadership perspective, any mission limitations as observed by key unit operators, maintainers and leaders, and the effects CLS on the LCC of the select COTS/NDI systems. This report identifies findings and makes recommendations to management on changes necessary to plan for and sustain current COTS/NDI equipment based on the responses of the BHL and HMEE operators, maintainers, and supervisors.

### **Limitations of the Study**

Sample size is limited to operators, maintainers, and leaders within Engineer Units who have been exposed to CLS of the BHL and HMEE and the ability of the participants to compare their experiences to that of organic logistical support to similar systems within their units. At this time, there are 433 BHL systems issued to Army Engineer Units, which have been issued to 28 Active Component UICs, 89 Army National Guard (ARNG) UICs, and 56 Army Reserve (USAR) UICs. One hundred seventy-three UICs were used through AKO group e-mail capability to capture operator, leader, and maintainer addresses for the BHL. The remaining 236 systems (of the 669 total procured to date) are issued to training units, headquarters units, or nonengineer units like Quartermaster or Military Police Companies, and were not contacted, nor were they part of the data collection effort.

Sample size for the HMEE was limited to 269 systems, which were issued to 44 Active Component Engineer UICs, 18 ARNG UICs and seven USAR UICs. Sixty-nine UICs were used



through AKO group e-mail capability to capture Operator, Leader, and Maintainer addresses for the HMEE.

### **Validity of the Research**

The survey instrument will be used for the first time with this sample size. It has no proven validity from use at this time. The survey was reviewed by subject matter experts from the program office and the Maneuver Support Center of Excellence (MSCoE).

### **Reliability of the Responses**

The reliability of the responses is estimated to be high even though the survey instrument is being used for the first time. Survey respondents (operators, maintainers, and leaders/supervisors) have training on both the system and those that were replaced by the BHL or HMEE. The data sorted and analyzed by respondent group reflect accurate feedback to support the research questions.

## **CHAPTER 2**

### **LITERATURE REVIEW**

#### **Research Project Requirements**

This literature review is intended to determine what information currently exists for the support used to maintain (COTS/NDI) systems. The focus of this research paper is on construction and material handling equipment, and construction-related tools and tool sets.

A review of SD-2 “Department of Defense (DoD) Acquisitions, Buying Commercial Items and Nondevelopmental Items,” published January 2010 by the Defense Standardization Program Office, found that it references Part 12 of the Federal Acquisition Regulation (FAR). This FAR section requires governmental agencies to use COTS and NDI “to the maximum extent practicable” to meet agency needs. Where commercial items can be used, with some limited modifications, to meet the requirements for a material solution to a Capabilities Production Document (CPD), such items, in most cases, will require some type of logistical support for routine maintenance, repair, and services throughout the system’s projected life cycle. SD-2 addresses the challenges and possible mitigation approaches in its Table 1 (found on p. 6 of the January 2010 version of *SD-2, DoD Acquisitions*) (Saunders, January 2010).

With the BHL and HMEE, as with other construction, material handling and tool sets, it is not so much the risk of the vendor going out of business but more of changes in technology and changing civilian production requirements such as the need for cleaner engines (mandated by governmental agencies like the Environmental Protection Agency) required by the commercial industry to keep up with national standards. If the government can support the commercial product in-house using organic maintenance, it eliminates the need to support a system with CLS during deployment where security of commercial service providers, requirements for security clearances, and overall personnel salary and transportation costs are exponentially higher.

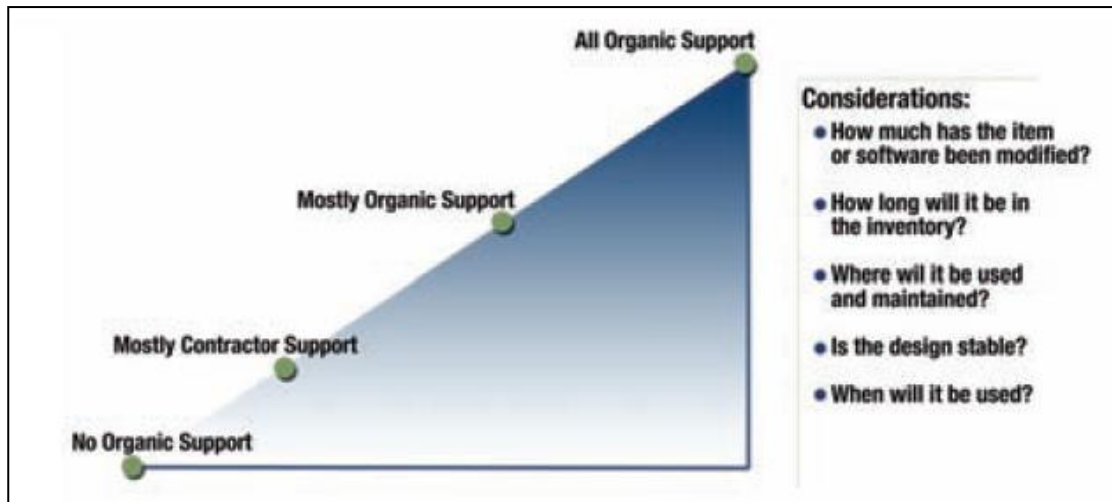
**Table 1. Challenges and Mitigation Approaches: Commercial Products and NDIs**

| <b>Challenge</b>   | <b>Mitigation Approaches</b>  |
|--|---|
| Performance in military environment  | Conduct product verification testing.<br>Test product samples.<br>Use test beds.  |
| Costs for frequent upgrades  | Budget up-front for expected upgrades.<br>Determine acceptability of less frequent upgrades.  |
| Risk that desired features or performance may be changed unilaterally by the commercial firm | Participate in supplier-customer forums to influence designs.<br>Determine if other suppliers exist.<br>Determine whether the government can maintain desired features.   |
| Risk that supplier may go out of business or leave the industry                              | Determine if alternative vendors exist.<br>Use open interface standards.<br>Determine whether the government can support the product if necessary.  |
| Integration of various commercial items/NDIs into system                                     | Use independent consultants/advisors with expertise in integrating commercial items.<br>Use open interface standards<br>Determine how integration of multiple items affects overall performance.                              |
| Costs of testing to ensure performance   | Plan for less developmental testing but more operational and performance testing.   |
| Configuration management   | Adapt to industry cycles where possible.<br>Determine if less frequent upgrades are possible without compromising supportability of older items.<br>Budget and plan for licenses to obtain access to required technical data. |

SD-2 also addresses the scope of logistical support methods from no support (where the item is disposed of—not repaired), to where the item has CLS, to where the item has 100 percent organic support throughout its life cycle. Table 2 is an extract from SD-2, p. 18, and illustrates different degrees of CLS. In the case of construction equipment, it can have mostly contractor support like the BHL or HMEE, have full organic support such as the current bulldozer, or have no support such as individual tools in most tool kits that are simply replaced through the system when broken. *(Table 2 is found in the January 2010 version of SD-2, DoD Acquisitions).* (Saunders, January 2010)

COTS/NDI systems also need to be evaluated for their subsystems and the ability to be updated. Using continuous modernization as a process to keep up with some construction equipment may be possible to increase reliability and lower sustainment costs. Current construction model production ranges from 2 to 8 years, with the average production line lasting approximately 5 years. Defense Acquisition University (DAU) LOG 235 is a course on Performance Based Logistics (PBL), and includes analysis of key areas affected by modernization.

**Table 2. Spectrum of Logistical Support for Commercial Products**



Continuous modernization of a system must anticipate obsolescence and emerging requirements and ensure technologies are available to satisfy emerging requirements. Table 3 identifies some of the areas affected by the types of modernization and their associated results. (Table 3 is within the course text of DAU LOG 235). (DAU, Performance Based Logistics-LOG 235 Slides, 2012)

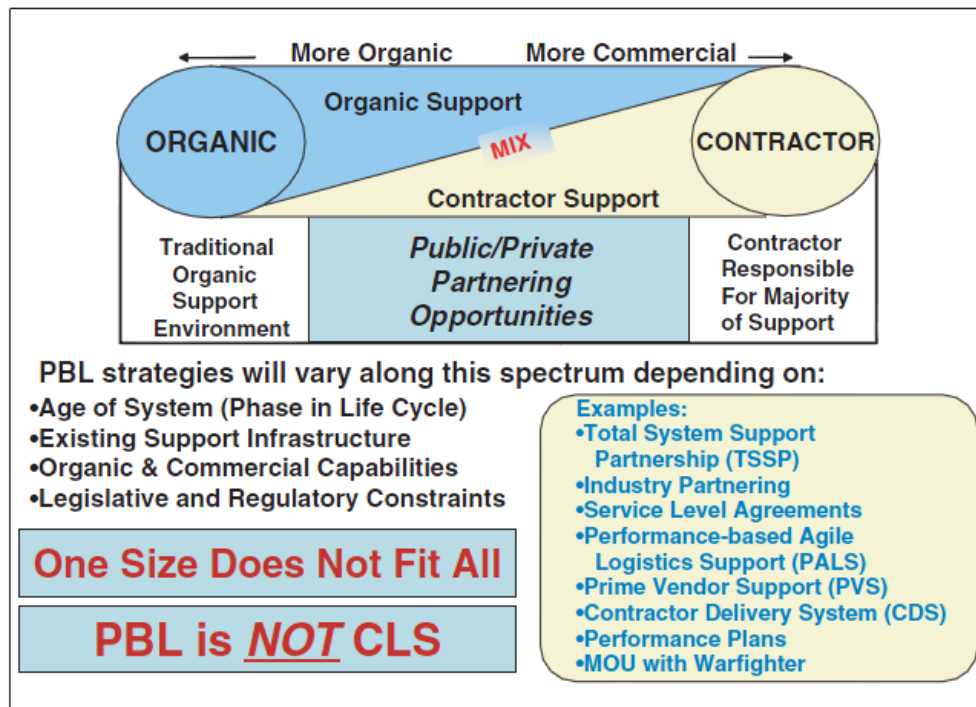
COTS/NDI systems can support modernization as a series of updates or as a continuous modernization, as commercial manufacturers will continue to make changes through model updates and technology insertion and upgrade to keep up with competition. The BHL and HMEE were considered the best value, and considered to have mainstream technology at the time of the initial procurement, but were not considered for continuous modernization and will remain with the procured technology unless a decision is made during a future reset or during life cycle replacement to upgrade the system. COTS/NDI systems will have commercial CLS available through their parent company or one of the prime vendors and can be contracted if necessary as back-up support if organic support is not readily available.

**Table 3. Modernization Options**

|                          | Modernization through Acquisition                           | Modernization through Upgrades | Continuous Modernization   |
|--------------------------|---|--------------------------------|--|
| Funding Base             | Acquisition: subject to political priority and availability |                                | Operations and Maintenance for form/fit/function-like reliability improvements |
| Life Cycle Cost          | High  | Moderately high                | Low: market-driven   |
| Cycle Time               | Long  | Short                          | Short  |
| Use of Modern Technology | Point solution: may be obsolete before available            |                                | Refreshed with most current & mature commercially available                    |
| Time to Market           | 10-15 years   | 5-10 years                     | 8-12 months  |
| Service Life             | 20 years  | 5 years                        | Indefinite   |
| Customer Base            | Small   |                                | Broad  |
| Testing Cost             | High  | Moderately high                | Low to Moderate  |
| (Re)certification Cost   | High  | Moderately high                | Low or none  |
| Satisfaction             | Requirements may change before system available             |                                | Immediate  |
| Sustainment Cost         | High: old system and supply chain                           | High: replacement cost         | Low: smaller inventory investment  |
| Sources                  | Single or few   |                                | Multiple   |
| Supply Chain             | Develop and initialize                                      | Modify and reinitialize        | Immediate  |
| Availability             | Fielded over time   |                                | Immediate via spares insertion   |

Another area that looks at the organic vs. CLS support is Performances Based Logistics (PBL). It's cost-effectiveness with respect to warfighters' operational requirements is validated by a Business Case Analysis (BCA). (Table 4 is found on pp. 2-3 of *PBL: A Program Manager's Product Support Guide*.) (DAU, *Performance Based Logistics: A Program Manager's Product Support Guide*, 2005)

**Table 4. Spectrum of PBL Strategies**



With respect to Table 4, both the BHL and HMEE are considered new systems and are expected to remain in the Army system for approximately 20 years. Both vendors have a corporate support structure in place to service and repair their commercial fleets that are either leased or sold to the public. The Army is using CLS for both the BHL and HMEE as it continues to transition to organic maintenance. To date, both systems have completed parts provisioning, are finalizing and preparing to publish TMs and maintenance allocation documentation, and are preparing training programs of instruction for both new operators and maintainers. The current CLS falls under prime vendor for BHL and HMEE, and each vendor has 100 percent responsibility for maintenance service and upkeep, unless there is a Memorandum of Understanding (MOU) with a deployed unit due to mission location. In this case, the unit assumes evacuation responsibility of a nonfunctional system, and transports it to a secure location before the contractor can repair the system. In existing CLS contracts, with the possible exception of Special Operations Command (SOCOM) or State Department-issued contracts, contractors do not venture into battle space geographically past the Brigade Support Area (BSA) to support maintenance operations during combat operations.

Ronald J. Kohl from Titan Systems addressed determining COTS component suitability in mission critical systems in a presentation to the engineering section at Virginia Tech University in February 2002. Mr. Kohl started the presentation by defining COTS and NDI systems, and defined mission critical as “Those parts of an enterprise or system which are essential to the success of that enterprise or system.” (Kohl, 2002) Although the presentation focused on computer and enterprise-related technology, the potential benefits of using COTS were similar to those related to construction equipment. Mr. Kohl emphasized that using COTS and NDI products would reduce development costs, reduce procurement schedule, reduce maintenance costs, provide a proven product, and would have industry investment in the technology base.

This presentation also listed the risks associated with procuring COTS as a solution. The first risk listed was product volatility. Unlike electronics and software, which can require monthly updates, the average construction equipment model updates can vary from 2 to 10 years, depending on the technological improvements in the construction field. Some COTS items offer little or no insight into the product (such as limited documentation or proprietary intellectual property), may have unknown product flaws, may not meet program requirements (such being as unsuited for some military use), or the product lifetime may be less than the intended program life. There also may be some risk to maintenance such as unpredictable vendor support, vendor stability, vendor resistance to accepting or fixing external identified flaws, and reliance on the vendor to identify problems with the system as the product is used throughout the life cycle.

Mr. Kohl’s mitigation techniques, although more than 10 years old, can be applied today, for the commercial marketplace has experienced little change in business practices. When using COTS items, he suggests organizations should gain marketplace and vendor knowledge, gain product knowledge prior to base-lining system requirements, have a COTS standard for the program, identify redundant vendors, invite early vendor involvement then and throughout the system life cycle, and have a product and vendor certification process. He closes out his presentation with some of the open problems he has experienced, such as defining complete COTS-based systems development and operational life cycle models, having more objective evaluation and selection criteria, having effective cost-estimation algorithms, and establishing firm verification and validation methods.

The *Department of Defense Warranty Guide* discusses the Federal Acquisition Streamlining Act of 1994 and notes that contracting officers are required to take advantage of commercial warranties to the maximum extent practicable, and the warranty terms and offers of extended warranties should be the same as those offered to the general public during a purchase of a COTS item. “The standard practice is to accept the manufacture’s commercial warranty that is typically some form of materials and workmanship guarantee.” (DoD, September 2009). When the government procures a COTS system, the request for proposal should seek to identify what warranties are available, and the government’s legal staff should review all offers. The commercial warranty should not affect pricing, delivery, or financing and should be viewed as a negotiable item based on what is available during market research and can be tailored, based on the size of the procurement. Warranties should be considered in the planning if CLS is to be used during any portion of the fielding process as a way to reduce support costs.

Revision 5 of the Defense Logistics Acquisition Directive (DLAD) addresses COTS procurement in Part 12. (DLA, May 2000) This section also covers minor modifications that are usually made to a COTS construction or material handling system or toolset to enhance the systems military utility. In most cases, these modifications include modifications in transportability (such as tie-down and lift provisions, organic shipping, or transport containers). Modifications also are made in the area of survivability such as painting the system with CARC to support decontamination operations or adding an armored CPK to support tactical operations in areas where enemy small arms fire, mortar, grenade, or IEDs may be used. Some additional modifications seen in most systems are a NATO slave adapter to support maintenance operations, a weapons rack for individual weapons, and storage compartments for individual items of equipment.

Part 12 also provides amplifying guidance, such as, “An item does not have to be developed at private expense to be commercial; except that nondevelopmental items must have been developed exclusively at private expense to be considered commercial.” (DLA, May 2000) The HMEE is an NDI item where JCB took one of its fast-track farm tractor systems and modified, it using its backhoe and loader attachments, resulting in a backhoe loader with road speeds in excess of 65 miles per hour to support movement with the STRYKER Brigade Combat Team (SBCT). Additional guidance can be found on the processes to procure COTS and NDI or systems in the FAR.





## **CHAPTER 3**

### **RESEARCH METHODOLOGY**

This research project addresses the need for COTS/NDI systems to have a complete System Support Package (SSP) that supports organic maintenance support throughout the systems life cycle. It provides data to answer the question “Is organic maintenance support required for full life cycle sustainment of COTS/NDI systems?”

#### **Research Hypothesis**

1. Organic maintenance support is required for full life cycle sustainment of COTS/NDI systems.
2. CLS can sustain a COTS/NDI system throughout the systems full life cycle.

#### **Research Process**

The data supporting this research were collected mainly by using a participant survey. All participants were operators, maintainers, or supervisors of operators or maintainers of a BHL or a HMEE system. All participants completed the demographics portion of the survey followed by series of questions that pertained to their functional area with respect to the BHL or HMEE (operators answered operator-related questions, maintainers answered maintenance-related questions, and supervisors answered questions on system performance, training, and mission interface). Attachment data were analyzed in Microsoft Excel and merged with the SurveyMonkey data to complete the analysis. Any subjective data collected through the comment sections of the survey requiring additional investigation or clarification were documented using follow-up e-mail correspondence or phone interview.

#### **Data Collection**

I created the surveys used for the data collection. The survey instruments were distributed to address the degree of supportability currently in place with the BHL and HMEE systems using CLS. Responses were compared across units (Active, USAR, and ARNG) and the data provided on the unit’s use of organic maintenance on COTS or similar systems. All participants were operators, maintainers, or supervisors of operators or maintainers of a BHL or a HMEE system and are current members of the Active Component Army, USAR, or ARNG.

#### **How Were the Data Collected?**

All participants completed the demographics portion of the survey, followed by series of questions that pertained to their functional area with respect to the BHL or HMEE (operators

answered operator-related questions, maintainers maintenance-related questions, and supervisors questions on system performance, training, and mission interface). The surveys were distributed via AKO e-mail using the group capability with rule sorting to identify individuals in the units who were issued the BHL or HMEE. Rules were written to sort AKO mail addresses by UIC and MOS to target the operators, maintainers, and supervisors by e-mail. An e-mail letter was then sent to these groups containing the links of the survey locations to support electronic collection. The links connected the participant via the web tool, SurveyMonkey. This is a collection medium where the survey was distributed, populated, and then collected by the web tool. The SurveyMonkey files were downloaded to a DAU computer workstation and were transcribed or copied into Microsoft Access or Excel for analysis. The survey instrument used is located in Appendix A.

## **CHAPTER 4**

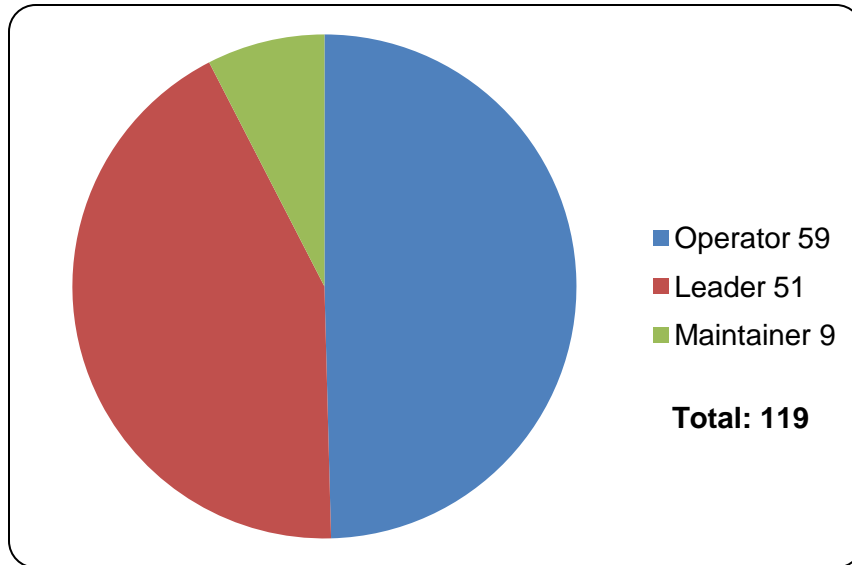
### **FINDINGS**

The objective of this research is to collect data from units that have been issued either the BHL or HMEE and are using CLS in place of organic maintenance support and see if the responses indicate whether organic maintenance support is required for full life cycle sustainment of these COTS/NDI systems.

#### **Population and Sample Size**

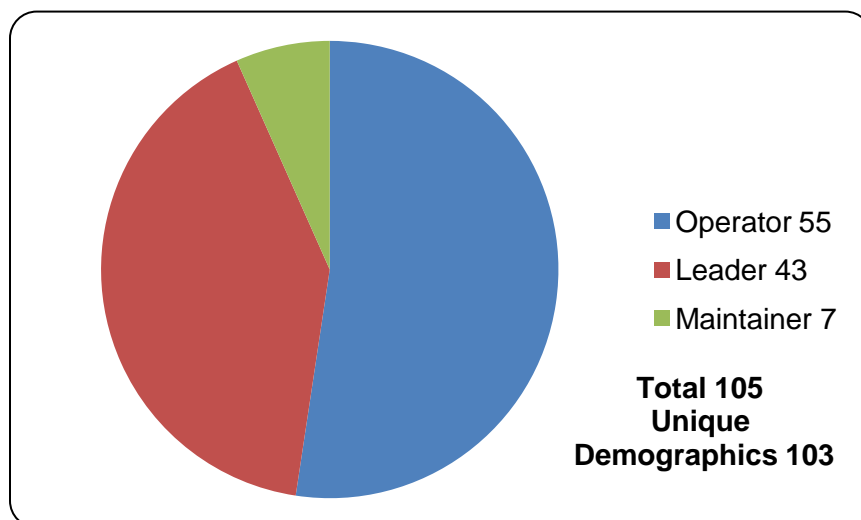
Throughout the period January 31 through February 3, 2011, e-mail requests for survey completion were sent to 76 unit representatives, using a manual search of unit UICs within the AKO documents section. On February 7, assistance was requested from the AKO help desk to compile a list of e-mail addresses of operators, leaders, and maintainers using AKO Rule Based Groups (RBGs) sorting against UICs in the distribution lists of the BHL and HMEE and the Military Occupation Specialty (MOS) identifiers to sort survey participants by AKO e-mail addresses. These lists were then used to contact operators, leaders, and maintainers directly to solicit data through the SurveyMonkey web collection survey process. Using the RBG process, 3,924 e-mails, which identified Soldiers in the UICs receiving the BHL and the HMEE were sent between February 21 and March 31 to members in the 12B, 12H, 12N, 12X, 12Z, 91B, and 92L MOSs. Attempts also were made to locate Soldiers in 12E, 12J, 120A, 123A, 915A, and 919A MOSs, but the RBG process failed to populate the groups.

As of March 26, survey responses were returned from 62 operators, 53 leaders, and 9 maintainers. These data, once reviewed for completeness, resulted in completed responses from 55 operators (30 for the BHL and 25 for the HMEE), 43 leaders (25 for the BHL and 18 for the HMEE), and 7 maintainers (3 for the BHL and 3 for the HMEE). There were five sets of duplicates, of which three surveys were not populated and multiple surveys (two operator and two leader surveys) were accepted when two leaders submitted completed data as both leaders and operators. There were 124 surveys collected by SurveyMonkey. Five were duplicates from Soldiers populating two surveys in different tables, which resulted in 119 sets of unique demographic inputs. Figure 3 reflects the population by survey type.



**Figure 3. Total Demographics by Survey Type**

Nineteen participants failed to complete the second page of their respective surveys, thus only submitting demographic data and no CLS-related data, so the count for CLS-related data was reduced to 105 surveys, of which 103 were from unique participants (accounting for the two multiple submissions as both leader and operator). Figure 4 reflects total surveys by type submitting CLS data.



**Figure 4. Total Surveys by Type Submitting CLS Data**

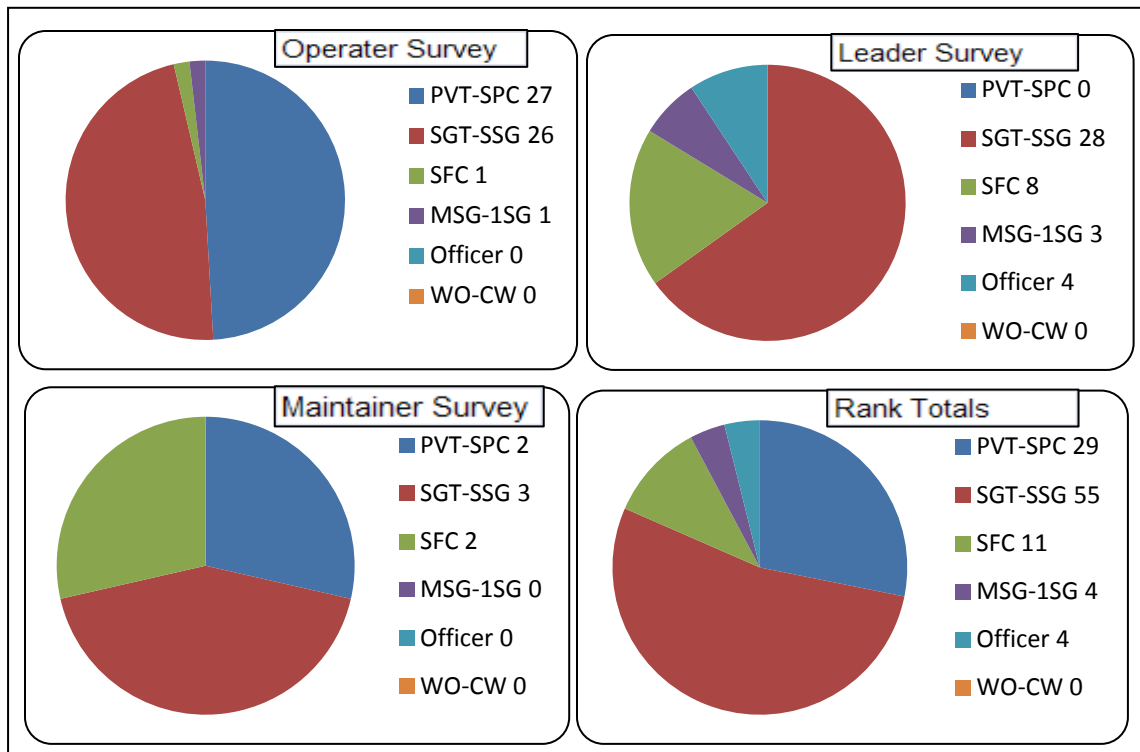
### Collected Data—Demographics

Survey templates for operator, leader, and maintainer are located in Appendix A. The demographic data collected were the same for all three surveys and consisted of 11 questions. An asterisk identifies a field that the survey participant was required to fill. Table 5 identifies the demographic questions answered by the survey participants.

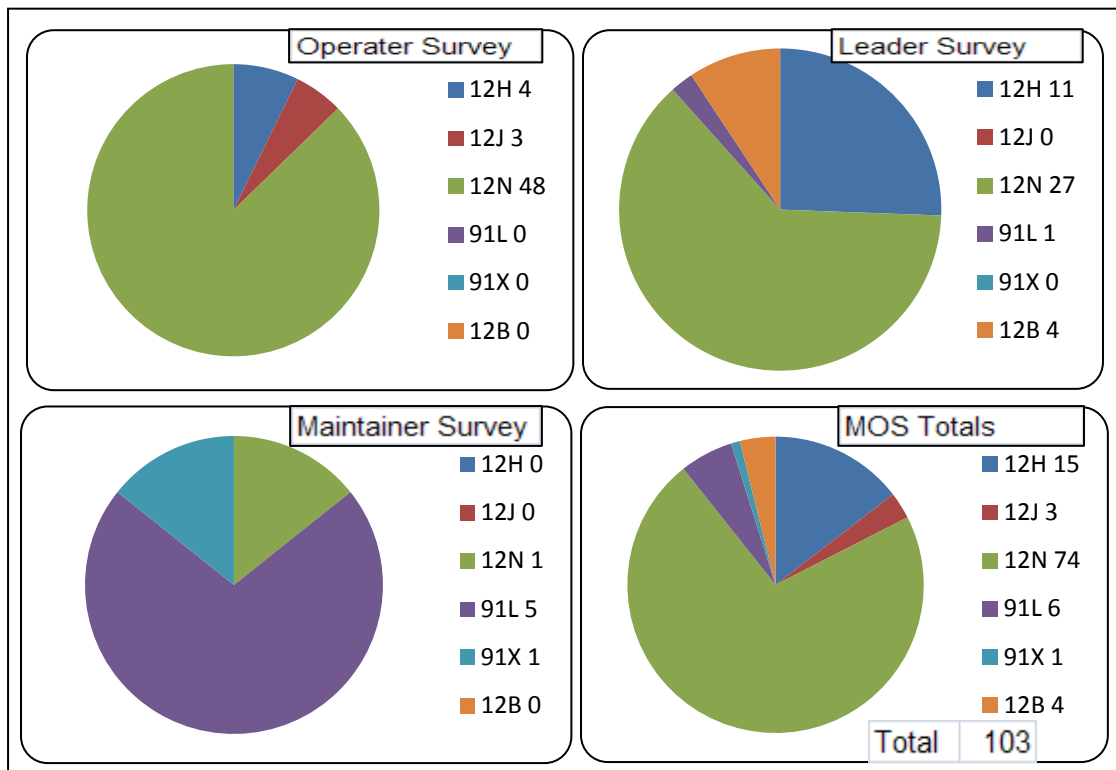
**Table 5. Demographic Questions**

|   |    |   |
|---|----|---|
| * | 1  | Last Name   |
|   | 2  | E-Mail Address  |
| * | 3  | Rank: PVT-SPC, SGT-SSG, SFC, MSG-1SG, LT, CPT, WO-CW                |
| * | 4  | Primary MOS   |
|   | 5  | Skill Level   |
|   | 6  | Secondary MOS   |
| * | 7  | Unit Identification/Location  |
|   | 8  | Work or Contact Number  |
| * | 9  | What system are you completing this survey for? BHL or HMEE         |
| * | 10 | What is your duty position? Operator, Leader/Supervisor, Maintainer |
| * | 11 | How many months have you worked with this equipment?                |

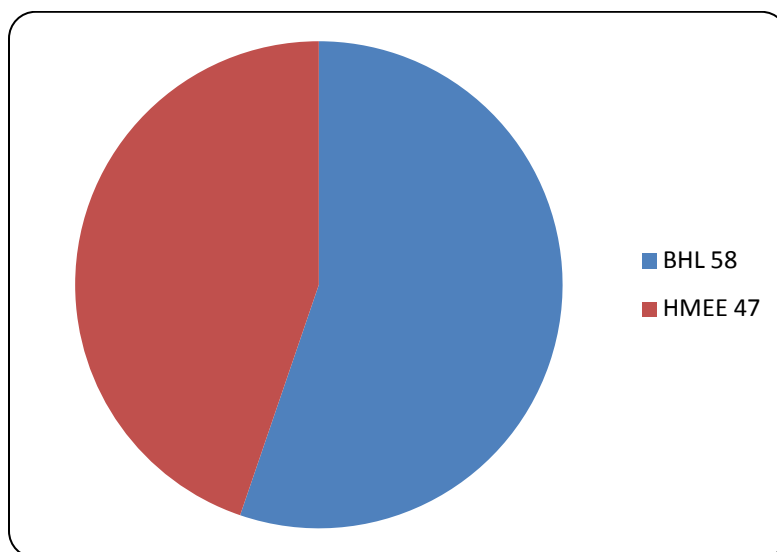
Although 119 sets of demographic data are available, only the data from the 103 unique participants who completed the CLS data are displayed in this report. The multiple surveys were submitted by participants in the rank of SGT-SSG, and were both in the MOS of 12N. They are reflected in the individual survey charts but are not counted as duplicates in the totals chart, accounting for the difference between 105 in the sum of the surveys and the total of 103 for unique participants. The following chart sets reflect the demographic data collected: Figure 5 reflects distribution between surveys of the Soldiers' rank and total of rank by population. Figure 6 reflects the population by MOS by survey type and total submissions. Figure 7 reflects survey submission by system type, and Figure 8 is a breakdown of population by the time participants either were operating, maintaining, or supervising the operation of the BHL or the HMEE systems in their units prior to participating in these surveys.



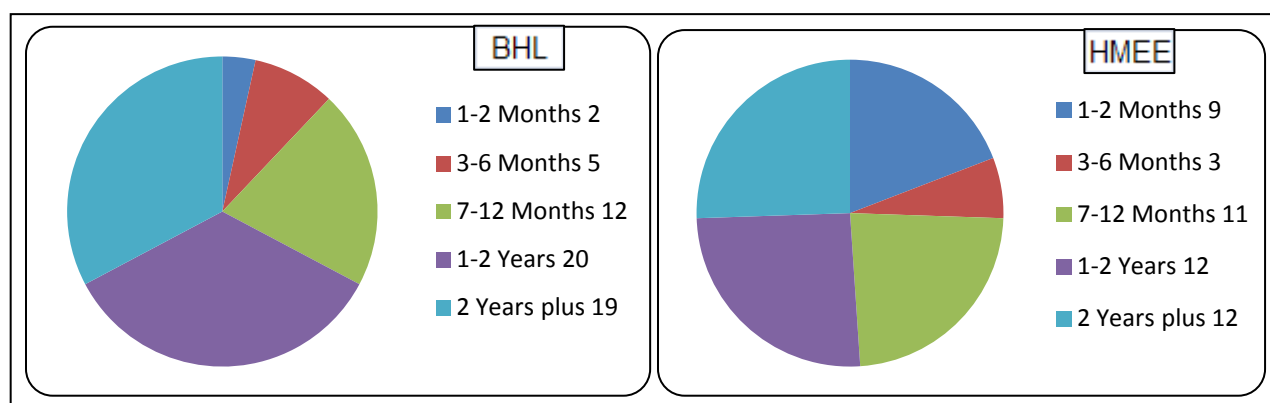
**Figure 5. Question 3: Soldier Rank by Survey Type/Totals**



**Figure 6. Question 4: Primary MOS by Survey Type/Totals**



**Figure 7. Question 9: Surveys by System Type**



**Figure 8. Question 11: Months of Experience by System Type**

Remaining demographic data are available in the survey database. Remaining entries such as last name, UIC, participant e-mail address, and work telephone number were captured to support further demographic analysis if trends were identified in the CLS data. This research was not intended to complete a full human factors analysis at this time.

#### **Collected Data—CLS Related**

##### **Training and Manuals**

Part 2 of each of the three survey instruments contained questions relating to training, system manuals, unit operations, and system maintenance, with the opportunity for the respondent to close out the survey with any comments on CLS or unit maintenance. The operator and maintainer surveys contained an additional 13 questions, and the leader survey contained an

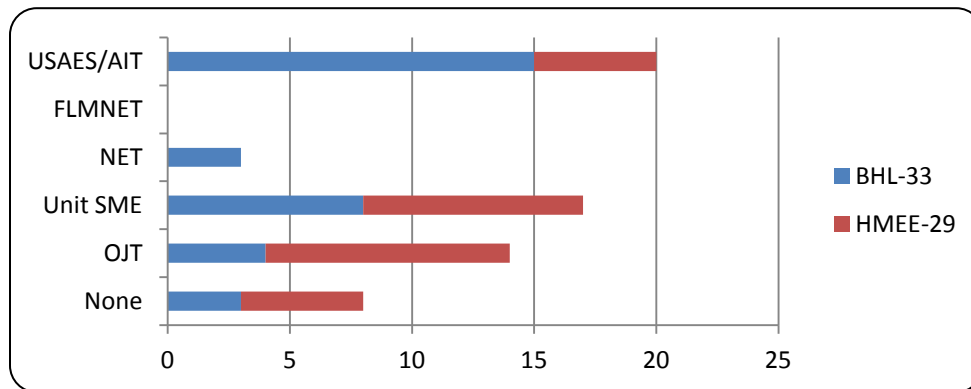


additional 15 questions. Table 6 lists the training and TM related questions for the BHL and HMEE. Questions are listed by survey instrument in the table (operator, maintainer, and leader), but responses are broken out by area where the same questions are asked across multiple instruments. Again, the questions preceded by an asterisk required a response by the survey participant.

**Table 6. Training and Manual-Related Questions**

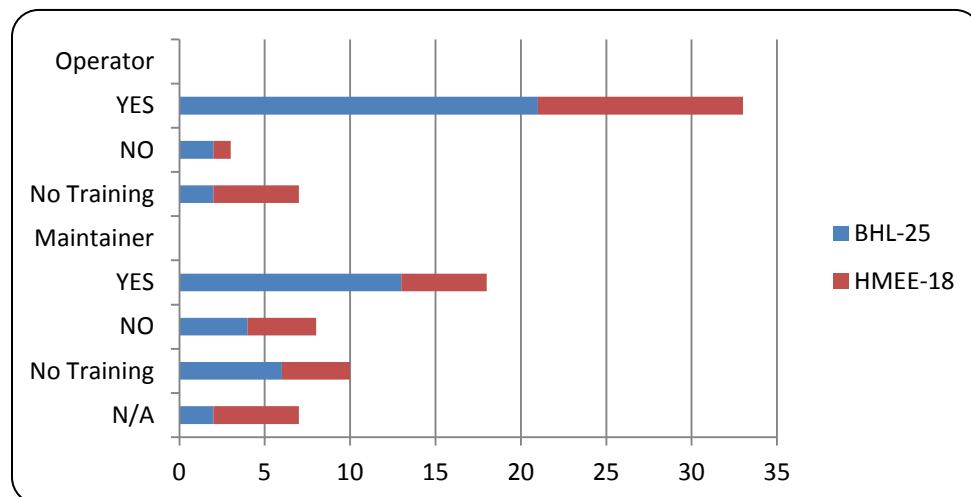
| <b>Operator</b>          |    |   |
|--------------------------|----|---|
| *                        | 12 | Identify the source of training you received on the system.                   |
|                          | 13 | Did you receive training materials on the system?                             |
| *                        | 14 | Did you receive or have access to an operator's manual for PMCS?              |
|                          | 15 | Did any of the manuals cover operator level maintenance tasks?                |
| <b>Maintainer</b>        |    |   |
| *                        | 12 | Identify the source of training you received on the system.                   |
|                          | 13 | Did you receive training materials on the system?                             |
| *                        | 14 | Did you receive or have access to maintenance/parts manuals?                  |
| <b>Leader/Supervisor</b> |    |   |
| *                        | 12 | Were you satisfied with the training your operators received on the system?   |
| *                        | 13 | Were you satisfied with the training your maintainers received on the system? |
|                          | 14 | Did your unit receive training manuals on the system?                         |
| *                        | 15 | Did your unit receive sufficient maintenance/parts manuals for the system?    |
|                          | 16 | If your unit received training from the CLS Vendor, was it adequate?          |

Operator and maintainer responses (Question 12) identifying training sources are combined in Figure 9 followed by leader responses (Questions 12 and 13) to training satisfaction in Figure 10. Operator and maintainer responses to training manuals (Question 13) are displayed alongside leader responses (Question 14) on training manuals in Figure 11. Figure 12 addresses operator Preventative Maintenance Checks and Services (PMCS) manual availability and maintenance tasks at the operator level (Questions 14 and 15). Figure 13 addresses parts manual availability from a maintainer (Question 14) and leader perspective (Question 15). This section closes with the leader responses (Question 16) on CLS-provided training to the unit in Figure 14.



**Figure 9. Question 12: Source of Training Operator/Maintainer**

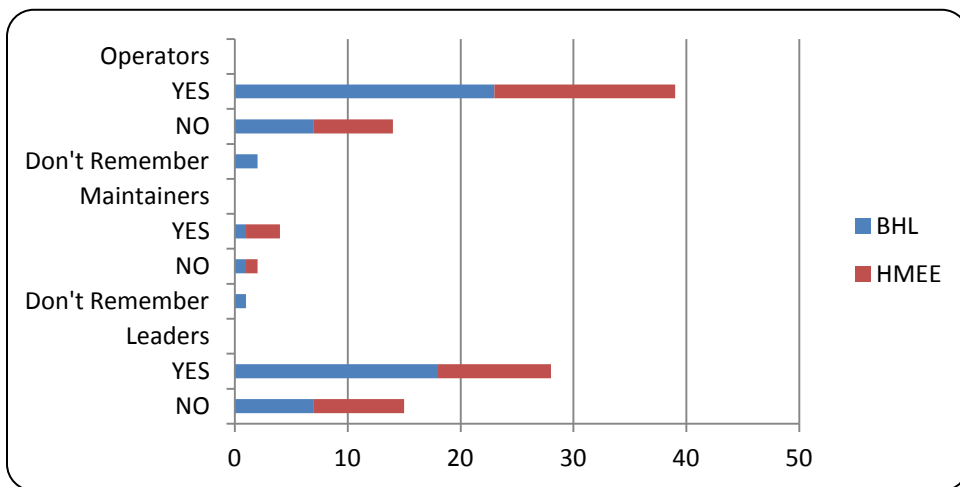
Of the 62 operators and maintainers responding to the training questions, 32 percent were trained by the United States Army Engineer School (USAES) Advanced Individual Training (AIT) program, 27 percent were trained by Unit Subject Matter Experts (SMEs), 23 percent learned from on-the-job (OJT) training, and 5 percent learned from new equipment training (NET) teams. Thirteen Soldiers identified receiving no system training at all. No maintenance participants responded receiving training from a field-level-maintenance new-equipment training (FLMNET) team.



**Figure 10. Leader Questions 12 and 13: Satisfaction with Training**

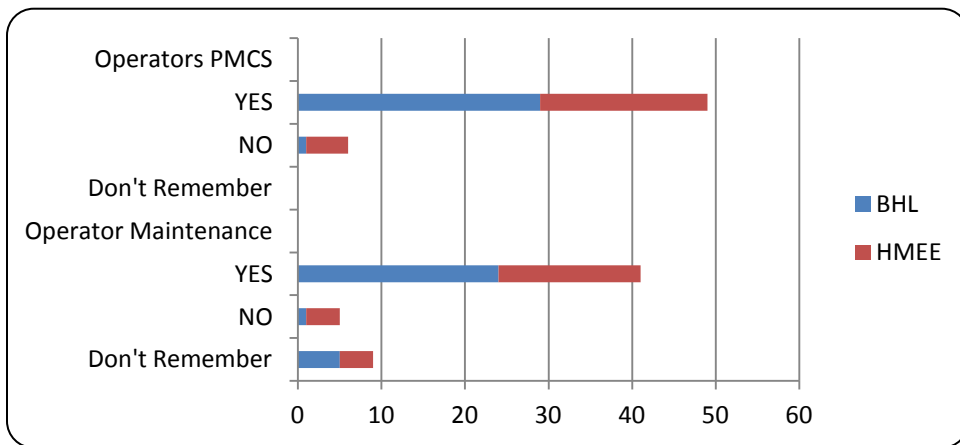
For the 43 leaders responding to the unit training satisfaction questions, of the 84 percent who had units who received operator training, 92 percent were happy with the operator training

received. Of the 60 percent of the units who received maintenance training, 69 percent of the leadership was happy with the degree of training their units received. Eighty-five percent of the leadership in Figure 11 received sufficient training materials, while 69 percent of the operators and maintainers identified receiving sufficient training materials to operate the systems in their units.



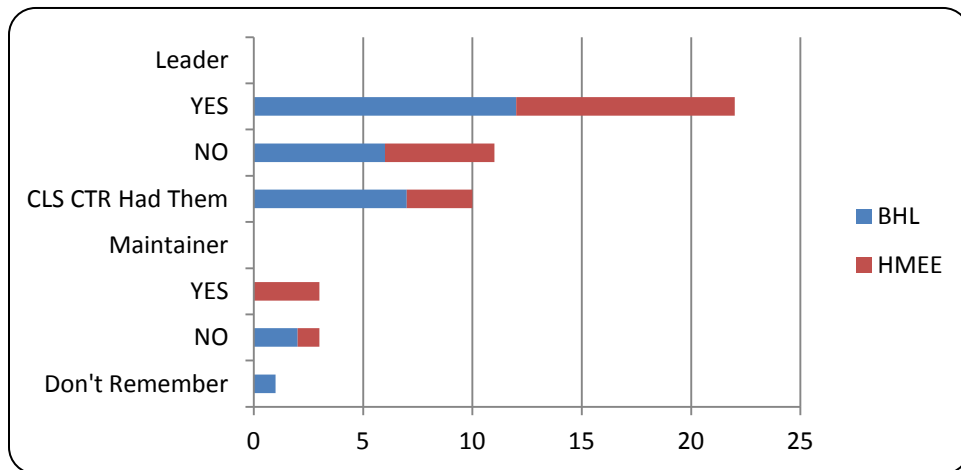
**Figure 11. Question 13: Did You Receive Training Manuals on the System?**

In Figure 12, operators were asked if they had access to manuals that covered PMCS and operator level maintenance tasks. Between 8 percent and 9 percent of the operators responded they had access to PMCS manuals, and 75 percent said they had access to manuals that listed operator maintenance tasks.



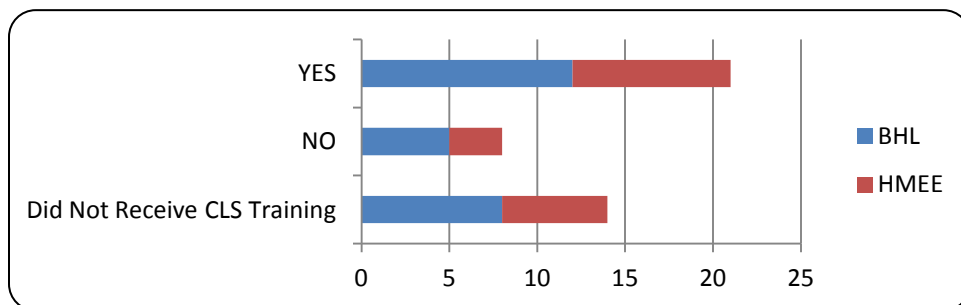
**Figure 12. Operator Questions 14 and 15: Did You Have Access to Manuals that Covered PMCS and Operator Maintenance?**

Figure 13 identifies availability of repair manuals at the unit level. Responses where all manuals were located at the CLS vendor were aggregated with the “YES” responses. Seventy-four percent of the leaders responding identified sufficient maintenance and parts manuals at either the unit or with the unit CLS, while only 43 percent of the mechanics responded that sufficient manuals were available at their locations.



**Figure 13. Leader Question 15 and Maintainer Question 14: Did You Have Access to Sufficient Maintenance and Parts Manuals?**

The final question on training was asked of unit leadership to inquire if CLS-provided training was sufficient and met unit needs. No training was aggregated with the “NO” response, identifying less than a 50 percent overall approval of the CLS training provided to the units. The percentages when analyzed between BHL and HMEE were 48 percent and 50 percent respectively, shown in Figure 14.



**Figure 14. Leader Question 16: Did CLS Training at Unit Level Meet Needs?**

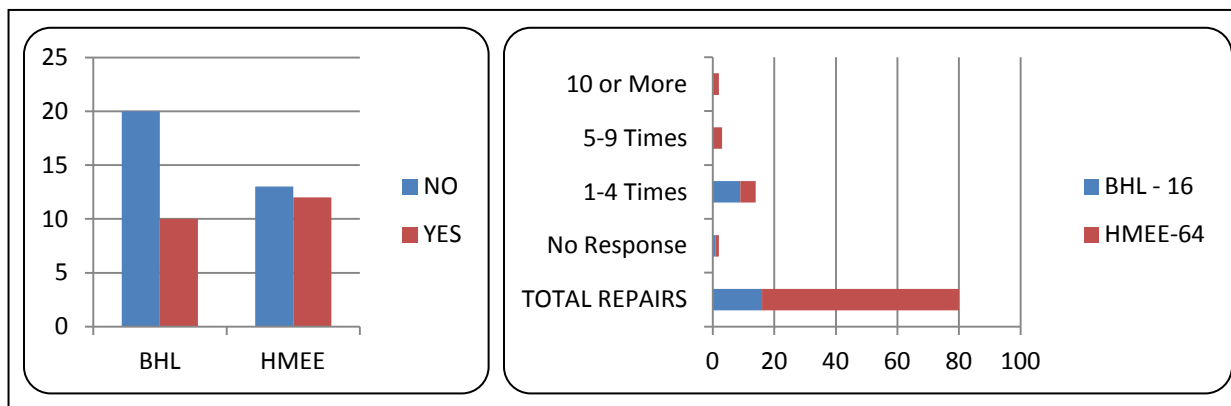
## CLS Involvement

The survey responses in this section were designed to target how well CLS worked for the unit and to generate some statistical inference relating to CLS workload, system availability and to subjectively compare CLS to traditional organic unit maintenance. Both the operator and maintainer were given the ability to opt out of answering questions in this section if they either had no mechanical problems with the BHL or HMEE systems or if they were not authorized to work on the system if it had mechanical problems. Table 7 lists the six operator, seven maintainer and five leader responses solicited from the participants.

**Table 7. CLS Repair-Related Questions**

| Operator   |    |   |
|------------|----|---|
| *          | 16 | Did you experience any equipment problems requiring CLS? If no go to 21 |
|            | 17 | Approximately how many times did your system need CLS?                  |
|            | 18 | Approximately how many months were CLS available?                       |
|            | 19 | Approximately how many of these problems were warranty related?         |
|            | 20 | Approximately how long was your system non-operational under CLS?       |
|            | 21 | Compare CLS to your traditional unit maintenance.                       |
| Maintainer |    |   |
|            | 15 | As a unit mechanic were you authorized to repair or service the system? |
|            | 16 | Did you repair any problems that should have been repaired by CLS?      |
|            | 17 | If Yes, how many repairs did you make a repair?                         |
|            | 18 | Approximately how many months were CLS available?                       |
|            | 19 | Approximately how many of these problems were warranty related?         |
|            | 20 | Approximately how long was your system non-operational under CLS?       |
|            | 22 | Compare CLS to your traditional unit maintenance.                       |
| Leader     |    |   |
|            | 17 | Did the CLS contractor meet unit needs for system repair?               |
|            | 18 | Did the CLS contractor meet unit needs for system services?             |
|            | 19 | Compare your unit's organic maintenance to CLS.                         |
|            | 20 | Approximately how long was your system non-operational under CLS?       |
|            | 21 | Were any issues experienced causing excessive down time?                |

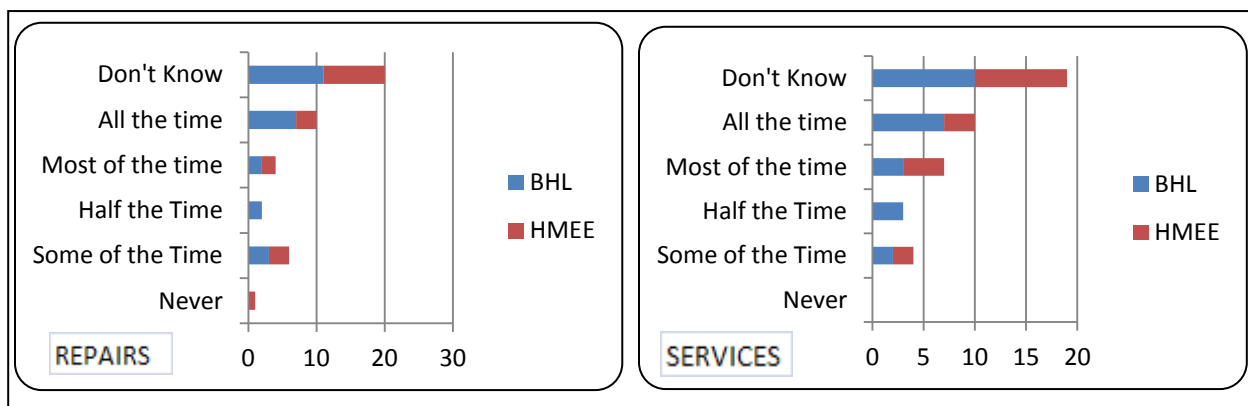
Operators were asked if their systems required the use of CLS to make repairs and, if so, approximately how many times the system was not available for use because of repairs. These responses are reflected in Figure 15.



**Figure 15. Operator Questions 16 and 17: Did Your System Require CLS and, if so, Approximately How Many Times Since You Received It?**

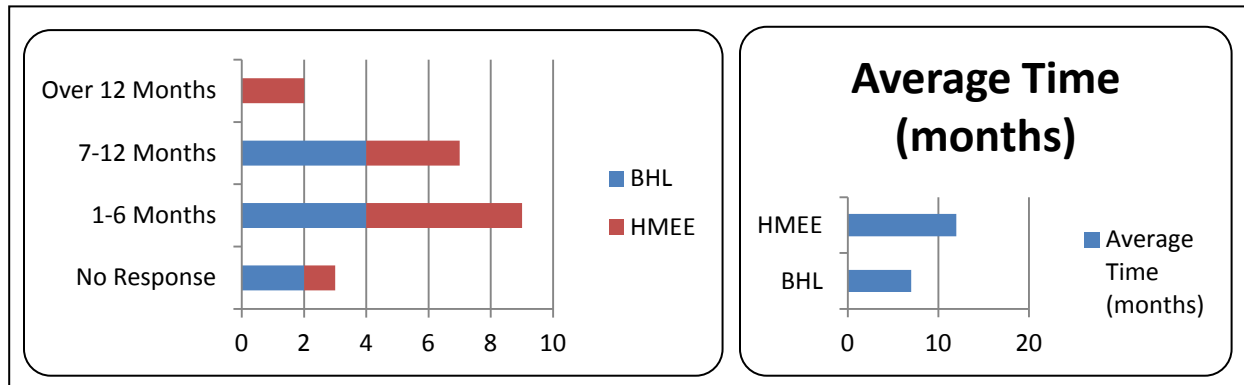
Maintainer responses for questions 15, 16, and 17 were limited to seven, of which three were for the BHL and four were from HMEE maintainers. Only one maintainer was allowed to make repairs on the HMEE system, and this was in a deployed posture. This mechanic reported the system required CLS maintenance in five instances. He said he was responsible for completing two of the repairs that should have been completed by the CLS vendor.

Leaders were asked if CLS met unit needs in the areas of system repairs and periodic services. Figure 16 reflects leader responses.



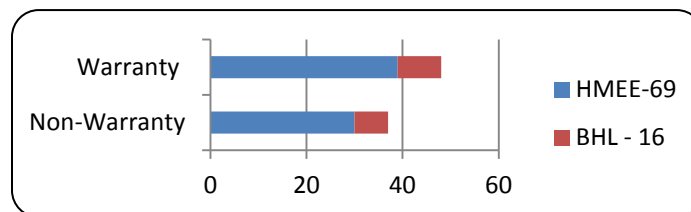
**Figure 16. Leader Questions 17 and 18: Did CLS Meet Unit Needs for Repairs and Services?**

Operators and maintainers were asked how long CLS was available in their units to support the BHL or HMEE. Responses were in months and the separated into categories of more than 12 months, 7 to 12 months and 1 to 6 months. There were three respondents who failed to provide a numerical response. Only one maintainer responded to this question, and his unit had the HMEE for 2 months. Data are displayed in Figure 17.



**Figure 17. Operator/Maintainer Question 18: How Long Did CLS Exist in Your Unit at the Time of this Survey?**

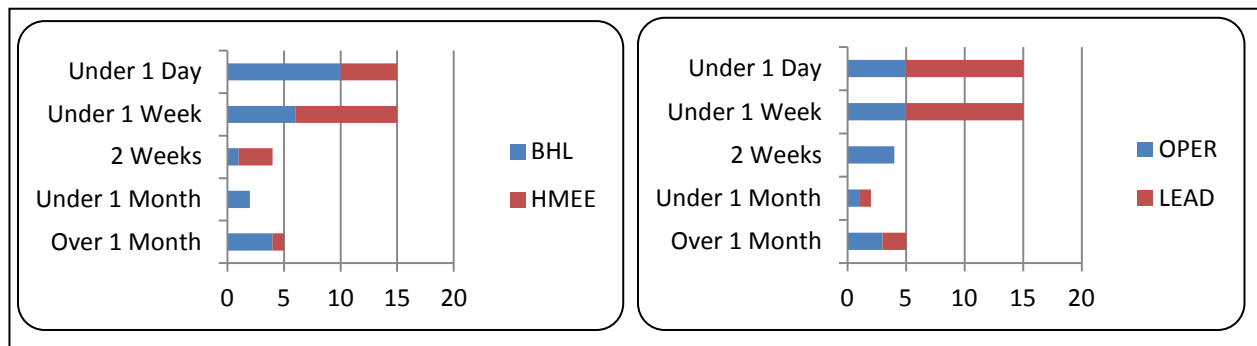
Both operator and maintainer were asked to identify how many system repairs were warranty-related. Responses from the 22 participants who reported system repairs in Figure 15 identified the repairs as 56 percent (nine repairs) warranty-related for the BHL and as 57 percent (39 repairs) warranty-related for the BHL. Figure 18 displays warranty-related repairs.



**Figure 18. Operator/Maintainer Question 19: How Many Repairs Were Warranty Related?**

The operators and maintainers who responded “YES” to Question 16 on their surveys were asked approximately how long their systems were nonoperational under CLS in hours—or, if the system was nonoperational more than a day, for how many days it was nonoperational. The

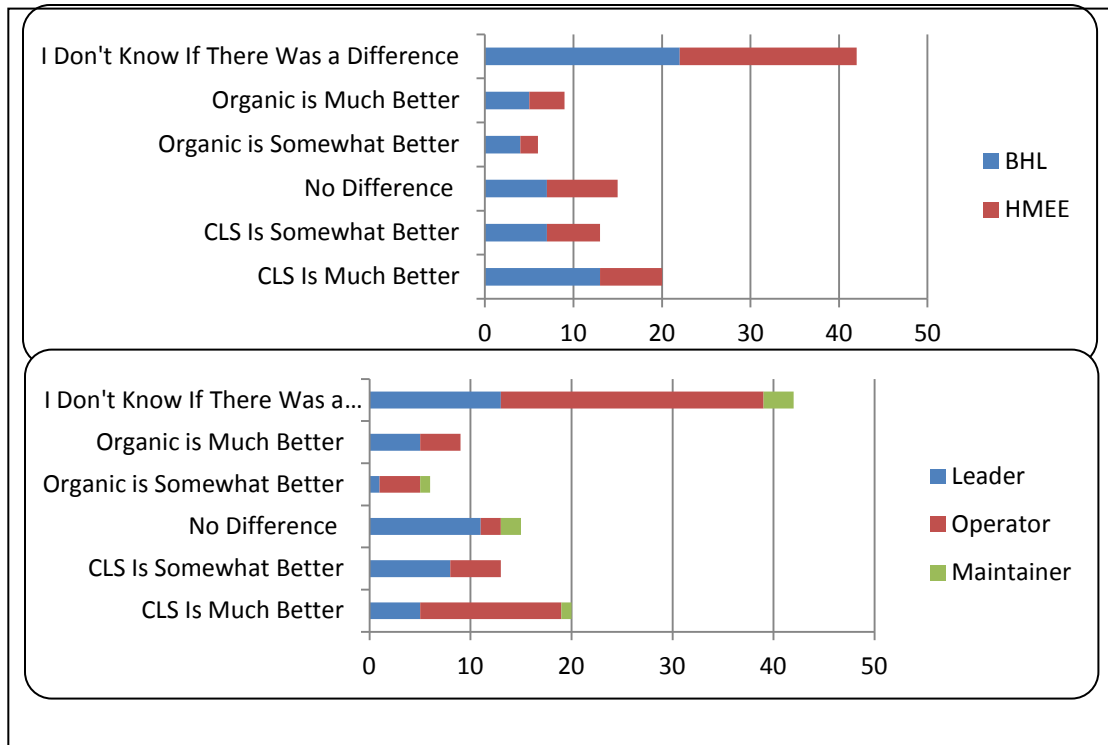
leaders who had nonoperational systems also were asked to estimate approximately how many days their unit systems were nonoperational. There were 18 of 22 operators, no maintainers, and 23 of 43 leaders who responded with nonoperational times for the systems in their units. Figure 19 displays the responses both as time by system type and time as submitted by survey instrument (participant type).



**Figure 19. Operator/Maintainer/Leader Question 20: Nonoperational Time**

All participants were asked to compare their organic maintenance capability to that of the CLS maintenance. This was presented as a five-point Lykert scale response with an opportunity for the participant to opt out with an “I don’t know” response. Figure 20 displays the responses by system type and also by survey instrument (participant type).





**Figure 20. Operator/Maintainer/Leader Question: Compare CLS Proficiency to That of Your Units Organic Operational Maintenance**

The last question for this section was solicited from the leaders and was in essay format asking leadership if they experienced any issues with their systems that appeared to cause excessive non-operational time. For the BHL, there were eight leader respondents, and their comments follow in bullet format (Note: Responses transferred verbatim from surveys):

- Seemed like we always had bad batteries.
- BATTERIES and some Warranty BS!!!!
- While deployed, Case Contractors attempted to maintain our equipment. Over time, our maintenance section was trained or worked with Case to resolve the issue.
- New rim for front tire, the front rims seem to have a problem with bending easily, both Stateside and in-theater.
- The batteries caught on fire and when they were replaced, it took about 8 weeks to fix the charging issue. The BHL turned into a thing to sweep around in the motorpool and not a piece that I could use on a project site.
- Beads of the tires were continently busting, which resulted in having to have a rep come fix the tire.

- My battalion had 3 BHLs, and there were never more than 2 operational at the same time, because they kept breaking down. Sometimes all 3 of them would be broken simultaneously. The only positive thing about them was they were still under warranty.
- The hydraulic lift cylinder. The front bucket gets stuck when left down for a short period of time.

For the HMEE, seven leaders responded with comments. Their responses follow in bullet format:

- When the temperature is below freezing, the brakes are near impossible to release. We have tried everything (leave brakes disengaged after shut off to cool, clear debris etc.
- Drive shaft broke, it gets stuck easily.
- Delay in mission.
- The metal grate on the underbelly that “protects” the hydraulic master pump was crushed and had to almost be blowtorched out.
- Hydraulic Line Leaks.
- HMEE not getting fix for simple stuff
- We have three HMEEs that are deadlined and cannot be worked on because the equipment is under warranty. The equipment has to sit on line until a rep comes down.

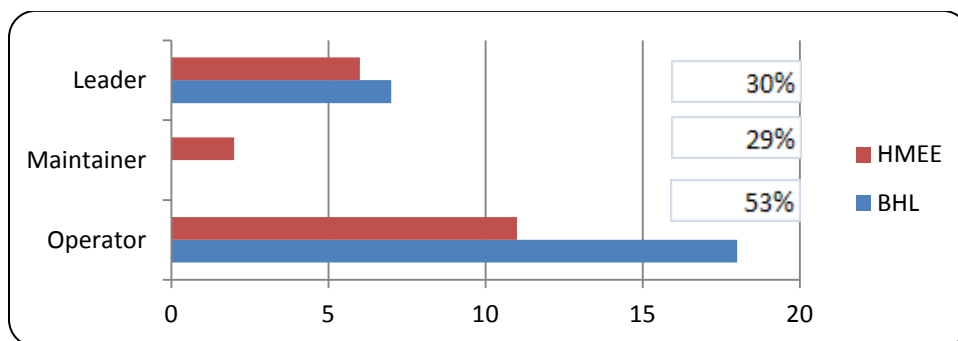
### **Deployment, Operations, Overall Comments**

The remaining questions in the survey instruments are grouped under operations and conclude with an opportunity for the participant to provide overall comments and how CLS worked in their units. Table 8 identifies the three operator, three maintainer, and five leader questions that close out the survey instruments. Their data follow after the table.

**Table 8. Operational and Comment Questions**

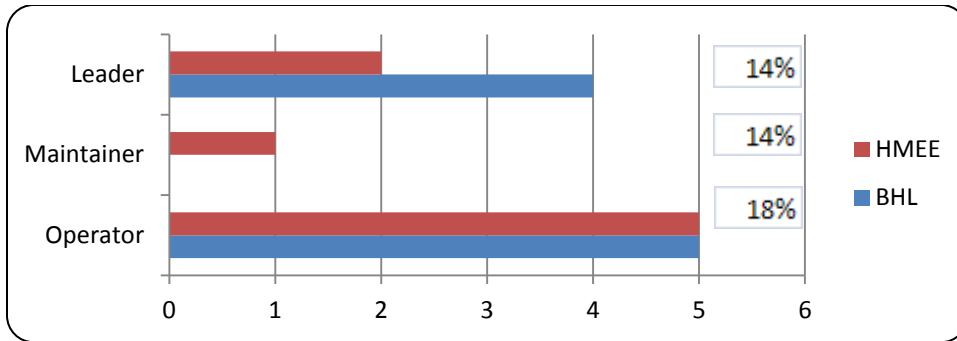
| Operator   |    |  |
|------------|----|--|
|            | 22 | Have you operated the HMEE or BHL while deployed?                              |
|            | 23 | Did CLS negatively impact your unit's mission?                                 |
|            | 24 | Please provide comments on CLS or unit maintenance.                            |
| Maintainer |    |  |
|            | 21 | Have you operated or repaired the HMEE or BHL while deployed?                  |
|            | 23 | Did CLS negatively impact your unit's mission?                                 |
|            | 24 | Please provide comments on CLS or unit maintenance.                            |
| Leader     |    |  |
|            | 22 | Did CLS negatively impact your unit's mission?                                 |
|            | 23 | Did your unit operators/maintainers operate/repair the system while deployed?  |
|            | 24 | Were unit recovery operations with the CLS vendor and your unit a team effort? |
|            | 25 | Did the CLS vendor have any negative impact on your admin/tactical footprint?  |
|            | 26 | Please comment on CLS or its effects on your unit.                             |

For analysis, the responses identifying deployment for operator, maintainer and leader have been aggregated (Questions Operator 22, Maintainer 21, and Leader 24). The same action also was taken for mission impact (Questions Operator 23, Maintainer 23, and Leader 22). The responses are displayed by system and by survey instrument (participant type). The percentage identified in Figure 21 is the percentage of the responding population by survey instrument that stated “YES”.



**Figure 21. Operator/Maintainer/Leader Question:  
Did You or Your Unit Use the System While Deployed?**

The percentages listed in Figure 22 represent a “YES” responses by survey instrument population, indicating the co-location of CLS negatively affected the unit mission. When analyzed by BHL respondents and HMEE respondents, the percentages are 16 percent and 17 percent respectively, and, out of the population as a whole, 17 respondents had problems with CLS negatively affecting their mission (16 percent).



**Figure 22. Operator/Maintainer/Leader Question:  
Did CLS Negatively Affect Your Units Mission?**

Of the 43 leaders responding to leader Question 24, there were 12 responses (seven BHL and five HMEE) that their units participated in recovery operations and had a good “one team” relationship with the CLS vendor team (28 percent for both BHL and HMEE, resulting in a 28 percent overall).

When leaders were asked if the CLS vendor operations had a negative impact on their Unit’s tactical footprint, seven leaders (four BHL and three HMEE) stated it did (16 percent of the total leader population).

The last question on each survey instrument was an essay type response asking for comments on CLS. The responses are captured below in the respective operator, maintainer, and leader groups. (Note: Responses transferred verbatim from surveys):

**OPERATOR (Question 24): BHL, 10 comments**

- Needs more cab room. Even though the seat is adjustable, it is still pretty tight with gear on. Also we had a lot of problems in Afghanistan trying to dig in the rough terrain. We end up having to get the groundbreaking attachment, so what I am getting at is it would be nice to have more power.
- It would be nice to get more unit level training on the BHL and HMEE. We have had other companies that when fielding equipment give unit/battalion training and would be nice to have more annual training like that to keep up with the equip. and to make sure new Soldiers get the best training on the new equipment.
- You need to upgrade the tires on the BHL. The front tires on the BHL always pop off the rim. I think if you had a locking wheel, so they don't pop off when you turn. It would help complete [our] missions faster; instead we have to go slow to make sure the wheel doesn't pop off. Thank you for all of your help.
- I think all unit maintenance should receive a block of instruction from CLS and case should send out more surveys to guys who use the BHL on a regular basis so we can tell you the good and the bad.
- The BHL is handy in many situations, however very underpowered drive train, and small stance gives it an awkwardness moving about difficult terrain. The cab has many visually impaired areas that are not worth the cab's weight in metal. A civilian cab would be preferred over a fake-up armored version.
- I personally have never seen a CLS person work on our equipment. When we need something done, we most likely always handle it ourselves or it is taken care of by maintenance.
- CLS needs to be more available during deployment. A lot more work would have got done if so.
- We blew a front tire in Afghanistan and we were unable to replace it for a little over a month while at Forward Operating Base (FOB) Sharana. Needless to say it was quite a letdown. The BHL did prove to be quite useful when it was operational because of its maneuverability.

- After receiving BHL's, all were deadlined within a month. Civilians told us they were probably sitting in a yard for a while. Dead batteries are a common problem. Need a way to carry around spare tires.
- While deployed I was a HMEE operator in 562nd EN Co., 5th Brigade, 2nd I.D. and the CLS was probably the worst that I have ever experienced. I believe that he was subsequently fired. But the fact that our unit maintenance could not work on it is crap. That is setting everyone affected by this equipment up for failure.

**OPERATOR (Question 24): HMEE, 7 comments**

- If you build another one, make sure the hyd. connectors and the fitting aren't fragile. Make everything bulletproof and it might stand up to the military operators.
- We had a lot of issues with the HMEE electrical system especially the Park Brake. It's garbage, but when we had a CLS in our FOB the problem was fixed immediately, but when he wasn't there we had to send the HMEE away and we wouldn't see it for months and also our unit maintenance didn't know anything about the HMEE, which was kind of annoying.
- System needs more power and better arm/ bucket design, and a bigger bucket.
- The HMEE that we received had constant hydraulic leaks and for some reason even after being fixed would develop the leak again after only a day or two of heavy use. I don't know what CLS did to "correct the problem," but whatever it was didn't work.
- CLS is too far away. Unit should be able to work on equipment. Warranty hampers reparability when you have to wait for CLS. We are just lucky that it winter and Operational tempo is slow.
- The HMEE was an overdesigned failure, too much electrical issues, and the metal is cheaply made, hydraulic tool work great but the hyd pump is unreliable in pressure. Overall, I have been operating army equipment for 12 years as a construction equipment operator and I am very disappointed in this overpriced equipment. Whoever is designing this equipment needs to get fired!
- I feel the HMEE is a good piece of equipment but hard to maintain due to the fact that you have to wait on CLS to get the equipment up and running. If we empower our army units to work on this piece of equipment, it will better help the units in garrison and down range. For example, unit level team looks at equipment and supplies the CLS [with]

what's wrong, so parts and issues can better be tracked and fixed in a timely manner. At least this will help units fix small things so missions are not hindered due to CLS not being in the area. Also CLS is not going to be at every training field problem you have. So once again this will also help when equipment breaks down at 0200, the unit can at least find the problem or fix it and update the CLS on what happened and what went wrong. Another problem is that the attachments are Stanley (i.e. jack hammer) and now you have two people who need to be called when things break down. The Army needs to build a better system or find another piece that's more friendly.

**MAINTAINER (Question 24): BHL, 2 comments**

- Maintenance work orders for the CASR BHL are conducted by a civilian contractor.
- The BHLs we currently have in unit (3 each) only came with 10-level or operator manuals. There are no maintenance (20/30) level or parts manuals available on LOGSA or LIW to order much-needed parts, both service and repair parts. Operators in unit have damaged the bucket blade and lost bolts in the clamshell as well as broken the teeth. Will have to evac. equipment to higher maintenance for these deadline repairs. Any further assistance on warranty or service actions is much appreciated. Would like any manuals for this equipment if available.

**MAINTAINER (Question 24): HMEE, 2 comments**

- I had to replace the trans in the HMEE, and the CLS left in the middle of the job, and it took a few months to get someone out to the FOB to reprogram the computer. Plus, I was left to finish on my own.
- It would be nice to have the ability to receive parts and repair them in the field vs. having to transport the piece of equipment half way across the country for repairs. I think this may have caused some delay in getting the system operational.

**LEADER (Question 26): BHL, 9 comments**

- What little support that we have needed, has been very professional, and customer-oriented. The Case BHL is a nice system, far better than the piece of junk SEE. This is coming from a guy who's worked for Caterpillar for 17 yrs. Have a great day!
- A job well done by CLS. Glad to have them visit the unit.
- The instructor provided great training to my Soldiers. Thank you very much for your help.

- The CLS was good to have the problem was getting them to our locations to fix the equipment that our operators were not able to fix due to warranty obligations.
- CLS was a strong asset.
- In-theater, the contractors were very proactive, they ensured our equipment got fixed fast and effectively. Here, Stateside, we have to stay on top of them or nothing seems to happen.
- I don't know that CLS was detrimental to my platoon's operation, because my company's and battalion's mechanics never had the opportunity to maintain the BHLs, so I can't compare and say that CLS repairs were substandard and the reason for the BHLs to continually break down. I suspect that the Case BHL is just a poorly designed acquisition for the Army. I would have gone with John Deere. The Case was a nice size for trenching, but most of the time I had to use my Deere 230LCM excavator, with its way too large bucket, because all the Case BHLs were in the shop.
- When there was an opportunity for our unit level team to fix it so we could get back to work, we couldn't due to warranty and contract issues. ... Just kind of hamstrung us in theater.
- I believe Case needs to train all Maintenance Officers, NCOs, personnel, etc. I feel units will be better prepared once maintenance sections are fully trained on operation, maintenance, and training requirements. While deployed, Case contractors attempted to deadline CASE BHL's that were working on job sites. If those vehicles belong to the Army, it is the unit's discretion whether or not to deadline a vehicle. Training from CASE needs to be immediate when Units receive the equipment. After training is received, the need for CASE contractor support should be minimal.

**LEADER (Question 26): HMEE, 3 comments**

- I know that these issues are known to everyone already, but if something could be done about this, it would be helpful. I think our mechanics should be capable to order parts and install them no matter what. We should always be self-sufficient in garrison or when deployed.
- Please note that I only supervised/operated the HMEE while deployed to Iraq in 2009.
- Biggest complaint was the HMEE did not have enough power compared to a CAT 5yd bucket loader.



Overall comments were provided by 31 percent of the survey participants. Thirty-six percent of the BHL participants provided comments, while only 26 percent of the HMEE participants provided comments. When analyzed by survey instrument, 31 percent of the operators, 57 percent of the maintainers, and 28 percent of the leaders provided comments to the last survey question.

## **CHAPTER 5**

### **CONCLUSIONS AND RECOMMENDATIONS**

#### **Population and Sample Size**

**Conclusion:** The survey instruments were distributed via AKO e-mail to an estimated 4,000 potential operator, maintainer, and leader participants responsible for the operation of 433 BHL and 269 HMEE systems. Responses after 55 days of solicitation totaled 105 complete surveys from 103 unique participants. Analyzing the survey participants against the projected e-mail list provided by AKO e-mail group sorting using UICs and MOS, participation was 2.6 percent of the possible e-mail population. When compared to the list of systems and UICs, the sample size or participants is 14.6 percent when one respondent per system is calculated but in reality if all systems have at least one operator, one maintainer, and one supervisor, then the response ratio falls to 4.9 percent. Responses were sufficient to provide data and establish a baseline for this report, but the confidence level of some responses are considered low and may not accurately reflect the responses for the full population. As the researcher, I did not have access to the AKO programmers that coded the RBG queries to populate the MOS groups by UIC. A number of the MOS RBG queries (MOS 12E, 12J, 120A, 123A, 915A, and 919A) did not return e-mail contacts for numerous potential participants so these MOSs are not represented in the data sets collected. I was unable to do follow-up with possible participants unless they completed a survey. The ability to validate the data provided was limited by the time of report submission and the accuracy of the e-mail address or unit contact provided by the participant in the demographics section of the survey.

**Recommendations:** Future research in this area using the BHL, HMEE, or any other COTS system currently using CLS will require command involvement or some type of incentive to insure better participation from unit operators, maintainers, and leaders. The survey instrument was simple, yet some participants failed to complete the second page of the survey. The survey was not time-consuming, averaging under 5 minutes to complete, and only required answers to 10 of the 24 or 25 questions, thus allowing the ability to opt out if the response was not known or the participant did not want to provide an answer. Yet less than 2.6 percent of those solicited responded with usable data.

## Demographics

**Conclusion:** The survey instruments initially were designed to address the engineer operators and leaders in the 21-series MOS. The Soldiers in the 21-series MOS have recently been reslotted in positions in the 12-series MOS. The data reflect the change to 12-series, but it is not clear if all components (Active, USAR, or ARNG) have completed the transition to the 21-series MOS. The AKO help desk ran the RBG queries against both the 12- and 21-series MOSs and they are reflected in the 3,924 individuals contacted via e-mail. The maintainer surveys were targeted for the 91-series MOS, and lists for 91B and 91L were received from the AKO RBG process. Participants solicited from the 91-series MOS queries resulted in only one 91X and five 91L responses. There were no survey responses from unit Warrant Officers from either the engineer or maintenance MOSs. And Officer Leadership only replied with four surveys.

Participant rank distribution was as projected for the leader survey, with the majority (65 percent) of the responses received from team and squad positions. These positions would have the firsthand insight as to whether CLS was working for the BHL or HMEE systems for they are the first-level supervisors on the job site. The operator survey, on the other hand, was returned by what appear to be both operators (49 percent) and supervisors (51 percent). Although most supervisors started out as operators and were qualified to respond to the operator survey, all but two failed to provide supervisor input using the leader survey instrument.

Participant experience had good distribution for all experience categories, as projected, for both the BHL and HMEE systems were fielded at different times to the participants' parent units or to individual unit UICs.

**Recommendations:** As recommended under sample size, require command involvement or some type of incentive to ensure better participation from unit operators, maintainers, and leaders as a way to support more robust participation. Also additional involvement from the respective programs offices and the user community. In this case, the Maneuver Support Center of Excellence (MSCoE), could contact unit leadership to entice unit participation. If possible, also target the contractor participants in the vendor CLS program to provider survey responses to the maintenance survey instrument with a section for additional contractor comments to either support or not support CLS for the life cycle of the system.

## **Training and Manuals**

**Conclusion:** Of the 62 operators and maintainers, eight participants (three BHL and five HMEE)—or 13 percent of the Soldiers—did not receive training on the BHL or HMEE systems. The unit leaders who did respond to the unit training question responded with a 92 percent favorable rating that their Soldiers were proficiently trained to operate the unit's assigned systems.

Approximately 9 out of 10 operators identified as having access to PMCS manuals for their assigned systems but only 3 in 7 maintainers reported having access to the manuals needed to execute system repairs or services.

The results for training and manual support for systems with CLS reflects approximately 90 percent overall proficiency (87 percent trained, 92 percent leader satisfaction, and 90 percent have manuals).

**Recommendations:** Units should attempt to train 100 percent of their work forces. In the case of the BHL and HMEE systems, both systems are acquisition programs of record and, upon issue, all operators should be trained by the program office using some variant of NET.

All systems should have operator level manuals. The BHL and HMEE were issued as new equipment to the assigned units. The program office should ensure that the manuals, along with training in their use, are an integral part of the fielding process. On the unit readiness side, mechanics with no access to maintenance manuals constitute an overall unit shortfall that leads to extended system down time. This can lead to mission failure, especially when the CLS element is not colocated on the FOB (or on the job site) during deployment (or training exercises).

## **CLS Involvement and Repair Activity**

**Conclusion:** Of the operators responding to requiring CLS to repair their system, 33 percent of the BHL and 48 percent of the HMEE operators identified needing CLS to make system repairs. Eighty total repairs (16 BHL and 64 HMEE) were identified by the survey respondents, and all were repaired by the CLS. As a whole, all repairs were to be made by the assigned CLS team and only one HMEE mechanic responded as actually working on a HMEE system while deployed. This would be in accordance with the provisions of the CLS contract.

When leaders were asked about CLS support repairs and services, 44 percent of the BHL and 50 percent of the HMEE respondents did not know how well CLS repairs were doing in their

unit. When asked about services, it was the same for HMEE but dropped to 40 percent for the BHL. Of those who responded and rated CLS in their unit, BHL supervisors stated CLS met expectations only 64 percent of the time for repairs and 67 percent of the time for services. HMEE supervisors stated CLS met expectations 66 percent of the time for repairs and 78 percent of the time for services. Repair under CLS had a negative effect on the unit's operational readiness rate. Comments on the BHL focused on three major areas: battery failure, tire and rim failure, and reports of problems with the front bucket hydraulic cylinder. Comments on the HMEE did not identify a repair problem pattern but did identify an instance of brake lock-up, broken drive shaft, and hydraulic leaks.

Warranty work accounted for approximately 56 percent of the work performed by the CLS in both systems (9 of 16 repairs reported on the BHL system and 39 of the 69 repairs reported on the HMEE system). Note: These are subjective data collected from operators and could bias data that may be available from mechanical diagnostics records or vendor logs. The BHL system is produced by a commercial manufacturer on a commercial assembly line and is similar to the commercial variant produced by the vendor for used by commercial contractors. The HMEE is assembled on a commercial assembly line but consists of NDI components from a number of the manufacturer's commercial agricultural and excavating systems. The higher number of warranty-related problems (39 for HMEE vs. 9 for BHL) is attributed to the lack of a commercial HMEE fleet to support trouble-shooting and system maturity of the product line. Leaders commented numerous times on problems with both systems being nonoperational due to warranty-type problems.

All participants were asked to compare CLS performance to unit maintenance performance for repairs and services. Forty percent of the participants opted to answer, "I don't know if there was a difference." Of the remaining 60 percent, 14 percent said there was no difference, 14 percent said organic was better, but the remaining 32 percent said CLS was better than the maintenance provided by the unit.

All participants were asked to identify how long it took for the systems that needed repair to return to operational status. Thirty-seven percent stated repairs took less than a day, 37 percent stated the repairs were completed in a week, and the remaining 26 percent said repairs took more than a week, with five of the repairs (four BHL and one HMEE) reported to take more than a month to complete. If the unit maintenance was trained to repair the systems and the unit's parts

capability was stocked using system demands, repairs would be expedited and nonoperational time reduced.

**Recommendations:** In a deployed or unit training exercise environment, the unit mechanics should be trained to make nonwarranty repairs to the system in the absence of colocated CLS assets.

Leader satisfaction for overall CLS performance in repairs must be improved. BHL problem areas such as tire and battery failure must be corrected. If they cannot be resolved in the short term, additional parts must be staged at the operational sites until the redesign or part upgrade can be made.

Warranty problems must be minimized and nonoperational time from warranty repairs reduced. If the sample-size data reflect population, 56 percent of the repairs are warranty-related on nonoperational time.

Although CLS performance was rated above unit maintenance by more than 50 percent of the participants rating CLS to unit maintenance, 63 percent of the repairs took 2 days or more to repair. Of that total, 19 percent of the respondents stated it took more than a month for repairs to be made to their systems. More than 2 days may be acceptable for USAR or ARNG units in a multiple units training activity such as a drill weekend, but for those units mobilized or in an annual training status, systems down more than 2 duty days under CLS is unacceptable. The CLS contractor must state the vendor will stock parts for anticipated repairs and provide services within 24 hours if the CLS team is not colocated with the systems unit.

### **Unit Operations and Deployment**

**Conclusion:** More than 42 percent of the participants indicated they had operated or supervised the operation of the BHL or HMEE systems while deployed, validating the data points concerning system usage and repair knowledge in an operational environment. Sixteen percent had experienced negative effects on their unit's mission. CLS impact to the mission must be reduced and, if possible, eliminated.

Only 28 percent of the leadership responded favorably to a good working relationship between the unit and CLS maintenance teams. In a deployed status, this rating is unacceptable.

**Recommendations:** The contracting officer or contracting officer's representative (COR) must play an active role and interface with the unit leadership and the CLS team to ensure neither unit mission nor operational readiness is degraded by use of CLS maintenance. The CLS

should be in contact with the unit maintenance leadership to coordinate recovery, repair operations, and tactical interface with CLS and the supported unit.

### **Miscellaneous Data Points and Comments**

**Conclusion:** Thirty-two percent of the participants provided closing comments to their specific survey instruments. Comment responses are captured in Chapter 4, starting on pp. 4-17. Comments when broken down into categories addressed parts, performance, training, human factors engineering (HFE), and the CLS contract/warranty. Most comments on CLS from a personal perspective were positive, addressing CLS as a positive asset when colocated with the unit. Warranty issues were highlighted four times in most cases in the absence of the CLS team where the unit mechanic could have made the repair in the absence of the CLS team was prevented from doing so by the warranty obligations. Parts and the lack thereof were identified numerous times in the case of BHL batteries and tires/rims and a HMEE transmission. Performance was negatively addressed in the BHL for bucket power and the HMEE for overall hydraulic power and electrical shortfalls in the braking system. Training was commented on by operators, maintainers, and leaders, who said more was required and should be offered annually as refresher courses. HFE was noted on BHL cab size (lack of storage for mission gear) and lack of visibility in the systems fitted with the armored CPK.

**Recommendations:** Warranty repairs need to be identified as soon as possible and parts staged for both the known repairs and for systems that can anticipate those repairs in the short term. The COR needs to be proactive and interface with the CLS team and unit leadership to identify if any warranty repairs can be made by unit maintenance in the absence of the CLS team if the deficiency is noted by previous units and the parts can be made available to the unit maintenance team (this also will cover the BHL tires, rims, and batteries covered in the maintenance comments section). Customer representation from the unit and MSCoE should address the power and electrical issues with the program office, and, if necessary, the vendor, and make adjustments where possible. The unit should work with the program office and the MSCoE or the Sustainment Center of Excellence (SCoE) to ensure current and requested annual training for operators and maintainers is funded and scheduled. Customer representation from the unit and MSCoE should address the visibility and crew compartment/CPK issues and make adjustments where possible.

### **Overall Analysis of Hypothesis Based on Data**

1. Organic maintenance support is required for full life cycle sustainment of COTS/NDI systems.
2. CLS can sustain a COTS/NDI system throughout the systems full life cycle.

**Conclusion:** Based on the data provided by the participants, CLS has its advantages in the area of warranty work, for the presence of a vendor representation cuts the initial diagnostic time and identification of a vendor required repair. Even with vendor present on-site through CLS, numerous repairs could not be completed quicker than if the unit was authorized to use its organic maintenance assets and organically stocked parts. The data indicate warranty repairs and CLS contract requirements extend nonoperational time of COTS items, particularly when a unit deploys with the systems and is in a tactical environment. CLS can support COTS systems for the estimated life cycle, but total LCC to contract the CLS team would be an added annual cost and an increase to the unit's logistical footprint when in a tactical environment. Once the unit's organic assets are trained, and the repair parts and services items are provisioned into the unit's parts inventory, organic maintenance support would be required to reach the lowest system LCC and maintain the system at its optimum operational readiness.





## REFERENCES

- DAU. (2005). *Performance Based Logistics: A Program Manager's Product Support Guide*. Defense Acquisition University Press.
- DAU. (2012). Performance Based Logistics—LOG 235 Slides.
- DLA, (May 2000). Defense Logistics Acquisition Directive. Washington DC: DLA.
- DoD. (September 2009). *Warranty Guide V1.0*. Washington DC: Department of Defense.
- Kohl, R.J. (2002). *Determining the Suitability of COTS in Mission Critical Systems*. Titan Systems Company.
- Krejcie, R.V. and Morgan, D.W. (1970). Determining Sample Size for Research Activities. *Educational and Psychological Measurements*, Number 30, pp. 607-610.
- Saunders, G.E. (January 2010). *DoD Acquisitions—SD-2*. Defense Standardization Program Office.



## **GLOSSARY OF ACRONYMS AND TERMS**

|        |  |
|--------|--|
| AIT    | Advanced Individual Training                   |
| AKO    | Army Knowledge On-line                         |
| AR     | Army Regulation                                |
| ARNG   | Army National Guard                            |
| BCA    | Business Case Analysis                         |
| BHL    | Backhoe Loader                                 |
| BSA    | Brigade Support Area                           |
| CARC   | Chemical Agent Resistant Coating               |
| CASE   | J.I. Case                                      |
| CFLCC  | Coalition Forces Land Component Command        |
| CLS    | Commercial Logistical Support                  |
| COR    | Contracting Officers Representative            |
| COTS   | Commercial Off-the-Shelf                       |
| CPD    | Capabilities Production Document               |
| CPK    | Crew Protection Kit                            |
| DAU    | Defense Acquisition University                 |
| DCMA   | Defense Contract Management Agency             |
| DLA    | Defense Logistics Agency                       |
| DLAD   | Defense Logistics Agency Directive             |
| DoD    | Department of Defense                          |
| DoDI   | Department of Defense Instruction              |
| FAR    | Federal Acquisition Regulation                 |
| FLMNET | Field Level Maintenance New Equipment Training |
| FMR    | Full Materiel Release                          |
| FOB    | Forward Operating Base                         |
| FUE    | First Unit Equipped                            |
| FY     | Fiscal Year                                    |
| HFE    | Human Factors Engineering                      |
| HMEE   | High Mobility Engineer Excavator               |

|       |  |
|-------|--|
| IED   | Improvised Explosive Device                  |
| JCB   | J.C. Bamford                                 |
| JP    | Jet Propellant                               |
| LCC   | Life Cycle Cost                              |
| LD    | Logistics Demonstration                      |
| LOG   | Logistics                                    |
| MAC   | Maintenance Allocation Chart                 |
| MOS   | Military Occupational Specialty              |
| MOU   | Memorandum of Understanding                  |
| MSCoE | Maneuver Support Center of Excellence        |
| NATO  | North Atlantic Treaty Organization           |
| NET   | New Equipment Training                       |
| NDI   | Non-Development Item                         |
| ONS   | Operational Needs Statement                  |
| OJT   | On-the-Job Training                          |
| ORD   | Operational Requirement Document             |
| PBL   | Performance Based Logistics                  |
| PM    | Program Manager                              |
| PMCS  | Preventative Maintenance Checks and Services |
| PMO   | Program Management Office                    |
| RBG   | Rule Based Groups (AKO sorting code)         |
| REF   | Rapid Equipping the Force                    |
| RI    | Rapid Initiative                             |
| SBCT  | STRYKER Brigade Combat Team                  |
| SCoE  | Sustainment Center of Excellence             |
| SD    | Supporting Document                          |
| SEE   | Small Engineer Excavator                     |
| SME   | Subject Matter Expert                        |
| SOCOM | Special Operations Command                   |
| SSP   | System Support Package                       |
| TM    | Technical Manual                             |

|       |   |
|-------|---|
| TMDE  | Test, Measurement, and Diagnostic Equipment |
| UIC   | Unit Identification Code                    |
| USAR  | United States Army Reserve                  |
| USAES | United States Army Engineer School          |



## APPENDIX A SURVEY INSTRUMENT

### OPERATOR

#### DEMOGRAPHICS

Please provide the information requested. Those questions with an asterisk are needed for data computation. Your name will be removed once the data is input to the main data base.

**\* 1. LAST NAME, First Name**

**2. E-Mail Address**

**\* 3. RANK**

☐

PVT to SPC

☐

MSG or 1SG

☐

WO or CW

☐

SGT to SSG

☐

LT

☐

SFC

☐

CPT

Other (please specify)

**\* 4. Primary MOS**

☐

21B

☐

21N

☐

915A

☐

21E

☐

91B

☐

919A

☐

21J

☐

91L

☐

Officer (21)

☐

21V

☐

91X

☐

Officer (91)

☐

21H

☐

120A

Other (please specify)

**5. Skill Level**

☐

I

☐

III

☐

II

☐

IV

**6. Secondary MOS / Skill Level (If Applicable)**

**\* 7. Unit Identification and Location (PLEASE USE HOME STATION IF YOU ARE CURRENTLY DEPLOYED)**



## OPERATOR

**8. Work or Contact Phone Number.**

**\*9. What System are you completing this survey for?**

☐ BHL

☐ HMEE

**\*10. What is your duty position?**

☐ OPERATOR

☐ MAINTAINER

☐ LEADER/SUPERVISOR

Other (please specify)

**\*11. How many months have you worked with or had this piece of equipment?**

☐ 1 - 2 Months

☐ 7 - 12 Months

☐ More than 2 years

☐ 3 - 6 Months

☐ 1 - 2 Years

The Demographic Portion of all three surveys (OPERATOR, MAINTAINER and LEADER/SUPERVISOR) consisted of the same 11 questions. The position specific questions follow. The OPERATOR and MAINTAINER specific questions start on p. 3 of the individual surveys, the LEADER questions start on p. 2 directly after Question 11:

## OPERATOR

### OPERATOR - TRAINING and OPERATION

Provide Insights, opinions, and comments as necessary to cover the discussion area if you are an OPERATOR.

**\* 12. Identify the sources of training you received for this system.**

☐ NET

☐ OJT

☐ NONE

☐ AIT/USAES

☐ Unit SME

Other (please specify)

**13. Did you receive training materials on this system? (CD, Manual, etc)**

☐ YES

☐ NO

**\* 14. Did you receive or have access to an operator manual for PMCS?**

☐ YES

☐ NO

**15. Did any of the manuals cover operator level maintenance tasks (other than PMCS)?**

☐ YES

☐ NO

☐ I Don't Know

**\* 16. Did you experience any equipment problems that required Contractor Logistical Support (CLS)? (If NO, move to question 21)**

☐ YES

☐ NO

**17. If you answered YES to question 16, approximate how many times did your system need CLS?**

Number of Problems

**18. Approximately how many months was CLS available?**

Number of Months

**19. Approximately how many of these problems were warranty related?**

Number of Problems (enter ZERO if you don't know)

**20. Approximately how long was your system non-operational during a repair once the CLS team was notified?**

Number of Hours

If more than a day, number of Days

## OPERATOR

**21. How do you think your equipment was maintained by CLS when compared to traditional unit maintenance?**

- ☐ CLS is much better than unit maintenance (1)
- ☐ CLS is some-what better than unit maintenance (2)
- ☐ There was no difference between CLS and unit maintenance (3)
- ☐ Unit maintenance some-what better than CLS (4)
- ☐ Unit maintenance is much better than CLS (5)
- ☐ I don't know if there was a difference (6)

**22. Have you used the BHL or HMEE while deployed?**

- ☐ YES
- ☐ NO
- ☐ Not Applicable

**23. Were there any situations that you were aware of where CLS had a negative effect on the unit's mission (effected movement, project completion, etc.)?**

- ☐ YES
- ☐ NO
- ☐ I Don't Know

**24. Please provide any comments you may have on CLS or unit maintenance.**

## MAINTAINER

### MAINTAINER - TRAINING and OPERATION

Provide insights, opinions, and comments as necessary to cover the discussion area if you are a UNIT EQUIPMENT MAINTAINER.

**\*12. Identify the sources of training you received for this system.**

☐ FLMNET

☐ OJT

☐ NONE

☐ AIT/USAES

☐ Unit SME

Other (please specify)

**13. Did you receive training materials on this system? (CD, Manual, etc)**

☐ YES

☐ NO

**\*14. Did you receive or have access to maintenance/parts manuals for the system?**

☐ YES

☐ NO

☐ I Don't Remember

**15. As a unit mechanic, were you authorized to repair or conduct services on the equipment that was assigned CLS? (IF NO, Go to Question 21)**

☐ YES

☐ NO

**16. Did you repair any equipment problems that could have been corrected by CLS? (If NO, move to question 21)**

☐ YES

☐ NO

**17. If you answered YES to question 16, how many times did you make a repair?**

Number of Problems

**18. Approximately how many months was CLS available?**

Number of Months

**19. Approximately how many of these problems were warranty related?**

Number of Problems

I Don't Know (enter Zero)

**20. Approximately how long was your system non-operational during a repair once the CLS team was notified that you could not make the repair?**

Number of Hours

If more than a day, number of Days

## MAINTAINER

**21. Did you operate or repair the BHL or HMEE while deployed?**

- ☐ YES
- ☐ NO
- ☐ Not Applicable

**22. How do you think your equipment was maintained by CLS when compared to traditional unit maintenance?**

- ☐ CLS is much better than unit maintenance (1)
- ☐ CLS is some-what better than unit maintenance (2)
- ☐ There was no difference between CLS and unit maintenance (3)
- ☐ Unit maintenance some-what better than CLS (4)
- ☐ Unit maintenance is much better than CLS (5)
- ☐ I don't know if there was a difference (6)

**23. Were there any situations that you were aware of where CLS had a negative effect on the unit's mission (effected movement, project completion, etc.)?**

- ☐ YES
- ☐ NO
- ☐ I Don't Know

**24. Please provide any comments you may have on CLS or unit maintenance.**



## UNIT LEADERSHIP INPUT

Provide insights, opinions, and comments as necessary to cover the discussion area if you are a UNIT LEADER.

**\*12. Were you satisfied with the training your operators received on the system?**

☐ YES

☐ There was no Unit Training that I am aware of

☐ NO

☐ N/A

**\*13. Were you satisfied with the training your maintainers received on the system?**

☐ YES

☐ There was no Unit Training that I am aware of

☐ NO

☐ N/A

**14. Did your Unit receive training manuals on the system?**

☐ YES

☐ NO

☐ N/A

**\*15. Did your Unit receive sufficient maintenance/parts manuals for the system?**

☐ YES

☐ Does Not Apply, CLS did everything

☐ NO

☐ N/A

**16. If your Unit received training from the CLS vendor, did it meet unit needs?**

☐ YES

☐ Unit did not receive training from CLS vendor

☐ NO

## LEADER/SUPERVISOR

**17. Did the CLS contractor meet Unit needs in the all the key areas of system repair?**

- ☐ Never (1)
- ☐ Some of the time (2)
- ☐ About half of the time (3)
- ☐ Most of the time (4)
- ☐ All the time (5)
- ☐ Don't know (6)
- ☐ Does Not apply (7)

**18. Did the CLS contractor meet Unit needs in the all the key areas of system service?**

- ☐ Never (1)
- ☐ Some of the time (2)
- ☐ About half of the time (3)
- ☐ Most of the time (4)
- ☐ All the time (5)
- ☐ Don't know (6)
- ☐ Does Not apply (7)

**19. How do you think your Unit's equipment was maintained by CLS when compared to the traditional unit maintenance your maintainers perform? (if necessary comment at the end of the survey)**

- ☐ CLS is much better than unit maintenance (1)
- ☐ CLS is some-what better than unit maintenance (2)
- ☐ There was no difference between CLS and unit maintenance (3)
- ☐ Unit maintenance some-what better than CLS (4)
- ☐ Unit maintenance is much better than CLS (5)
- ☐ I don't know if there was a difference (6)

**20. How long did it take the CLS team to complete an average repair?**

Number of Hours

If more than a day, Number of Days

|  |
|--|
|  |
|  |

## LEADER/SUPERVISOR

**21. Were any issues experienced causing extended down time?**

☐ YES

☐ NO

If YES, What were they?

**22. Were there any situations that you were aware of where CLS had a negative effect on the unit's mission (effected movement, project completion, etc.)?**

☐ YES

☐ I Don't Know

☐ NO

**23. Did your unit operators or maintainers operate or prepare the BHL or HMEE while deployed?**

☐ YES

☐ Not Applicable

☐ NO

☐ I Don't Know

**24. Were unit recovery operations with the CLS vendor and your Unit maintenance section a team effort?**

☐ YES

☐ NO

☐ N/A

**25. Did the CLS vendor have any effect on your Unit's tactical or administrative footprint?**

☐ YES

☐ NO

☐ N/A

**26. Please provide any comments you may have on CLS or its affects on your Unit.**





## APPENDIX B

### DISPOSITION, BHL SYSTEMS

| MACOM | City/Installation | State   | Receiving Unit          | TYPE OF UNIT | UIC (From Distr. Plan) | QTY BHL AUTH. | Serial #  | UID Serial # | Reg Number | NET Planned/ Completed |
|-------|-------------------|---------|-------------------------|--------------|------------------------|---------------|-----------|--------------|------------|------------------------|
| AC    | FT LEONARD WOOD   | MO      | 103 HORIZONTAL CONST CO | E            | WCW5AA                 | 1             | N8C422203 | BHL10210     | UC095B     | 14-Jan-10              |
| AC    | FT LEONARD WOOD   | MO      | 103 HORIZONTAL CONST CO | E            | WCW5AA                 | 1             | N8C422204 | BHL10211     | UC095C     | 14-Jan-10              |
| AC    | FT HOOD           | TX      | 104 VERTICAL CONST CO   | E            | WDXYAA                 | 1             | N8C422213 | BHL10220     | UC095M     | 21-Jan-10              |
| AC    | FT HOOD           | TX      | 104 VERTICAL CONST CO   | E            | WDXYAA                 | 1             | N8C422216 | BHL10223     | UC095Q     | 21-Jan-10              |
| AC    | FT HOOD           | TX      | 104 VERTICAL CONST CO   | E            | WDXYAA                 | 1             | N8C422217 | BHL10224     | UC095R     | 21-Jan-10              |
| AC    | FT KNOX           | KY      | 15 HORIZONTAL CONST CO  | E            | WDZRAA                 | 1             | N9C422554 | BHL10561     | UC09SF     | 28-Oct-10              |
| AC    | FT KNOX           | KY      | 15 HORIZONTAL CONST CO  | E            | WDZRAA                 | 1             | N9C422567 | BHL10574     | UC09SU     | 28-Oct-10              |
| AC    | FT POLK           | LA      | 178 VERTICAL CONST CO   | E            | WBCBAA                 | 1             | N9C422480 | BHL10487     | UC09Q9     | 17-Jun-10              |
| AC    | FT POLK           | LA      | 178 VERTICAL CONST CO   | E            | WBCBAA                 | 1             | N9C422481 | BHL10488     | UC09QA     | 17-Jun-10              |
| AC    | FT POLK           | LA      | 178 VERTICAL CONST CO   | E            | WBCBAA                 | 1             | N9C422482 | BHL10489     | UC09QB     | 17-Jun-10              |
| AC    | FT LEONARD WOOD   | MO      | 232 HORIZONTAL CONST CO | E            | WD79AA                 | 1             | N8C422205 | BHL10212     | UC095D     | 14-Jan-10              |
| AC    | FT LEONARD WOOD   | MO      | 232 HORIZONTAL CONST CO | E            | WD79AA                 | 1             | N8C422207 | BHL10214     | UC095F     | 14-Jan-10              |
| AC    | FT CARSON         | CO      | 497 HORIZONTAL CONST CO | E            | WBC8AA                 | 1             | N9C422529 | BHL10536     | UC09RQ     | 20-May-10              |
| AC    | FT CARSON         | CO      | 497 HORIZONTAL CONST CO | E            | WBC8AA                 | 1             | NAC532612 | BHL10606     | UC09WQ     | 20-May-10              |
| AC    | SCHWEINFURT       | GERMANY | 500 HORIZONTAL CONST CO | E            | WBCTAA                 | 1             | NAC532614 | BHL10608     | UC09WS     | 15-Jul-10              |
| AC    | SCHWEINFURT       | GERMANY | 500 HORIZONTAL CONST CO | E            | WBCTAA                 | 1             | NAC532619 | BHL10613     | UC09WX     | 15-Jul-10              |
| AC    | SCHOFIELD         | HI      | 523 HORIZONTAL CONST CO | E            | WD5EAA                 | 1             | N9C422571 | BHL10578     | UC09SY     | 15-Apr-10              |
| AC    | SCHOFIELD         | HI      | 523 HORIZONTAL CONST CO | E            | WD5EAA                 | 1             | N9C422572 | BHL10579     | UC09SZ     | 15-Apr-10              |
| AC    | FT STEWART        | GA      | 526 HORIZONTAL CONST CO | E            | WBCXAA                 | 1             | N8C422128 | BHL10131     | UC0930     | 15-Oct-09              |
| AC    | FT STEWART        | GA      | 526 HORIZONTAL CONST CO | E            | WBCXAA                 | 1             | N8C422129 | BHL10132     | UC0931     | 15-Oct-09              |
| AC    | FT CARSON         | CO      | 544 VERTICAL CONST CO   | E            | WDVBAA                 | 1             | N9C422535 | BHL10542     | UC09RW     | 20-May-10              |
| AC    | FT CARSON         | CO      | 544 VERTICAL CONST CO   | E            | WDVBAA                 | 1             | N9C422550 | BHL10557     | UC09SB     | 20-May-10              |
| AC    | FT CARSON         | CO      | 544 VERTICAL CONST CO   | E            | WDVBAA                 | 1             | N9C422558 | BHL10565     | UC09SK     | 20-May-10              |
| AC    | FT STEWART        | GA      | 554 VERTICAL CONST CO   | E            | WBBNAA                 | 1             | N8C422125 | BHL10129     | UC092Y     | 15-Oct-09              |
| AC    | FT STEWART        | GA      | 554 VERTICAL CONST CO   | E            | WBBNAA                 | 1             | N8C422127 | BHL10130     | UC092Z     | 15-Oct-09              |
| AC    | FT STEWART        | GA      | 554 VERTICAL CONST CO   | E            | WBBNAA                 | 1             | N8C422126 | BHL10146     | UC093F     | 15-Oct-09              |
| AC    | FT LEWIS          | WA      | 557 HORIZONTAL CONST CO | E            | WBB0AA                 | 1             | N9C422395 | BHL10402     | UC09CY     | 25-Mar-10              |
| AC    | FT LEWIS          | WA      | 557 HORIZONTAL CONST CO | E            | WBB0AA                 | 1             | N9C422396 | BHL10403     | UC09CZ     | 25-Mar-10              |
| AC    | FT RICHARDSON     | AK      | 56 VERTICAL CONST CO    | E            | WBA1AA                 | 1             | NAC532623 | BHL10617     | UC09X1     | 1-Jul-10               |
| AC    | FT RICHARDSON     | AK      | 56 VERTICAL CONST CO    | E            | WBA1AA                 | 1             | NAC532624 | BHL10618     | UC09X2     | 1-Jul-10               |
| AC    | FT RICHARDSON     | AK      | 56 VERTICAL CONST CO    | E            | WBA1AA                 | 1             | NAC532625 | BHL10619     | UC09X3     | 1-Jul-10               |
| AC    | SCHOFIELD         | HI      | 561 HORIZONTAL CONST CO | E            | WBA2AA                 | 1             | N9C422568 | BHL10575     | UC09SV     | 15-Apr-10              |
| AC    | SCHOFIELD         | HI      | 561 HORIZONTAL CONST CO | E            | WBA2AA                 | 1             | N9C422569 | BHL10576     | UC09SW     | 15-Apr-10              |
| AC    | FT LEWIS          | WA      | 585 VERTICAL CONST CO   | E            | WBBEAA                 | 1             | N9C422392 | BHL10399     | UC09CV     | 25-Mar-10              |
| AC    | FT LEWIS          | WA      | 585 VERTICAL CONST CO   | E            | WBBEAA                 | 1             | N9C422393 | BHL10400     | UC09CW     | 25-Mar-10              |
| AC    | FT LEWIS          | WA      | 585 VERTICAL CONST CO   | E            | WBBEAA                 | 1             | N9C422394 | BHL10401     | UC09CX     | 25-Mar-10              |
| AC    | FT BENNING        | GA      | 60 VERTICAL CONST CO    | E            | WE0UAA                 | 1             | N8C422137 | BHL10140     | UC0939     | 5-Nov-09               |
| AC    | FT BENNING        | GA      | 60 VERTICAL CONST CO    | E            | WE0UAA                 | 1             | N8C422138 | BHL10141     | UC093A     | 5-Nov-09               |
| AC    | FT BENNING        | GA      | 60 VERTICAL CONST CO    | E            | WE0UAA                 | 1             | N8C422136 | BHL10149     | UC0938     | 5-Nov-09               |
| AC    | FT CARSON         | CO      | 615 HORIZONTAL CONST CO | E            | WD74AA                 | 1             | N9C422400 | BHL10407     | UC09D3     | 20-May-10              |
| AC    | FT CARSON         | CO      | 615 HORIZONTAL CONST CO | E            | WD74AA                 | 1             | N9C422451 | BHL10458     | UC09PE     | 20-May-10              |

|    |                 |         |                         |   |        |    |           |          |        |           |
|----|-----------------|---------|-------------------------|---|--------|----|-----------|----------|--------|-----------|
| AC | FT LEWIS        | WA      | 617 HORIZONTAL CONST CO | E | WBCZAA | 1  | N9C422390 | BHL10397 | UC09CT | 25-Mar-10 |
| AC | FT LEWIS        | WA      | 617 HORIZONTAL CONST CO | E | WBCZAA | 1  | N9C422391 | BHL10398 | UC09CU | 25-Mar-10 |
| AC | FT BENNING      | GA      | 63 HORIZONTAL CONST CO  | E | WBC3AA | 1  | N8C422134 | BHL10137 | UC0936 | 5-Nov-09  |
| AC | FT BENNING      | GA      | 63 HORIZONTAL CONST CO  | E | WBC3AA | 1  | N8C422135 | BHL10138 | UC0937 | 5-Nov-09  |
| AC | SCHOFIELD       | HI      | 643 VERTICAL CONST CO   | E | WBC6AA | 1  | N9C422508 | BHL10515 | UC09R3 | 15-Apr-10 |
| AC | SCHOFIELD       | HI      | 643 VERTICAL CONST CO   | E | WBC6AA | 1  | N9C422574 | BHL10581 | UC09T1 | 15-Apr-10 |
| AC | SCHOFIELD       | HI      | 643 VERTICAL CONST CO   | E | WBC6AA | 1  | N9C422577 | BHL10584 | UC09T4 | 15-Apr-10 |
| AC | FT HOOD         | TX      | 68 HORIZONTAL CONST CO  | E | WBC4AA | 1  | N8C422208 | BHL10215 | UC095G | 21-Jan-10 |
| AC | FT HOOD         | TX      | 68 HORIZONTAL CONST CO  | E | WBC4AA | 1  | N8C422210 | BHL10217 | UC095J | 21-Jan-10 |
| AC | FT POLK         | LA      | 687 HORIZONTAL CONST CO | E | WETAAA | 1  | N9C422475 | BHL10482 | UC09Q4 | 17-Jun-10 |
| AC | FT POLK         | LA      | 687 HORIZONTAL CONST CO | E | WETAAA | 1  | N9C422476 | BHL10483 | UC09Q5 | 17-Jun-10 |
| AC | FT HOOD         | TX      | 697 HORIZONTAL CONST CO | E | WBC7AA | 1  | N8C422211 | BHL10218 | UC095K | 21-Jan-10 |
| AC | FT HOOD         | TX      | 697 HORIZONTAL CONST CO | E | WBC7AA | 1  | N8C422212 | BHL10219 | UC095L | 21-Jan-10 |
| AC | FT KNOX         | KY      | 76 VERTICAL CONST CO    | E | WHNGAA | 1  | NAC532640 | BHL10634 | UC09XJ | 28-Oct-10 |
| AC | FT KNOX         | KY      | 76 VERTICAL CONST CO    | E | WHNGAA | 1  | NAC532650 | BHL10644 | UC09XU | 28-Oct-10 |
| AC | FT KNOX         | KY      | 76 VERTICAL CONST CO    | E | WHNGAA | 1  | NAC532687 | BHL10681 | UC09YX | 28-Oct-10 |
| AC | FT LEONARD WOOD | MO      | 77 VERTICAL CONST CO    | E | WDZTAA | 1  | N8C422200 | BHL10207 | UC0958 | 14-Jan-10 |
| AC | FT LEONARD WOOD | MO      | 77 VERTICAL CONST CO    | E | WDZTAA | 1  | N8C422201 | BHL10208 | UC0959 | 14-Jan-10 |
| AC | FT LEONARD WOOD | MO      | 77 VERTICAL CONST CO    | E | WDZTAA | 1  | N8C422202 | BHL10209 | UC095A | 14-Jan-10 |
| AC | SCHWEINFURT     | GERMANY | 902 VERTICAL CONST CO   | E | WD73AA | 1  | NAC532600 | BHL10594 | UC09WC | 15-Jul-10 |
| AC | SCHWEINFURT     | GERMANY | 902 VERTICAL CONST CO   | E | WD73AA | 1  | NAC532602 | BHL10596 | UC09WE | 15-Jul-10 |
| AC | SCHWEINFURT     | GERMANY | 902 VERTICAL CONST CO   | E | WD73AA | 1  | NAC532622 | BHL10616 | UC09X0 | 15-Jul-10 |
| AC | FT POLK         | LA      | 93 VERTICAL CONST CO    | E | WBBFAA | 1  | N9C422477 | BHL10484 | UC09Q6 | 17-Jun-10 |
| AC | FT POLK         | LA      | 93 VERTICAL CONST CO    | E | WBBFAA | 1  | N9C422478 | BHL10485 | UC09Q7 | 17-Jun-10 |
| AC | FT POLK         | LA      | 93 VERTICAL CONST CO    | E | WBBFAA | 1  | N9C422479 | BHL10486 | UC09Q8 | 17-Jun-10 |
| AC | FT STEWART      | GA      | 984 HORIZONTAL CONST CO | E | WBCQAA | 1  | N8C422123 | BHL10127 | UC092W | 15-Oct-09 |
| AC | FT STEWART      | GA      | 984 HORIZONTAL CONST CO | E | WBCQAA | 1  | N8C422165 | BHL10172 | UC0947 | 15-Oct-09 |
|    |                 |         |                         |   |        | 68 |           |          |        |           |
| AR | SAN ANTONIO     | TX      | 277 HORIZONTAL CONST CO | E | WRYGAA | 1  | N9C422275 | BHL10282 | UC099E | Mar-10    |
| AR | SAN ANTONIO     | TX      | 277 HORIZONTAL CONST CO | E | WRYGAA | 1  | N9C422309 | BHL10316 | UC09AE | Mar-10    |
| AR | FT CARSON       | CO      | 282 HORIZONTAL CONST CO | E | WTMLAA | 1  | N9C422339 | BHL10346 | UC09BA | 24-Feb-10 |
| AR | FT CARSON       | CO      | 282 HORIZONTAL CONST CO | E | WTMLAA | 1  | N9C422344 | BHL10351 | UC09BF | 24-Feb-10 |
| AR | SEAGOVILLE      | TX      | 284 VERTICAL CONST CO   | E | WRYHAA | 1  | NAC532690 | BHL10684 | UC09MK | Jan-10    |
| AR | SEAGOVILLE      | TX      | 284 VERTICAL CONST CO   | E | WRYHAA | 1  | NAC532693 | BHL10687 | UC09Z1 | Jan-10    |
| AR | SEAGOVILLE      | TX      | 284 VERTICAL CONST CO   | E | WRYHAA | 1  | NAC532694 | BHL10688 | UC09Z2 | Jan-10    |
| AR | FT RICHARDSON   | AK      | 297 HORIZONTAL CONST CO | E | WS5YAA | 1  | NAC532606 | BHL10600 | UC09WJ | 1-Jul-10  |
| AR | FT RICHARDSON   | AK      | 297 HORIZONTAL CONST CO | E | WS5YAA | 1  | NAC532608 | BHL10602 | UC09WL | 1-Jul-10  |
| AR | SAN ANTONIO     | TX      | 302 VERTICAL CONST CO   | E | WTGDAA | 1  | N9C422322 | BHL10329 | UC09AT | 13-Mar-10 |
| AR | SAN ANTONIO     | TX      | 302 VERTICAL CONST CO   | E | WTGDAA | 1  | N9C422324 | BHL10331 | UC09AV | 13-Mar-10 |
| AR | SAN ANTONIO     | TX      | 302 VERTICAL CONST CO   | E | WTGDAA | 1  | N9C422325 | BHL10332 | UC09AW | 13-Mar-10 |
| AR | LIMA            | OH      | 304 VERTICAL CONST CO   | E | WRC4AA | 1  | N8C422238 | BHL10245 | UC096C | Apr-10    |
| AR | LIMA            | OH      | 304 VERTICAL CONST CO   | E | WRC4AA | 1  | N8C422245 | BHL10252 | UC096K | Apr-10    |
| AR | LIMA            | OH      | 304 VERTICAL CONST CO   | E | WRC4AA | 1  | N9C422254 | BHL10261 | UC098V | Apr-10    |
| AR | AMITYVILLE      | NY      | 306 VERTICAL CONST CO   | E | WS5ZAA | 1  | N8C422224 | BHL10231 | UC095Y | May-10    |
| AR | AMITYVILLE      | NY      | 306 VERTICAL CONST CO   | E | WS5ZAA | 1  | N8C422230 | BHL10237 | UC0964 | May-10    |
| AR | AMITYVILLE      | NY      | 306 VERTICAL CONST CO   | E | WS5ZAA | 1  | N8C422231 | BHL10238 | UC0965 | May-10    |
| AR | DULUTH          | MN      | 312 HORIZONTAL CONST CO | E | WRZQAA | 1  | N8C422189 | BHL10196 | UC094X | Aug-10    |
| AR | DULUTH          | MN      | 312 HORIZONTAL CONST CO | E | WRZQAA | 1  | N8C422190 | BHL10197 | UC094Y | Aug-10    |
| AR | KANKAKEE        | IL      | 317 HORIZONTAL CONST CO | E | WRCPA  | 1  | N9C422333 | BHL10340 | UC09B4 | Oct-10    |
| AR | KANKAKEE        | IL      | 317 HORIZONTAL CONST CO | E | WRCPA  | 1  | N9C422334 | BHL10341 | UC09B5 | Oct-10    |
| AR | DECORAH         | IA      | 322 VERTICAL CONST CO   | E | WRCWAA | 1  | N9C422282 | BHL10289 | UC099M | 13-Mar-10 |
| AR | DECORAH         | IA      | 322 VERTICAL CONST CO   | E | WRCWAA | 1  | N9C422285 | BHL10292 | UC099Q | 13-Mar-10 |
| AR | DECORAH         | IA      | 322 VERTICAL CONST CO   | E | WRCWAA | 1  | N9C422286 | BHL10293 | UC099R | 13-Mar-10 |
| AR | ONALASKA        | WI      | 327 VERTICAL CONST CO   | E | WRY2AA | 1  | N9C422323 | BHL10330 | UC09AU | Sep-10    |
| AR | ONALASKA        | WI      | 327 VERTICAL CONST CO   | E | WRY2AA | 1  | N9C422326 | BHL10333 | UC09AX | Sep-10    |
| AR | ONALASKA        | WI      | 327 VERTICAL CONST CO   | E | WRY2AA | 1  | N9C422328 | BHL10335 | UC09AZ | Sep-10    |
| AR | READING         | PA      | 333 HORIZONTAL CONST CO | E | WRZJAA | 1  | N9C422452 | BHL10459 | UC09PF | Mar-10    |
| AR | READING         | PA      | 333 HORIZONTAL CONST CO | E | WRZJAA | 1  | NAC532610 | BHL10604 | UC09WN | Mar-10    |
| AR | WEIRTON         | WV      | 336 VERTICAL CONST CO   | E | WRCSAA | 1  | N9C422463 | BHL10470 | UC09PS | Apr-10    |
| AR | WEIRTON         | WV      | 336 VERTICAL CONST CO   | E | WRCSAA | 1  | N9C422465 | BHL10472 | UC09PU | Apr-10    |
| AR | WEIRTON         | WV      | 336 VERTICAL CONST CO   | E | WRCSAA | 1  | N9C422467 | BHL10474 | UC09PW | Apr-10    |
| AR | ATTLEBORO       | MA      | 338 HORIZONTAL CONST CO | E | WRZR   | 1  | N9C422253 | BHL10260 | UC098U | 17-Mar-10 |
| AR | ATTLEBORO       | MA      | 338 HORIZONTAL CONST CO | E | WRZR   | 1  | N9C422256 | BHL10263 | UC098X | 17-Mar-10 |
| AR | NEW KENSINGTON  | PA      | 340 HORIZONTAL CONST CO | E | WRZAAA | 1  | N9C422417 | BHL10424 | UC09DL | May-10    |
| AR | NEW KENSINGTON  | PA      | 340 HORIZONTAL CONST CO | E | WRZAAA | 1  | N9C422428 | BHL10435 | UC09DX | May-10    |
| AR | NEW CUMBERLAND  | PA      | 358 VERTICAL CONST CO   | E | WRCZAA | 1  | N9C422429 | BHL10436 | UC09DY | May-10    |

|    |                |    |                         |   |        |   |           |          |        |           |
|----|----------------|----|-------------------------|---|--------|---|-----------|----------|--------|-----------|
| AR | NEW CUMBERLAND | PA | 358 VERTICAL CONST CO   | E | WRCZAA | 1 | N9C422430 | BHL10437 | UC09DZ | May-10    |
| AR | NEW CUMBERLAND | PA | 358 VERTICAL CONST CO   | E | WRCZAA | 1 | N9C422432 | BHL10439 | UC09E1 | May-10    |
| AR | PEWAUKEE       | WI | 372 VERTICAL CONST CO   | E | WRCRAA | 1 | N9C422445 | BHL10452 | UC09P8 | Apr-10    |
| AR | PEWAUKEE       | WI | 372 VERTICAL CONST CO   | E | WRCRAA | 1 | N9C422449 | BHL10456 | UC09PC | Apr-10    |
| AR | PEWAUKEE       | WI | 372 VERTICAL CONST CO   | E | WRCRAA | 1 | N9C422453 | BHL10460 | UC09PG | Apr-10    |
| AR | BUTLER         | PA | 377 VERTICAL CONST CO   | E | WRGNAA | 1 | N9C422437 | BHL10444 | UC09P0 | 6-Mar-10  |
| AR | BUTLER         | PA | 377 VERTICAL CONST CO   | E | WRGNAA | 1 | N9C422441 | BHL10448 | UC09P4 | 6-Mar-10  |
| AR | BUTLER         | PA | 377 VERTICAL CONST CO   | E | WRGNAA | 1 | N9C422446 | BHL10453 | UC09P9 | 6-Mar-10  |
| AR | PHOENIX        | AZ | 387 HORIZONTAL CONST CO | E | WRZLAA | 1 | N9C422346 | BHL10353 | UC09BH | Mar-10    |
| AR | PHOENIX        | AZ | 387 HORIZONTAL CONST CO | E | WRZLAA | 1 | N9C422348 | BHL10355 | UC09BK | Mar-10    |
| AR | MIDDLETON      | IA | 389 VERTICAL CONST CO   | E | WRCXAA | 1 | N9C422272 | BHL10279 | UC099B | 13-Mar-10 |
| AR | MIDDLETON      | IA | 389 VERTICAL CONST CO   | E | WRCXAA | 1 | N9C422274 | BHL10281 | UC099D | 13-Mar-10 |
| AR | MIDDLETON      | IA | 389 VERTICAL CONST CO   | E | WRCXAA | 1 | N9C422276 | BHL10283 | UC099F | 13-Mar-10 |
| AR | CHATTANOOGA    | TN | 390 VERTICAL CONST CO   | E | WRCTAA | 1 | N9C422252 | BHL10259 | UC098T | 6-Mar-10  |
| AR | CHATTANOOGA    | TN | 390 VERTICAL CONST CO   | E | WRCTAA | 1 | N9C422500 | BHL10507 | UC09QV | 6-Mar-10  |
| AR | CHATTANOOGA    | TN | 390 VERTICAL CONST CO   | E | WRCTAA | 1 | N9C422501 | BHL10508 | UC09QW | 6-Mar-10  |
| AR | FT COLLINS     | CO | 409 VERTICAL CONST CO   | E | WRZGAA | 1 | N9C422355 | BHL10362 | UC09BS | 24-Feb-10 |
| AR | FT COLLINS     | CO | 409 VERTICAL CONST CO   | E | WRZGAA | 1 | N9C422357 | BHL10364 | UC09BU | 24-Feb-10 |
| AR | FT COLLINS     | CO | 409 VERTICAL CONST CO   | E | WRZGAA | 1 | N9C422361 | BHL10368 | UC09BY | 24-Feb-10 |
| AR | IOWA CITY      | IA | 411 HORIZONTAL CONST CO | E | WRCMAA | 1 | N9C422443 | BHL10450 | UC09P6 | 24-Feb-10 |
| AR | IOWA CITY      | IA | 411 HORIZONTAL CONST CO | E | WRCMAA | 1 | N9C422444 | BHL10451 | UC09P7 | 24-Feb-10 |
| AR | SCRANTON       | PA | 412 VERTICAL CONST CO   | E | WRZHAA | 1 | N9C422447 | BHL10454 | UC09PA | May-10    |
| AR | SCRANTON       | PA | 412 VERTICAL CONST CO   | E | WRZHAA | 1 | N9C422448 | BHL10455 | UC09PB | May-10    |
| AR | SCRANTON       | PA | 412 VERTICAL CONST CO   | E | WRZHAA | 1 | N9C422450 | BHL10457 | UC09PD | May-10    |
| AR | BULLVILLE      | NY | 417 HORIZONTAL CONST CO | E | WRCNAA | 1 | N9C422273 | BHL10280 | UC099C | 10-Mar-10 |
| AR | BULLVILLE      | NY | 417 HORIZONTAL CONST CO | E | WRCNAA | 1 | N9C422279 | BHL10286 | UC099J | 10-Mar-10 |
| AR | RUTLAND        | VT | 424 VERTICAL CONST CO   | E | WS5SAA | 1 | N9C422281 | BHL10288 | UC099L | 17-Mar-10 |
| AR | RUTLAND        | VT | 424 VERTICAL CONST CO   | E | WS5SAA | 1 | N9C422290 | BHL10297 | UC099V | 17-Mar-10 |
| AR | RUTLAND        | VT | 424 VERTICAL CONST CO   | E | WS5SAA | 1 | N9C422304 | BHL10311 | UC09A9 | 17-Mar-10 |
| AR | FARGO          | ND | 461 VERTICAL CONST CO   | E | WRZMAA | 1 | N9C422305 | BHL10312 | UC09AA | 24-Feb-10 |
| AR | FARGO          | ND | 461 VERTICAL CONST CO   | E | WRZMAA | 1 | N9C422315 | BHL10322 | UC09AL | 24-Feb-10 |
| AR | FARGO          | ND | 461 VERTICAL CONST CO   | E | WRZMAA | 1 | N9C422320 | BHL10327 | UC09AR | 24-Feb-10 |
| AR | FT BUCHANAN    | PR | 471 VERTICAL CONST CO   | E | WRYNAA | 1 | N8C422186 | BHL10193 | UC094U | 17-Dec-09 |
| AR | FT BUCHANAN    | PR | 471 VERTICAL CONST CO   | E | WRYNAA | 1 | N8C422187 | BHL10194 | UC094V | 17-Dec-09 |
| AR | FT BUCHANAN    | PR | 471 VERTICAL CONST CO   | E | WRYNAA | 1 | N8C422191 | BHL10198 | UC094Z | 17-Dec-09 |
| AR | PONCE          | PR | 475 HORIZONTAL CONST CO | E | WRZBAA | 1 | N8C422179 | BHL10186 | UC094M | 17-Dec-09 |
| AR | PONCE          | PR | 475 HORIZONTAL CONST CO | E | WRZBAA | 1 | N8C422180 | BHL10187 | UC094N | 17-Dec-09 |
| AR | ROCKFORD       | IL | 485 VERTICAL CONST CO   | E | WRC3AA | 1 | N9C422291 | BHL10298 | UC099W | 24-Feb-10 |
| AR | ROCKFORD       | IL | 485 VERTICAL CONST CO   | E | WRC3AA | 1 | N9C422294 | BHL10301 | UC099Z | 24-Feb-10 |
| AR | ROCKFORD       | IL | 485 VERTICAL CONST CO   | E | WRC3AA | 1 | N9C422296 | BHL10303 | UC09A1 | 24-Feb-10 |
| AR | MONCLOVA       | OH | 486 VERTICAL CONST CO   | E | WRY6AA | 1 | N8C422195 | BHL10202 | UC0953 | Apr-10    |
| AR | MONCLOVA       | OH | 486 VERTICAL CONST CO   | E | WRY6AA | 1 | N8C422226 | BHL10233 | UC0960 | Apr-10    |
| AR | MONCLOVA       | OH | 486 VERTICAL CONST CO   | E | WRY6AA | 1 | N8C422229 | BHL10236 | UC0963 | Apr-10    |
| AR | MANKATO        | MN | 492 VERTICAL CONST CO   | E | WRKLAA | 1 | N9C422297 | BHL10304 | UC09A2 | Sep-09    |
| AR | MANKATO        | MN | 492 VERTICAL CONST CO   | E | WRKLAA | 1 | N9C422301 | BHL10308 | UC09A6 | Sep-09    |
| AR | MANKATO        | MN | 492 VERTICAL CONST CO   | E | WRKLAA | 1 | N9C422302 | BHL10309 | UC09A7 | Sep-09    |
| AR | SPOKANE        | WA | 659 HORIZONTAL CONST CO | E | WRYPAA | 1 | N9C422338 | BHL10345 | UC09B9 | Mar-10    |
| AR | SPOKANE        | WA | 659 HORIZONTAL CONST CO | E | WRYPAA | 1 | N9C422349 | BHL10356 | UC09BL | Mar-10    |
| AR | BROOKVILLE     | PA | 665 VERTICAL CONST CO   | E | WRC5AA | 1 | NAC532605 | BHL10599 | UC09WH | 3-Mar-10  |
| AR | BROOKVILLE     | PA | 665 VERTICAL CONST CO   | E | WRC5AA | 1 | NAC532616 | BHL10610 | UC09WU | 3-Mar-10  |
| AR | BROOKVILLE     | PA | 665 VERTICAL CONST CO   | E | WRC5AA | 1 | NAC532618 | BHL10612 | UC09WW | 3-Mar-10  |
| AR | ORANGEBURG     | NY | 668 VERTICAL CONST CO   | E | WRC1AA | 1 | N9C422306 | BHL10313 | UC09AB | 10-Mar-10 |
| AR | ORANGEBURG     | NY | 668 VERTICAL CONST CO   | E | WRC1AA | 1 | N9C422307 | BHL10314 | UC09AC | 10-Mar-10 |
| AR | ORANGEBURG     | NY | 668 VERTICAL CONST CO   | E | WRC1AA | 1 | N9C422497 | BHL10504 | UC09QS | 10-Mar-10 |
| AR | FT MISSOULA    | MT | 672 VERTICAL CONST CO   | E | WRC2AA | 1 | N9C422351 | BHL10358 | UC09BN | Aug-10    |
| AR | FT MISSOULA    | MT | 672 VERTICAL CONST CO   | E | WRC2AA | 1 | N9C422353 | BHL10360 | UC09BQ | Aug-10    |
| AR | FT MISSOULA    | MT | 672 VERTICAL CONST CO   | E | WRC2AA | 1 | N9C422354 | BHL10361 | UC09BR | Aug-10    |
| AR | JOHNSON CITY   | TN | 702 HORIZONTAL CONST CO | E | WRCLAA | 1 | N9C422489 | BHL10496 | UC09QJ | 6-Mar-10  |
| AR | JOHNSON CITY   | TN | 702 HORIZONTAL CONST CO | E | WRCLAA | 1 | N9C422493 | BHL10500 | UC09QN | 6-Mar-10  |
| AR | SOMERSWORTH    | NH | 716 VERTICAL CONST CO   | E | WRC0AA | 1 | N9C422504 | BHL10511 | UC09QZ | 27-Feb-10 |
| AR | SOMERSWORTH    | NH | 716 VERTICAL CONST CO   | E | WRC0AA | 1 | N9C422511 | BHL10518 | UC09R6 | 27-Feb-10 |
| AR | SOMERSWORTH    | NH | 716 VERTICAL CONST CO   | E | WRC0AA | 1 | N9C422513 | BHL10520 | UC09R8 | 27-Feb-10 |
| AR | FT BENNING     | GA | 718 HORIZONTAL CONST CO | E | WRY7AA | 1 | N8C422124 | BHL10128 | UC092X | 19-Nov-09 |
| AR | FT BENNING     | GA | 718 HORIZONTAL CONST CO | E | WRY7AA | 1 | N8C422149 | BHL10156 | UC093R | 19-Nov-09 |
| AR | GRAND PRAIRE   | TX | 721 HORIZONTAL CONST CO | E | WRYXAA | 1 | NAC532613 | BHL10607 | UC09WR | 24-Feb-10 |
| AR | GRAND PRAIRE   | TX | 721 HORIZONTAL CONST CO | E | WRYXAA | 1 | NAC532700 | BHL10694 | UC09Z8 | 24-Feb-10 |

|       |                 |      |                          |   |        |     |           |          |        |           |
|-------|-----------------|------|--------------------------|---|--------|-----|-----------|----------|--------|-----------|
| AR    | GREENVILLE      | TN   | 733 VERTICAL CONST CO    | E | WRCVAA | 1   | N9C422406 | BHL10413 | UC09D9 | 10-Mar-10 |
| AR    | GREENVILLE      | TN   | 733 VERTICAL CONST CO    | E | WRCVAA | 1   | N9C422490 | BHL10497 | UC09QK | 10-Mar-10 |
| AR    | GREENVILLE      | TN   | 733 VERTICAL CONST CO    | E | WRCVAA | 1   | N9C422492 | BHL10499 | UC09QM | 10-Mar-10 |
| AR    | CEIBO           | PR   | 756 HORIZONTAL CONST CO  | E | WRGXAA | 1   | N8C422181 | BHL10188 | UC094P | 17-Dec-09 |
| AR    | CEIBO           | PR   | 756 HORIZONTAL CONST CO  | E | WRGXAA | 1   | N8C422185 | BHL10192 | UC094T | 17-Dec-09 |
| AR    | PERRINE         | FL   | 758 VERTICAL CONST CO    | E | WQ1TAA | 1   | N9C422491 | BHL10498 | UC09QL | 6-Mar-10  |
| AR    | PERRINE         | FL   | 758 VERTICAL CONST CO    | E | WQ1TAA | 1   | N9C422506 | BHL10513 | UC09R1 | 6-Mar-10  |
| AR    | PERRINE         | FL   | 758 VERTICAL CONST CO    | E | WQ1TAA | 1   | N9C422507 | BHL10514 | UC09R2 | 6-Mar-10  |
| AR    | MARION          | VA   | 760 VERTICAL CONST CO    | E | WRY8AA | 1   | N9C422530 | BHL10537 | UC09RR | May-10    |
| AR    | MARION          | VA   | 760 VERTICAL CONST CO    | E | WRY8AA | 1   | N9C422541 | BHL10548 | UC09S2 | May-10    |
| AR    | MARION          | VA   | 760 VERTICAL CONST CO    | E | WRY8AA | 1   | N9C422560 | BHL10567 | UC09SM | May-10    |
| AR    | FT LAUDERDALE   | FL   | 766 HORIZONTAL CONST CO  | E | WRCQAA | 1   | N9C422498 | BHL10505 | UC09QT | 24-Feb-10 |
| AR    | FT LAUDERDALE   | FL   | 766 HORIZONTAL CONST CO  | E | WRCQAA | 1   | N9C422499 | BHL10506 | UC09QU | 24-Feb-10 |
| AR    | PENNYAN         | NY   | 770 HORIZONTAL CONST CO  | E | WQ12AA | 1   | N9C422515 | BHL10522 | UC09RA | 3-Mar-10  |
| AR    | PENNYAN         | NY   | 770 HORIZONTAL CONST CO  | E | WQ12AA | 1   | N9C422519 | BHL10526 | UC09RE | 3-Mar-10  |
| AR    | PARKERSBURG     | WV   | 779 HORIZONTAL CONST CO  | E | WS5XAA | 1   | N9C422404 | BHL10411 | UC09D7 | Mar-10    |
| AR    | PARKERSBURG     | WV   | 779 HORIZONTAL CONST CO  | E | WS5XAA | 1   | N9C422410 | BHL10417 | UC09DD | Mar-10    |
| AR    | BARRIGADA       | GUAM | 797 VERTICAL CONST CO    | E | WRCYAA | 1   | N9C422565 | BHL10572 | UC09SS | 23-Apr-10 |
| AR    | BARRIGADA       | GUAM | 797 VERTICAL CONST CO    | E | WRCYAA | 1   | NAC532626 | BHL10620 | UC09X4 | 23-Apr-10 |
| AR    | BARRIGADA       | GUAM | 797 VERTICAL CONST CO    | E | WRCYAA | 1   | NAC532627 | BHL10621 | UC09X5 | 23-Apr-10 |
| AR    | VALLEJO         | CA   | 801 HORIZONTAL CONST CO  | E | WRZPAA | 1   | N9C422356 | BHL10363 | UC09BT | 27-Feb-10 |
| AR    | VALLEJO         | CA   | 801 HORIZONTAL CONST CO  | E | WRZPAA | 1   | N9C422358 | BHL10365 | UC09BV | 27-Feb-10 |
| AR    | HOUSTON         | TX   | 808 VERTICAL CONST CO    | E | WS50AA | 1   | N9C422312 | BHL10319 | UC09AH | Jun-10    |
| AR    | HOUSTON         | TX   | 808 VERTICAL CONST CO    | E | WS50AA | 1   | N9C422319 | BHL10326 | UC09AQ | Jun-10    |
| AR    | HOUSTON         | TX   | 808 VERTICAL CONST CO    | E | WS50AA | 1   | N9C422321 | BHL10328 | UC09AS | Jun-10    |
| AR    | HILO            | HI   | 871 VERTICAL CONST CO    | E | WQX8AA | 1   | N9C422528 | BHL10535 | UC09RP | 23-Apr-10 |
| AR    | HILO            | HI   | 871 VERTICAL CONST CO    | E | WQX8AA | 1   | N9C422534 | BHL10541 | UC09RV | 23-Apr-10 |
| AR    | HILO            | HI   | 871 VERTICAL CONST CO    | E | WQX8AA | 1   | N9C422561 | BHL10568 | UC09SN | 23-Apr-10 |
| AR    | FT LEONARD WOOD | MO   | 955 HORIZONTAL CONST CO  | E | WRZUAA | 1   | N9C422375 | BHL10382 | UC09CC | 27-Feb-10 |
| AR    | FT LEONARD WOOD | MO   | 955 HORIZONTAL CONST CO  | E | WRZUAA | 1   | N9C422376 | BHL10383 | UC09CD | 27-Feb-10 |
| AR    | SHARONVILLE     | OH   | 961 HORIZONTAL CONST CO  | E | WRZEAA | 1   | N8C422193 | BHL10200 | UC0951 | Jul-10    |
| AR    | SHARONVILLE     | OH   | 961 HORIZONTAL CONST CO  | E | WRZEAA | 1   | N8C422194 | BHL10201 | UC0952 | Jul-10    |
| AR    | FT DIX          | NJ   | 990 VERTICAL CONST CO    | E | WRYRAA | 1   | N8C422196 | BHL10203 | UC0954 | 14-Jan-10 |
| AR    | FT DIX          | NJ   | 990 VERTICAL CONST CO    | E | WRYRAA | 1   | N8C422198 | BHL10205 | UC0956 | 14-Jan-10 |
| AR    | FT DIX          | NJ   | 990 VERTICAL CONST CO    | E | WRYRAA | 1   | N8C422243 | BHL10250 | UC096H | 14-Jan-10 |
| AR    | DENVER          | CO   | 994 VERTICAL CONST CO    | E | WVPRAA | 1   | N9C422345 | BHL10352 | UC09BG | 6-Mar-10  |
| AR    | DENVER          | CO   | 994 VERTICAL CONST CO    | E | WVPRAA | 1   | N9C422347 | BHL10354 | UC09BJ | 6-Mar-10  |
| AR    | DENVER          | CO   | 994 VERTICAL CONST CO    | E | WVPRAA | 1   | N9C422350 | BHL10357 | UC09BM | 6-Mar-10  |
| AR    | MILWAUKEE       | WI   | 996 HORIZONTAL CONST CO  | E | WVPSAA | 1   | N9C422262 | BHL10269 | UC098L | Feb-10    |
| AR    | MILWAUKEE       | WI   | 996 HORIZONTAL CONST CO  | E | WVPSAA | 1   | N9C422271 | BHL10278 | UC099A | Feb-10    |
|       |                 |      |                          |   |        | 144 |           |          |        |           |
| COMPO | CHARLESTON      | SC   | 9086 HORIZONTAL CO       | E | WMBWAA | 1   | N8C422073 | BHL10080 | UC091H | N/A       |
| COMPO | CHARLESTON      | SC   | 9086 HORIZONTAL CO       | E | WMBWAA | 1   | N8C422083 | BHL10090 | UC091T | N/A       |
| COMPO | CHARLESTON      | SC   | 9087 VERTICAL CO         | E | WMBVAA | 1   | N8C422096 | BHL10103 | UC0926 | N/A       |
| COMPO | CHARLESTON      | SC   | 9087 VERTICAL CO         | E | WMBVAA | 1   | N8C422098 | BHL10105 | UC0928 | N/A       |
| COMPO | CHARLESTON      | SC   | 9087 VERTICAL CO         | E | WMBVAA | 1   | N8C422104 | BHL10111 | UC092E | N/A       |
| NG    | VEGA BAJA       | PR   | 1010 HORIZONTAL CONST CO | E | WX17AA | 1   | N8C422167 | BHL10174 | UC0949 | 17-Dec-09 |
| NG    | VEGA BAJA       | PR   | 1010 HORIZONTAL CONST CO | E | WX17AA | 1   | N8C422169 | BHL10176 | UC094B | 17-Dec-09 |
| NG    | VEGA BAJA       | PR   | 1011 VERTICAL CONST CO   | E | WX16AA | 1   | N8C422170 | BHL10177 | UC094C | 17-Dec-09 |
| NG    | VEGA BAJA       | PR   | 1011 VERTICAL CONST CO   | E | WX16AA | 1   | N8C422172 | BHL10179 | UC094E | 17-Dec-09 |
| NG    | VEGA BAJA       | PR   | 1011 VERTICAL CONST CO   | E | WX16AA | 1   | N8C422173 | BHL10180 | UC094F | 17-Dec-09 |
| NG    | NO LITTLE ROCK  | AR   | 1038 HORIZONTAL CONST CO | E | WX32AA | 1   | N9C422314 | BHL10321 | UC09AK | 13-May-10 |
| NG    | NO LITTLE ROCK  | AR   | 1038 HORIZONTAL CONST CO | E | WX32AA | 1   | N9C422332 | BHL10339 | UC09B3 | 13-May-10 |
| NG    | CLARKSBURG      | WV   | 115 VERTICAL CONST CO    | E | WPM3AA | 1   | NAC532660 | BHL10654 | UC09Y4 | 12-Sep-10 |
| NG    | SUMMERSVILLE    | WV   | 115 VERTICAL CONST CO    | E | WPM3AA | 1   | NAC532676 | BHL10670 | UC09YL | 12-Sep-10 |
| NG    | CLARKSBURG      | WV   | 115 VERTICAL CONST CO    | E | WPM3AA | 1   | NAC532677 | BHL10671 | UC09YM | 12-Sep-10 |
| NG    | KINGSTON        | NY   | 1156 VERTICAL CONST CO   | E | WX0JAA | 1   | N9C422486 | BHL10493 | UC09QF | 15-Jul-10 |
| NG    | KINGSTON        | NY   | 1156 VERTICAL CONST CO   | E | WX0JAA | 1   | N9C422487 | BHL10494 | UC09QG | 15-Jul-10 |
| NG    | KINGSTON        | NY   | 1156 VERTICAL CONST CO   | E | WX0JAA | 1   | N9C422488 | BHL10495 | UC09QH | 15-Jul-10 |
| NG    | SPANISH FORK    | UT   | 116 HORIZONTAL CONST CO  | E | WY10AA | 1   | N9C422402 | BHL10409 | UC09D5 | 6-May-10  |
| NG    | SPANISH FORK    | UT   | 116 HORIZONTAL CONST CO  | E | WY10AA | 1   | N9C422411 | BHL10418 | UC09DE | 6-May-10  |
| NG    | PORTSMOUTH      | OH   | 1191 HORIZONTAL CONST CO | E | WX3CAA | 1   | N8C422168 | BHL10175 | UC094A | 10-Dec-09 |

|    |              |    |                          |   |        |   |           |          |        |           |
|----|--------------|----|--------------------------|---|--------|---|-----------|----------|--------|-----------|
| NG | PORTSMOUTH   | OH | 1191 HORIZONTAL CONST CO | E | WX3CAA | 1 | N8C422178 | BHL10185 | UC094L | 10-Dec-09 |
| NG | RAVENNA      | OH | 1192 HORIZONTAL CONST CO | E | WX7NAA | 1 | N9C422247 | BHL10254 | UC098N | 10-Dec-09 |
| NG | RAVENNA      | OH | 1192 HORIZONTAL CONST CO | E | WX7NAA | 1 | N9C422248 | BHL10255 | UC098P | 10-Dec-09 |
| NG | CHILLICOTHE  | OH | 1194 VERTICAL CONST CO   | E | WX3AAA | 1 | N8C422184 | BHL10191 | UC094S | 10-Dec-09 |
| NG | CHILLICOTHE  | OH | 1194 VERTICAL CONST CO   | E | WX3AAA | 1 | N8C422188 | BHL10195 | UC094W | 10-Dec-09 |
| NG | CHILLICOTHE  | OH | 1194 VERTICAL CONST CO   | E | WX3AAA | 1 | N8C422228 | BHL10235 | UC0962 | 10-Dec-09 |
| NG | OKMULGEE     | OK | 120 HORIZONTAL CONST CO  | E | WY0ZAA | 1 | N9C422308 | BHL10315 | UC09AD | 10-Jun-10 |
| NG | OKMULGEE     | OK | 120 HORIZONTAL CONST CO  | E | WY0ZAA | 1 | N9C422340 | BHL10347 | UC09BB | 10-Jun-10 |
| NG | SUMMERVILLE  | SC | 1223 VERTICAL CONST CO   | E | WY19AA | 1 | N9C422377 | BHL10384 | UC09CE | 11-Mar-10 |
| NG | SUMMERVILLE  | SC | 1223 VERTICAL CONST CO   | E | WY19AA | 1 | N9C422378 | BHL10385 | UC09CF | 11-Mar-10 |
| NG | SUMMERVILLE  | SC | 1223 VERTICAL CONST CO   | E | WY19AA | 1 | N9C422379 | BHL10386 | UC09CG | 11-Mar-10 |
| NG | SALUDA       | SC | 124 HORIZONTAL CONST CO  | E | WY16AA | 1 | N9C422380 | BHL10387 | UC09CH | 11-Mar-10 |
| NG | SALUDA       | SC | 124 HORIZONTAL CONST CO  | E | WY16AA | 1 | N9C422381 | BHL10388 | UC09CJ | 11-Mar-10 |
| NG | CP ATTERBURY | IN | 1313 HORIZONTAL CONST CO | E | WY12AA | 1 | N9C422546 | BHL10553 | UC09S7 | 14-Oct-10 |
| NG | CP ATTERBURY | IN | 1313 HORIZONTAL CONST CO | E | WY12AA | 1 | N9C422555 | BHL10562 | UC09SG | 14-Oct-10 |
| NG | PORTLAND     | ME | 136 VERTICAL CONST CO    | E | WY1AAA | 1 | N9C422317 | BHL10324 | UC09AN | 20-May-10 |
| NG | PORTLAND     | ME | 136 VERTICAL CONST CO    | E | WY1AAA | 1 | N9C422327 | BHL10334 | UC09AY | 20-May-10 |
| NG | PORTLAND     | ME | 136 VERTICAL CONST CO    | E | WY1AAA | 1 | N9C422337 | BHL10344 | UC09B8 | 20-May-10 |
| NG | NEW ALBANY   | IN | 1413 VERTICAL CONST CO   | E | WY18AA | 1 | N9C422539 | BHL10546 | UC09S0 | 14-Oct-10 |
| NG | NEW ALBANY   | IN | 1413 VERTICAL CONST CO   | E | WY18AA | 1 | N9C422543 | BHL10550 | UC09S4 | 14-Oct-10 |
| NG | NEW ALBANY   | IN | 1413 VERTICAL CONST CO   | E | WY18AA | 1 | N9C422545 | BHL10552 | UC09S6 | 14-Oct-10 |
| NG | CALUMET      | MI | 1430 VERTICAL CONST CO   | E | WX7TAA | 1 | N9C422405 | BHL10412 | UC09D8 | 22-Apr-10 |
| NG | CALUMET      | MI | 1430 VERTICAL CONST CO   | E | WX7TAA | 1 | N9C422407 | BHL10414 | UC09DA | 22-Apr-10 |
| NG | CALUMET      | MI | 1430 VERTICAL CONST CO   | E | WX7TAA | 1 | N9C422409 | BHL10416 | UC09DC | 22-Apr-10 |
| NG | CP GRAYLING  | MI | 1434 VERTICAL CONST CO   | E | WX7SAA | 1 | N9C422412 | BHL10419 | UC09DF | Aug-10    |
| NG | CP GRAYLING  | MI | 1434 VERTICAL CONST CO   | E | WX7SAA | 1 | N9C422413 | BHL10420 | UC09DG | Aug-10    |
| NG | CP GRAYLING  | MI | 1434 VERTICAL CONST CO   | E | WX7SAA | 1 | N9C422414 | BHL10421 | UC09DH | Aug-10    |
| NG | MONTAGUE     | MI | 1436 HORIZONTAL CONST CO | E | WX8PAA | 1 | N8C422223 | BHL10230 | UC095X | Aug-10    |
| NG | MONTAGUE     | MI | 1436 HORIZONTAL CONST CO | E | WX8PAA | 1 | N9C422415 | BHL10422 | UC09DJ | Aug-10    |
| NG | CYNTHIANA    | KY | 149 VERTICAL CONST CO    | E | WY0LAA | 1 | N9C422382 | BHL10389 | UC09CK | 11-Mar-10 |
| NG | CYNTHIANA    | KY | 149 VERTICAL CONST CO    | E | WY0LAA | 1 | N9C422383 | BHL10390 | UC09CL | 11-Mar-10 |
| NG | CYNTHIANA    | KY | 149 VERTICAL CONST CO    | E | WY0LAA | 1 | N9C422384 | BHL10391 | UC09CM | 11-Mar-10 |
| NG | SEA GIRT     | NJ | 150 HORIZONTAL CONST CO  | E | WY14AA | 1 | N9C422549 | BHL10556 | UC09SA | 24-Mar-11 |
| NG | SEA GIRT     | NJ | 150 HORIZONTAL CONST CO  | E | WY14AA | 1 | N9C422553 | BHL10560 | UC09SE | 24-Mar-11 |
| NG | WAGNER       | SD | 155 VERTICAL CONST CO    | E | WX9RAA | 1 | N9C422431 | BHL10438 | UC09E0 | 8-Apr-10  |
| NG | WAGNER       | SD | 155 VERTICAL CONST CO    | E | WX9RAA | 1 | N9C422434 | BHL10441 | UC09E3 | 8-Apr-10  |
| NG | WAGNER       | SD | 155 VERTICAL CONST CO    | E | WX9RAA | 1 | N9C422455 | BHL10462 | UC09PJ | 8-Apr-10  |
| NG | MIDDLETOWN   | DE | 160 VERTICAL CONST CO    | E | WY0HAA | 1 | N9C422433 | BHL10440 | UC09E2 | 10-Jun-10 |
| NG | MIDDLETOWN   | DE | 160 VERTICAL CONST CO    | E | WY0HAA | 1 | N9C422439 | BHL10446 | UC09P2 | 10-Jun-10 |
| NG | MIDDLETOWN   | DE | 160 VERTICAL CONST CO    | E | WY0HAA | 1 | N9C422454 | BHL10461 | UC09PH | 10-Jun-10 |
| NG | WINFIELD     | AL | 166 VERTICAL CONST CO    | E | WY0JAA | 1 | N9C422278 | BHL10285 | UC099H | 24-Jun-10 |
| NG | WINFIELD     | AL | 166 VERTICAL CONST CO    | E | WY0JAA | 1 | N9C422280 | BHL10287 | UC099K | 24-Jun-10 |
| NG | WINFIELD     | AL | 166 VERTICAL CONST CO    | E | WY0JAA | 1 | N9C422287 | BHL10294 | UC099S | 24-Jun-10 |
| NG | VERNON       | AL | 168 HORIZONTAL CONST CO  | E | WY0FAA | 1 | N9C422299 | BHL10306 | UC09A4 | 24-Jun-10 |
| NG | VERNON       | AL | 168 HORIZONTAL CONST CO  | E | WY0FAA | 1 | N9C422300 | BHL10307 | UC09A5 | 24-Jun-10 |
| NG | SEATTLE      | WA | 176 VERTICAL CONST CO    | E | WX0NAA | 1 | N9C422385 | BHL10392 | UC09CN | 11-Apr-10 |
| NG | SEATTLE      | WA | 176 VERTICAL CONST CO    | E | WX0NAA | 1 | N9C422386 | BHL10393 | UC09CP | 11-Apr-10 |
| NG | SEATTLE      | WA | 176 VERTICAL CONST CO    | E | WX0NAA | 1 | N9C422387 | BHL10394 | UC09CQ | 11-Apr-10 |
| NG | CP EDWARDS   | MA | 181 VERTICAL CONST CO    | E | WX0LAA | 1 | N9C422420 | BHL10427 | UC09DP | 13-May-10 |
| NG | CP EDWARDS   | MA | 181 VERTICAL CONST CO    | E | WX0LAA | 1 | N9C422427 | BHL10434 | UC09DW | 13-May-10 |
| NG | CP EDWARDS   | MA | 181 VERTICAL CONST CO    | E | WX0LAA | 1 | N9C422442 | BHL10449 | UC09P5 | 13-May-10 |
| NG | DOTHAN       | AL | 186 HORIZONTAL CONST CO  | E | WY0GAA | 1 | N9C422289 | BHL10296 | UC099U | 24-Jun-10 |
| NG | DOTHAN       | AL | 186 HORIZONTAL CONST CO  | E | WY0GAA | 1 | N9C422292 | BHL10299 | UC099X | 24-Jun-10 |
| NG | WAHPETON     | ND | 188 VERTICAL CONST CO    | E | WY01AA | 1 | N8C422163 | BHL10170 | UC0945 | 31-Oct-09 |
| NG | WAHPETON     | ND | 188 VERTICAL CONST CO    | E | WY01AA | 1 | N8C422164 | BHL10171 | UC0946 | 31-Oct-09 |
| NG | WAHPETON     | ND | 188 VERTICAL CONST CO    | E | WY01AA | 1 | N8C422166 | BHL10173 | UC0948 | 31-Oct-09 |
| NG | JACKSON      | KY | 207 HORIZONTAL CONST CO  | E | WY0EAA | 1 | N8C422218 | BHL10225 | UC095S | 11-Mar-10 |
| NG | JACKSON      | KY | 207 HORIZONTAL CONST CO  | E | WY0EAA | 1 | N8C422219 | BHL10226 | UC095T | 11-Mar-10 |
| NG | PARIS        | TN | 212 VERTICAL CONST CO    | E | WX3JAA | 1 | N9C422250 | BHL10257 | UC098R | 21-Jan-10 |
| NG | PARIS        | TN | 212 VERTICAL CONST CO    | E | WX3JAA | 1 | N9C422251 | BHL10258 | UC098S | 21-Jan-10 |

|    |             |    |                         |   |        |   |           |          |        |           |
|----|-------------|----|-------------------------|---|--------|---|-----------|----------|--------|-----------|
| NG | PARIS       | TN | 212 VERTICAL CONST CO   | E | WX3JAA | 1 | N9C422255 | BHL10262 | UC098W | 21-Jan-10 |
| NG | MUSKOGEE    | OK | 2120 VERTICAL CONST CO  | E | WY1BAA | 1 | N9C422298 | BHL10305 | UC09A3 | 10-Jun-10 |
| NG | MUSKOGEE    | OK | 2120 VERTICAL CONST CO  | E | WY1BAA | 1 | N9C422303 | BHL10310 | UC09A8 | 10-Jun-10 |
| NG | MUSKOGEE    | OK | 2120 VERTICAL CONST CO  | E | WY1BAA | 1 | N9C422457 | BHL10464 | UC09PL | 10-Jun-10 |
| NG | AIBONITO    | PR | 215 VERTICAL CONST CO   | E | WPL8AA | 1 | N8C422174 | BHL10181 | UC094G | 17-Dec-09 |
| NG | AIBONITO    | PR | 215 VERTICAL CONST CO   | E | WPL8AA | 1 | N8C422176 | BHL10183 | UC094J | 17-Dec-09 |
| NG | AIBONITO    | PR | 215 VERTICAL CONST CO   | E | WPL8AA | 1 | N8C422177 | BHL10184 | UC094K | 17-Dec-09 |
| NG | FESTUS      | MO | 220 HORIZONTAL CONST CO | E | WX2MAA | 1 | N9C422473 | BHL10480 | UC09Q2 | 17-Jun-10 |
| NG | FESTUS      | MO | 220 HORIZONTAL CONST CO | E | WX2MAA | 1 | N9C422474 | BHL10481 | UC09Q3 | 17-Jun-10 |
| NG | AUGUSTA     | KS | 226 VERTICAL CONST CO   | E | WX1AAA | 1 | N8C422117 | BHL10121 | UC092Q | 25-Oct-09 |
| NG | AUGUSTA     | KS | 226 VERTICAL CONST CO   | E | WX1AAA | 1 | N8C422118 | BHL10122 | UC092R | 25-Oct-09 |
| NG | AUGUSTA     | KS | 226 VERTICAL CONST CO   | E | WX1AAA | 1 | N8C422119 | BHL10123 | UC092S | 25-Oct-09 |
| NG | PLATTEVILLE | WI | 229 HORIZONTAL CONST CO | E | WY13AA | 1 | N9C422311 | BHL10318 | UC09AG | 29-Apr-10 |
| NG | PLATTEVILLE | WI | 229 HORIZONTAL CONST CO | E | WY13AA | 1 | N9C422316 | BHL10323 | UC09AM | 29-Apr-10 |
| NG | PEARL CITY  | HI | 230 VERTICAL CONST CO   | E | WX2YAA | 1 | N9C422468 | BHL10475 | UC09PX | 23-Apr-10 |
| NG | PEARL CITY  | HI | 230 VERTICAL CONST CO   | E | WX2YAA | 1 | N9C422559 | BHL10566 | UC09SL | 23-Apr-10 |
| NG | PEARL CITY  | HI | 230 VERTICAL CONST CO   | E | WX2YAA | 1 | N9C422562 | BHL10569 | UC09SP | 23-Apr-10 |
| NG | WARRENTON   | OR | 234 VERTICAL CONST CO   | E | WPJUAA | 1 | N9C422514 | BHL10521 | UC09R9 | 22-Jul-10 |
| NG | WARRENTON   | OR | 234 VERTICAL CONST CO   | E | WPJUAA | 1 | N9C422516 | BHL10523 | UC09RB | 22-Jul-10 |
| NG | WARRENTON   | OR | 234 VERTICAL CONST CO   | E | WPJUAA | 1 | N9C422586 | BHL10593 | UC09TD | 22-Jul-10 |
| NG | ABILENE     | TX | 236 VERTICAL CONST CO   | E | WX3UAA | 1 | N9C422521 | BHL10528 | UC09RG | 19-Aug-10 |
| NG | ABILENE     | TX | 236 VERTICAL CONST CO   | E | WX3UAA | 1 | N9C422522 | BHL10529 | UC09RH | 19-Aug-10 |
| NG | ABILENE     | TX | 236 VERTICAL CONST CO   | E | WX3UAA | 1 | N9C422524 | BHL10531 | UC09RK | 19-Aug-10 |
| NG | LAS VEGAS   | NV | 240 VERTICAL CONST CO   | E | WPK2AA | 1 | NAC532621 | BHL10615 | UC09WZ | 21-Oct-10 |
| NG | LAS VEGAS   | NV | 240 VERTICAL CONST CO   | E | WPK2AA | 1 | NAC532630 | BHL10624 | UC09X8 | 21-Oct-10 |
| NG | LAS VEGAS   | NV | 240 VERTICAL CONST CO   | E | WPK2AA | 1 | NAC532651 | BHL10645 | UC09XV | 21-Oct-10 |
| NG | COFFEYVILLE | KS | 242 HORIZONTAL CONST CO | E | WX19AA | 1 | N8C422120 | BHL10124 | UC092T | 25-Oct-09 |
| NG | COFFEYVILLE | KS | 242 HORIZONTAL CONST CO | E | WX19AA | 1 | N8C422121 | BHL10125 | UC092U | 25-Oct-09 |
| NG | ELLCOTT     | MD | 244 VERTICAL CONST CO   | E | WX11AA | 1 | N9C422517 | BHL10524 | UC09RC | 22-Jul-10 |
| NG | ELLCOTT     | MD | 244 VERTICAL CONST CO   | E | WX11AA | 1 | N9C422518 | BHL10525 | UC09RD | 22-Jul-10 |
| NG | ELLCOTT     | MD | 244 VERTICAL CONST CO   | E | WX11AA | 1 | N9C422520 | BHL10527 | UC09RF | 22-Jul-10 |
| NG | COLCHESTER  | VT | 251 HORIZONTAL CONST CO | E | WPJ6AA | 1 | N9C422403 | BHL10410 | UC09D6 | 6-May-10  |
| NG | COLCHESTER  | VT | 251 HORIZONTAL CONST CO | E | WPJ6AA | 1 | N9C422495 | BHL10502 | UC09QQ | 6-May-10  |
| NG | JOHNSTOWN   | PA | 252 HORIZONTAL CONST CO | E | WX1EAA | 1 | N9C422401 | BHL10408 | UC09D4 | 29-Jul-10 |
| NG | JOHNSTOWN   | PA | 252 HORIZONTAL CONST CO | E | WX1EAA | 1 | N9C422458 | BHL10465 | UC09PM | 29-Jul-10 |
| NG | PHOENIX     | AZ | 258 HORIZONTAL CONST CO | E | WX0ZAA | 1 | N8C422162 | BHL10169 | UC0944 | 10-Dec-09 |
| NG | PHOENIX     | AZ | 258 HORIZONTAL CONST CO | E | WX0ZAA | 1 | N8C422220 | BHL10227 | UC095U | 10-Dec-09 |
| NG | LEWISTON    | ME | 262 HORIZONTAL CONST CO | E | WY15AA | 1 | N9C422310 | BHL10317 | UC09AF | 20-May-10 |
| NG | LEWISTON    | ME | 262 HORIZONTAL CONST CO | E | WY15AA | 1 | N9C422313 | BHL10320 | UC09AJ | 20-May-10 |
| NG | ANGLETON    | TX | 272 VERTICAL CONST CO   | E | WX3VAA | 1 | N8C422144 | BHL10151 | UC093L | 29-Oct-09 |
| NG | ANGLETON    | TX | 272 VERTICAL CONST CO   | E | WX3VAA | 1 | N8C422145 | BHL10152 | UC093M | 29-Oct-09 |
| NG | ANGLETON    | TX | 272 VERTICAL CONST CO   | E | WX3VAA | 1 | N8C422146 | BHL10153 | UC093N | 29-Oct-09 |
| NG | MONETT      | MO | 276 VERTICAL CONST CO   | E | WX2LAA | 1 | N9C422460 | BHL10467 | UC09PP | 17-Jun-10 |
| NG | MONETT      | MO | 276 VERTICAL CONST CO   | E | WX2LAA | 1 | N9C422472 | BHL10479 | UC09Q1 | 17-Jun-10 |
| NG | MONETT      | MO | 276 VERTICAL CONST CO   | E | WX2LAA | 1 | N9C422583 | BHL10590 | UC09TA | 17-Jun-10 |
| NG | SNOHOMISH   | WA | 286 HORIZONTAL CONST CO | E | WX0PAA | 1 | N9C422388 | BHL10395 | UC09CR | 11-Apr-10 |
| NG | SNOHOMISH   | WA | 286 HORIZONTAL CONST CO | E | WX0PAA | 1 | N9C422389 | BHL10396 | UC09CS | 11-Apr-10 |
| NG | OXNARD      | CA | 315 VERTICAL CONST CO   | E | WX0BAA | 1 | N8C422233 | BHL10240 | UC0967 | 1-Apr-10  |
| NG | OXNARD      | CA | 315 VERTICAL CONST CO   | E | WX0BAA | 1 | N8C422234 | BHL10241 | UC0968 | 1-Apr-10  |
| NG | OXNARD      | CA | 315 VERTICAL CONST CO   | E | WX0BAA | 1 | N8C422235 | BHL10242 | UC0969 | 1-Apr-10  |
| NG | BASTROP     | TX | 342 HORIZONTAL CONST CO | E | WX22AA | 1 | N8C422142 | BHL10139 | UC093J | 29-Oct-09 |
| NG | BASTROP     | TX | 342 HORIZONTAL CONST CO | E | WX22AA | 1 | N8C422143 | BHL10150 | UC093K | 29-Oct-09 |
| NG | BOURNE      | MA | 379 HORIZONTAL CONST CO | E | WPJ2AA | 1 | N8C422139 | BHL10143 | UC093C | 29-Oct-09 |
| NG | BOURNE      | MA | 379 HORIZONTAL CONST CO | E | WPJ2AA | 1 | N8C422140 | BHL10147 | UC093G | 29-Oct-09 |
| NG | OMAHA       | NE | 623 VERTICAL CONST CO   | E | WX2ZAA | 1 | N9C422542 | BHL10549 | UC09S3 | 29-Jul-10 |
| NG | OMAHA       | NE | 623 VERTICAL CONST CO   | E | WX2ZAA | 1 | N9C422547 | BHL10554 | UC09S8 | 29-Jul-10 |
| NG | OMAHA       | NE | 623 VERTICAL CONST CO   | E | WX2ZAA | 1 | N9C422552 | BHL10559 | UC09SD | 29-Jul-10 |
| NG | W JORDAN    | UT | 624 VERTICAL CONST CO   | E | WY17AA | 1 | N9C422418 | BHL10425 | UC09DM | 6-May-10  |
| NG | W JORDAN    | UT | 624 VERTICAL CONST CO   | E | WY17AA | 1 | N9C422423 | BHL10430 | UC09DS | 6-May-10  |
| NG | W JORDAN    | UT | 624 VERTICAL CONST CO   | E | WY17AA | 1 | N9C422424 | BHL10431 | UC09DT | 6-May-10  |
| NG | CHICO       | CA | 649 HORIZONTAL CONST CO | E | WX0GAA | 1 | N8C422236 | BHL10243 | UC096A | 1-Apr-10  |
| NG | CHICO       | CA | 649 HORIZONTAL CONST CO | E | WX0GAA | 1 | N8C422237 | BHL10244 | UC096B | 1-Apr-10  |
| NG | SPARTA      | IL | 661 HORIZONTAL CONST CO | E | WX1KAA | 1 | N9C422246 | BHL10253 | UC098M | 7-Jan-10  |
| NG | SPARTA      | IL | 661 HORIZONTAL CONST CO | E | WX1KAA | 1 | N9C422249 | BHL10256 | UC098Q | 7-Jan-10  |
| NG | EDGELEY     | ND | 815 HORIZONTAL CONST CO | E | WY00AA | 1 | N8C422150 | BHL10157 | UC093S | 31-Oct-09 |
| NG | EDGELEY     | ND | 815 HORIZONTAL CONST CO | E | WY00AA | 1 | N8C422151 | BHL10158 | UC093T | 31-Oct-09 |

|     |                  |    |                         |   |        |   |           |          |        |           |
|-----|------------------|----|-------------------------|---|--------|---|-----------|----------|--------|-----------|
| NG  | DICKINSON        | ND | 816 HORIZONTAL CONST CO | E | WX9ZAA | 1 | N8C422153 | BHL10160 | UC093V | 31-Oct-09 |
| NG  | DICKINSON        | ND | 816 HORIZONTAL CONST CO | E | WX9ZAA | 1 | N8C422157 | BHL10164 | UC093Z | 31-Oct-09 |
| NG  | CLARKSBURG       | WV | 821 HORIZONTAL CONST CO | E | WPLJAA | 1 | NAC532661 | BHL10655 | UC09Y5 | 12-Sep-10 |
| NG  | SUMMERSVILLE     | WV | 821 HORIZONTAL CONST CO | E | WPLJAA | 1 | NAC532663 | BHL10657 | UC09Y7 | 12-Sep-10 |
| NG  | STEPHENVILLE     | TX | 822 HORIZONTAL CONST CO | E | WX3WAA | 1 | N8C422147 | BHL10154 | UC093P | 29-Oct-09 |
| NG  | STEPHENVILLE     | TX | 822 HORIZONTAL CONST CO | E | WX3WAA | 1 | N8C422148 | BHL10155 | UC093Q | 29-Oct-09 |
| NG  | HORSEHEAD        | NY | 828 HORIZONTAL CONST CO | E | WX0HAA | 1 | N9C422484 | BHL10491 | UC09QD | 15-Jul-10 |
| NG  | HORSEHEAD        | NY | 828 HORIZONTAL CONST CO | E | WX0HAA | 1 | N9C422485 | BHL10492 | UC09QE | 15-Jul-10 |
| NG  | ASHLAND          | WI | 829 VERTICAL CONST CO   | E | WY1LAA | 1 | N9C422318 | BHL10325 | UC09AP | 29-Apr-10 |
| NG  | ASHLAND          | WI | 829 VERTICAL CONST CO   | E | WY1LAA | 1 | N9C422335 | BHL10342 | UC09B6 | 29-Apr-10 |
| NG  | ASHLAND          | WI | 829 VERTICAL CONST CO   | E | WY1LAA | 1 | N9C422336 | BHL10343 | UC09B7 | 29-Apr-10 |
| NG  | BURLINGTON       | IA | 831 HORIZONTAL CONST CO | E | WX7WAA | 1 | N8C422197 | BHL10204 | UC0955 | 28-Jan-10 |
| NG  | BURLINGTON       | IA | 831 HORIZONTAL CONST CO | E | WX7WAA | 1 | N8C422244 | BHL10251 | UC096J | 28-Jan-10 |
| NG  | SPEARFISH        | SD | 842 HORIZONTAL CONST CO | E | WX9QAA | 1 | N9C422419 | BHL10426 | UC09DN | 8-Apr-10  |
| NG  | SPEARFISH        | SD | 842 HORIZONTAL CONST CO | E | WX9QAA | 1 | N9C422425 | BHL10432 | UC09DU | 8-Apr-10  |
| NG  | CAMBRIDGE        | MN | 850 HORIZONTAL CONST CO | E | WX01AA | 1 | N9C422257 | BHL10264 | UC098Y | 29-Apr-10 |
| NG  | CAMBRIDGE        | MN | 850 HORIZONTAL CONST CO | E | WX01AA | 1 | N9C422258 | BHL10265 | UC098Z | 29-Apr-10 |
| NG  | LITTLE FALLS     | MN | 851 VERTICAL CONST CO   | E | WPM8AA | 1 | N9C422259 | BHL10266 | UC0990 | 29-Apr-10 |
| NG  | LITTLE FALLS     | MN | 851 VERTICAL CONST CO   | E | WPM8AA | 1 | N9C422260 | BHL10267 | UC0991 | 29-Apr-10 |
| NG  | LITTLE FALLS     | MN | 851 VERTICAL CONST CO   | E | WPM8AA | 1 | N9C422261 | BHL10268 | UC098K | 29-Apr-10 |
| NG  | LIVE OAK         | FL | 868 HORIZONTAL CONST CO | E | WPJ8AA | 1 | N9C422581 | BHL10588 | UC09T8 | 5-Aug-10  |
| NG  | LIVE OAK         | FL | 868 HORIZONTAL CONST CO | E | WPJ8AA | 1 | N9C422582 | BHL10589 | UC09T9 | 5-Aug-10  |
| NG  | STARKE           | FL | 869 VERTICAL CONST CO   | E | WPJGAA | 1 | N9C422573 | BHL10580 | UC09T0 | 5-Aug-10  |
| NG  | STARKE           | FL | 869 VERTICAL CONST CO   | E | WPJGAA | 1 | N9C422579 | BHL10586 | UC09T6 | 5-Aug-10  |
| NG  | STARKE           | FL | 869 VERTICAL CONST CO   | E | WPJGAA | 1 | N9C422580 | BHL10587 | UC09T7 | 5-Aug-10  |
| NG  | N. WILKESBORO    | NC | 875 HORIZONTAL CONST CO | E | WX0RAA | 1 | N9C422263 | BHL10270 | UC0992 | 28-Jan-10 |
| NG  | N. WILKESBORO    | NC | 875 HORIZONTAL CONST CO | E | WX0RAA | 1 | N9C422264 | BHL10271 | UC0993 | 28-Jan-10 |
| NG  | SWAINSBORO       | GA | 876 VERTICAL CONST CO   | E | WX03AA | 1 | N9C422531 | BHL10538 | UC09RS | 26-Aug-10 |
| NG  | SWAINSBORO       | GA | 876 VERTICAL CONST CO   | E | WX03AA | 1 | N9C422532 | BHL10539 | UC09RT | 26-Aug-10 |
| NG  | SWAINSBORO       | GA | 876 VERTICAL CONST CO   | E | WX03AA | 1 | N9C422533 | BHL10540 | UC09RU | 26-Aug-10 |
| NG  | FT GORDON        | GA | 877 HORIZONTAL CONST CO | E | WX02AA | 1 | N9C422527 | BHL10534 | UC09RN | 26-Aug-10 |
| NG  | FT GORDON        | GA | 877 HORIZONTAL CONST CO | E | WX02AA | 1 | N9C422557 | BHL10564 | UC09SJ | 26-Aug-10 |
| NG  | KINGS MTN        | NC | 878 VERTICAL CONST CO   | E | WX0SAA | 1 | N9C422268 | BHL10275 | UC0997 | 28-Jan-10 |
| NG  | KINGS MTN        | NC | 878 VERTICAL CONST CO   | E | WX0SAA | 1 | N9C422269 | BHL10276 | UC0998 | 28-Jan-10 |
| NG  | KINGS MTN        | NC | 878 VERTICAL CONST CO   | E | WX0SAA | 1 | N9C422270 | BHL10277 | UC0999 | 28-Jan-10 |
| NG  | MOCKSVILLE       | NC | 882 VERTICAL CONST CO   | E | WX0TAA | 1 | N9C422265 | BHL10272 | UC0994 | 28-Jan-10 |
| NG  | MOCKSVILLE       | NC | 882 VERTICAL CONST CO   | E | WX0TAA | 1 | N9C422266 | BHL10273 | UC0995 | 28-Jan-10 |
| NG  | MOCKSVILLE       | NC | 882 VERTICAL CONST CO   | E | WX0TAA | 1 | N9C422267 | BHL10274 | UC0996 | 28-Jan-10 |
| NG  | UNION CITY       | TN | 913 HORIZONTAL CONST CO | E | WX3KAA | 1 | N8C422222 | BHL10229 | UC095W | 21-Jan-10 |
| NG  | UNION CITY       | TN | 913 HORIZONTAL CONST CO | E | WX3KAA | 1 | N8C422225 | BHL10232 | UC095Z | 21-Jan-10 |
| NG  | ROSWELL          | NM | 920 HORIZONTAL CONST CO | E | WPLHAA | 1 | NAC532631 | BHL10625 | UC09X9 | 2-Sep-10  |
| NG  | ROSWELL          | NM | 920 HORIZONTAL CONST CO | E | WPLHAA | 1 | NAC532632 | BHL10626 | UC09XA | 2-Sep-10  |
| NG  | BATON ROUGE      | LA | 921 HORIZONTAL CONST CO | E | WY3DAA | 1 | N8C422113 | BHL10117 | UC092L | 22-Oct-09 |
| NG  | BATON ROUGE      | LA | 921 HORIZONTAL CONST CO | E | WY3DAA | 1 | N8C422114 | BHL10118 | UC092M | 22-Oct-09 |
| NG  | CP BEAUREGARD    | LA | 922 HORIZONTAL CONST CO | E | WY3EAA | 1 | N8C422115 | BHL10119 | UC092N | 22-Oct-09 |
| NG  | CP BEAUREGARD    | LA | 922 HORIZONTAL CONST CO | E | WY3EAA | 1 | N8C422116 | BHL10120 | UC092P | 22-Oct-09 |
| NG  | COLORADO SPRINGS | CO | 947 HORIZONTAL CONST CO | E | WPH5AA | 1 | N9C422293 | BHL10300 | UC099Y | 20-May-10 |
| NG  | COLORADO SPRINGS | CO | 947 HORIZONTAL CONST CO | E | WPH5AA | 1 | N9C422295 | BHL10302 | UC09A0 | 20-May-10 |
| NGB | NEW ORLEANS      | LA | 1020 VERTICAL CONST CO  | E | WX2CAA | 1 | N6C422020 | BHL10027 | UC08QS |           |
| NGB | NEW ORLEANS      | LA | 1020 VERTICAL CONST CO  | E | WX2CAA | 1 | N6C422021 | BHL10028 | UC08QT |           |
| NGB | NEW ORLEANS      | LA | 1020 VERTICAL CONST CO  | E | WX2CAA | 1 | N6C422022 | BHL10029 | UC08QU |           |
| NGB | NEW ORLEANS      | LA | 1021 VERTICAL CONST CO  | E | WX2BAA | 1 | N6C422023 | BHL10030 | UC08QV |           |
| NGB | NEW ORLEANS      | LA | 1021 VERTICAL CONST CO  | E | WX2BAA | 1 | N6C422024 | BHL10031 | UC08QW |           |
| NGB | NEW ORLEANS      | LA | 1021 VERTICAL CONST CO  | E | WX2BAA | 1 | N6C422025 | BHL10033 | UC08QX |           |
| NGB | NEW ORLEANS      | LA | 1022 VERTICAL CONST CO  | E | WX2AAA | 1 | N6C422026 | BHL10032 | UC08QY |           |
| NGB | NEW ORLEANS      | LA | 1022 VERTICAL CONST CO  | E | WX2AAA | 1 | N6C422027 | BHL10034 | UC08QZ |           |
| NGB | NEW ORLEANS      | LA | 1022 VERTICAL CONST CO  | E | WX2AAA | 1 | N6C422028 | BHL10035 | UC08R0 |           |
| NGB | NEW ORLEANS      | LA | 1023 VERTICAL CONST CO  | E | WX29AA | 1 | N6C422029 | BHL10036 | UC08R1 |           |
| NGB | NEW ORLEANS      | LA | 1023 VERTICAL CONST CO  | E | WX29AA | 1 | N6C422030 | BHL10037 | UC08R2 |           |
| NGB | NEW ORLEANS      | LA | 1023 VERTICAL CONST CO  | E | WX29AA | 1 | N6C422031 | BHL10038 | UC08R3 |           |
| NGB | CAMP SHELBY      | MS | 289 VERTICAL CONST CO   | E | WX1PAA | 1 | N6C422001 | BHL10008 | UC08Q7 |           |
| NGB | CAMP SHELBY      | MS | 289 VERTICAL CONST CO   | E | WX1PAA | 1 | N6C422002 | BHL10009 | UC08Q8 |           |
| NGB | CAMP SHELBY      | MS | 289 VERTICAL CONST CO   | E | WX1PAA | 1 | N6C422003 | BHL10010 | UC08Q9 |           |
| NGB | NEW ORLEANS      | LA | 843 HORIZONTAL CONST CO | E | WX2EAA | 1 | N6C422016 | BHL10023 | UC08QN |           |
| NGB | NEW ORLEANS      | LA | 843 HORIZONTAL CONST CO | E | WX2EAA | 1 | N6C422017 | BHL10024 | UC08QP |           |
| NGB | NEW ORLEANS      | LA | 844 HORIZONTAL CONST CO | E | WX2DAA | 1 | N6C422018 | BHL10025 | UC08QQ |           |



|     |             |    |                         |   |        |     |           |          |        |  |
|-----|-------------|----|-------------------------|---|--------|-----|-----------|----------|--------|--|
| NGB | NEW ORLEANS | LA | 844 HORIZONTAL CONST CO | E | WX2DAA | 1   | N6C422019 | BHL10026 | UC08QR |  |
| NGB | CAMP SHELBY | MS | 857 HORIZONTAL CONST CO | E | WX1VAA | 1   | N6C422004 | BHL10011 | UC08QA |  |
| NGB | CAMP SHELBY | MS | 857 HORIZONTAL CONST CO | E | WX1VAA | 1   | N6C422005 | BHL10012 | UC08QB |  |
| NGB | CAMP SHELBY | MS | 858 HORIZONTAL CONST CO | E | WX1UAA | 1   | N6C422006 | BHL10013 | UC08QC |  |
| NGB | CAMP SHELBY | MS | 858 HORIZONTAL CONST CO | E | WX1UAA | 1   | N6C422007 | BHL10014 | UC08QD |  |
| NGB | CAMP SHELBY | MS | 859 VERTICAL CONST CO   | E | WX7JAA | 1   | N6C422008 | BHL10015 | UC08QE |  |
| NGB | CAMP SHELBY | MS | 859 VERTICAL CONST CO   | E | WX7JAA | 1   | N6C422009 | BHL10016 | UC08QF |  |
| NGB | CAMP SHELBY | MS | 859 VERTICAL CONST CO   | E | WX7JAA | 1   | N6C422010 | BHL10017 | UC08QG |  |
|     |             |    |                         |   |        | 221 |           |          |        |  |

## APPENDIX C

### DISPOSITION, HMEE SYSTEMS

| G8 Fielding Date | QTY | COMPO | UNIT                                   | Type of BCT                       | UIC    | LOCATION       | STATE   |
|------------------|-----|-------|--|-----------------------------------|--------|----------------|---------|
| 3-Feb-10         | 2   | AC    | 911th ENG CO                           | Technical Rescue Engineer Company | W40RAA | FT BELVOIR     | VA      |
| 14-Mar-10        | 6   | AC    | ENG TROOP, ( 2 ACR-SBCT 2)             | SBCT                              | WBA4AA | GRAFENWEHR     | GERMANY |
| May-11           | 4   | AC    | 502 ENG CO (MRBC)                      | MRBC                              | WBBHAA | FT KNOX        | KY      |
| 18-Feb-10        | 4   | AC    | 74 ENG CO (MRBC)                       | MRBC                              | WBBUAA | FT HOOD        | TX      |
| 11-Jun-09        | 4   | AC    | 362 ENG CO (MRBC)                      | MRBC                              | WBBXAA | FT BENNING     | GA      |
| 16-Mar-10        | 6   | AC    | 535 ENGINEER SUPPORT COMPANY           | ESC                               | WBBZAA | GRAFENWOH      | GERMANY |
| 20-Sep-09        | 6   | AC    | 66 ENG CO (2/25 ID- SBCT 5)            | SBCT                              | WBDHAA | SCHOFIELD      | HI      |
| 3-Apr-09         | 6   | AC    | 82 ENGINEER SUPPORT COMPANY            | ESC                               | WBJ2AA | SCHOFIELD      | HI      |
| 10-Dec-09        | 6   | AC    | 642 ENGINEER SUPPORT COMPANY           | ESC                               | WD75AA | FT DRUM        | NY      |
| 15-Oct-10        | 6   | AC    | 73rd ENG CO 1/25th SBCT                | SBCT                              | WD77AA | FT WAINWRIGHT  | AK      |
| 18-Feb-10        | 3   | AC    | 43 ENG CO, ACR (3 ACR) (AVLBs)         | ACR                               | WDEGAA | FT HOOD        | TX      |
| 22-Oct-09        | 6   | AC    | 610 ENGINEER SUPPORT COMPANY           | ESC                               | WDXXAA | FT LEWIS       | WA      |
| 5-Feb-09         | 6   | AC    | 18 ENG CO (3/2 ID- SBCT 1)             | SBCT                              | WE0VAA | FT LEWIS       | WA      |
| 4-Aug-10         | 6   | AC    | 887 ENGINEER SUPPORT COMPANY           | ESC                               | WEWAAA | FT CAMPBELL    | KY      |
| 15-May-09        | 3   | AC    | EN CO BSTB (1/3ID)                     | HBCT                              | WJJJAA | FT STEWART     | GA      |
| 1-May-09         | 3   | AC    | EN CO BSTB (2/3ID)                     | HBCT                              | WJJKAA | FT STEWART     | GA      |
| 8-May-09         | 3   | AC    | EN CO BSTB (3/3ID)                     | HBCT                              | WJJLAA | FT BENNING     | GA      |
| 5-Jun-09         | 3   | AC    | EN CO, BSTB 4TH BCT/ 3RD ID (4/3ID)    | IBCT                              | WJJMAA | FT STEWART     | GA      |
| 6-Mar-09         | 4   | AC    | EN CO, BSTB 2ND BCT/ 101ST (AA)        | IBCT                              | WJJSAA | FT CAMPBELL    | KY      |
| 20-Mar-09        | 4   | AC    | EN CO, BSTB 3RD BCT/ 101ST (AA)        | IBCT                              | WJJTAA | FT CAMPBELL    | KY      |
| 9-Apr-10         | 4   | AC    | EN CO, BSTB 4TH BCT/ 101ST (AA)        | IBCT                              | WJJUAA | FT CAMPBELL    | KY      |
| 2-Dec-09         | 4   | AC    | EN CO, BSTB 1ST BCT/ 10TH MTN          | IBCT                              | WJJVAA | FT DRUM        | NY      |
| 2-Dec-09         | 4   | AC    | EN CO, BSTB 2ND BCT/ 10TH MTN          | IBCT                              | WJJWAA | FT DRUM        | NY      |
| 2-Dec-09         | 4   | AC    | EN CO, BSTB 3RD BCT/ 10TH MTN          | IBCT                              | WJJXAA | FT DRUM        | NY      |
| 17-Sep-10        | 3   | AC    | EN CO BSTB (2/1CD)                     | HBCT                              | WJK0AA | FT HOOD        | TX      |
| 17-Sep-10        | 3   | AC    | EN CO BSTB (3/1CD)                     | HBCT                              | WJK1AA | FT HOOD        | TX      |
| Oct-10           | 3   | AC    | EN CO BSTB (4/1AD)                     | HBCT                              | WJK2AA | FT BLISS       | TX      |
| 13-Jun-10        | 4   | AC    | EN CO, BSTB 4TH BCT/ 10TH MTN          | IBCT                              | WJKBAA | FT POLK        | LA      |
| 28-Oct-10        | 3   | AC    | EN CO BSTB (1/4ID)                     | HBCT                              | WJKGAA | FT CARSON      | CO      |
| 28-Oct-10        | 3   | AC    | EN CO BSTB (2/4ID)                     | HBCT                              | WJKHAA | FT CARSON      | CO      |
| 28-Oct-10        | 3   | AC    | EN CO BSTB (3/4ID)                     | HBCT                              | WJKKAA | FT CARSON      | CO      |
| 17-Feb-10        | 3   | AC    | EN CO BSTB (4/1CD)                     | HBCT                              | WJKLAA | FT HOOD        | TX      |
| 8-Jan-09         | 6   | AC    | 38 ENG CO (4/2 ID- SBCT 4)             | SBCT                              | WJKRAA | FT LEWIS       | WA      |
| 17-Sep-10        | 3   | AC    | EN CO BSTB (1/1CD)                     | HBCT                              | WJKZAA | FT HOOD        | TX      |
| 23-Jul-10        | 3   | AC    | EN CO BSTB (1/2ID)                     | HBCT                              | WJL4AA | KOREA          |         |
| 28-Oct-10        | 4   | AC    | EN CO, BSTB 4TH BCT/ 4TH ID ( 4/4 ID)  | IBCT                              | WJL6AA | FT CARSON      | CO      |
| 1-Oct-09         | 4   | AC    | EN CO, BSTB 3RD BCT/ 25TH ID (3/25 ID) | IBCT                              | WJLAAA | SCHOFIELD      | HI      |
| 2-Nov-10         | 4   | AC    | EN CO, BSTB 3RD BCT/ 1ST ID (3/1 ID)   | IBCT                              | WJLCAA | FT KNOX        | KY      |
| Nov-10           | 4   | AC    | EN CO, BSTB 4TH BCT/ 1ST ID (4/1 ID)   | IBCT                              | WJLEAA | FT RILEY       | KS      |
| Nov-10           | 3   | AC    | EN CO BSTB (1/1ID)                     | HBCT                              | WJM1AA | FT RILEY       | KS      |
| Nov-10           | 3   | AC    | EN CO BSTB (2/1ID)                     | HBCT                              | WJM5AA | FT RILEY       | KS      |
| 21-Sep-10        | 6   | AC    | 562 ENG CO (5/2 ID- SBCT 7)            | SBCT                              | WJMSAA | FT LEWIS       | WA      |
| 30-Jan-09        | 6   | AC    | 562 ENG CO (5/2 ID- SBCT 7)            | SBCT                              | WJMTAA | FT LEWIS       | WA      |
| Oct-10           | 4   | AC    | EN CO, BSTB 3RD BCT/ 1ST AD (3/1 AD)   | IBCT                              | WJTAA  | FT BLISS       | TX      |
| 11-Dec-08        | 6   | AC    | Engineer School                        |                                   |        | FT LEONARWOOD  | MO      |
| 13-Oct-10        | 4   | AR    | 459 ENG CO (MRBC)                      | MRBC                              | WQ0YAA | CLARKSBURG     | WV      |
| 14-Oct-10        | 6   | AR    | 712 ENGINEER SUPPORT COMPANY           | ESC                               | WRC7AA | YORK           | SC      |
| 21-Oct-10        | 6   | AR    | 380 ENGINEER SUPPORT COMPANY           | ESC                               | WRC8AA | GREENVILLE     | MS      |
| 13-Oct-10        | 4   | AR    | 299 ENG CO (MRBC)                      | MRBC                              | WV3QAA | FT BELVOIR     | VA      |
| 27-Sep-10        | 4   | AR    | 739 ENG CO (MRBC)                      | MRBC                              | WVH2AA | EAST ST LOUIS  | IL      |
| 7-Oct-10         | 4   | AR    | 652 ENG CO (MRBC)                      | MRBC                              | WVK2AA | ELLSWORTH      | WI      |
| 13-Oct-10        | 4   | AR    | 310 ENG CO (MRBC)                      | MRBC                              | WZ5DAA | FREDERICKSBURG | VA      |
| 11-Aug-09        | 6   | NG    | 856 ENG CO (56/28 ID-SBCT 6)           | SBCT                              | WP1VAA | PUNXSUTAWNEY   | PA      |
| 21-Sep-10        | 4   | NG    | 200 ENG CO (MRBC)                      | MRBC                              | WP78AA | CHAMBERLAIN    | SD      |
| May-11           | 3   | NG    | EN CO BSTB (30)                        | HBCT                              | WP7HAA | FAYETTEVILLE   | NC      |
| 19-Feb-09        | 4   | NG    | EN CO, BSTB 2/34TH IBCT (34TH ID)      | IBCT                              | WP8WAA | BOONE          | IA      |
| Nov-10           | 4   | NG    | 250 ENG CO (MRBC)                      | MRBC                              | WP8YAA | DANIELSON      | CT      |
| Jan-11           | 4   | NG    | EN CO, BSTB 48TH IBCT                  | IBCT                              | WP9ZAA | MACON          | GA      |
| 15-Oct-09        | 4   | NG    | EN CO, BSTB 29TH IBCT                  | IBCT                              | WPB5AA | HONOLULU       | HI      |

|           |   |    |                                 |      |        |                |    |
|-----------|---|----|---------------------------------|------|--------|----------------|----|
| Jul-11    | 4 | NG | EN CO, BSTB 27TH IBCT (42ND ID) | IBCT | WPBFAA | BUFFALO        | NY |
| Mar-11    | 4 | NG | 1041 ENG CO (MRBC)              | MRBC | WPEUAA | ROCK SPRINGS   | WY |
| 8-Jun-10  | 4 | NG | 125 ENG CO (MRBC)               | MRBC | WPUXAA | ABBEVILLE      | SC |
| Jul-11    | 6 | NG | 631 ENGINEER SUPPORT COMPANY    | ESC  | WX1LAA | LAWERENCEVILLE | IL |
| May-11    | 6 | NG | 180 ENGINEER SUPPORT COMPANY    | ESC  | WX2UAA | POWHATTEN      | VA |
| Jun-11    | 3 | NG | EN CO BSTB (155)                | HBCT | WX41AA | AMORY          | MS |
| Apr-11    | 3 | NG | EN CO (278 ACR)                 | ACR  | WX4XAA | COOKEVILLE     | TN |
| 10-Aug-10 | 4 | NG | EN CO, BSTB 76TH IBCT           | IBCT | WX5JAA | KOKOMO         | IN |
| May-11    | 3 | NG | EN CO BSTB (55/28)              | HBCT | WX71E0 | SCOTTSDALE     | PA |
| Jun-11    | 6 | NG | 1151 ENGINEER SUPPORT COMPANY   | ESC  | WY0KAA | ANNISTON       | AL |
| Feb-11    | 4 | NG | 892 ENG CO (MRBC)               | MRBC | WY5UAA | SALINAS        | PR |

296

## APPENDIX D WORKLOAD BREAKOUT

| Date   | Hours | Topic   | 222 | hours |
|--------|-------|---|-----|-------|
|        |       |   |     |       |
| 19-Dec | 2     | Worked Survey Draft   |     |       |
| 19-Dec | 1     | E-mail/phone exchange with PM   |     |       |
| 20-Dec | 3     | Worked Survey Draft   |     |       |
| 20-Dec | 2     | Email exchange with MSCoE. PM   |     |       |
| 21-Dec | 2     | Worked SRP  |     |       |
| 22-Dec | 3     | Worked Survey Draft   |     |       |
| 23-Dec | 2     | Survey  |     |       |
| 27-Dec | 1     | E-mail and survey feedback from MSCoE   |     |       |
| 28-Dec | 1     | E-mail  |     |       |
| 28-Dec | 1     | Survey  |     |       |
| 28-Dec | 3     | Worked SRP  |     |       |
| 29-Dec | 4     | Worked SRP  |     |       |
| 30-Dec | 4     | Worked SRP  |     |       |
| 5-Jan  | 3     | Worked sample size and e-mail coordination  |     |       |
| 6-Jan  | 2     | Worked unit POC and sample size, sent e-mails   |     |       |
| 9-Jan  | 3     | Started corrections to SRP shell  |     |       |
| 19-Jan | 2     | Worked unit POC and e-mails   |     |       |
| 20-Jan | 6     | Worked SRP, surveys and unit POCS   |     |       |
| 30-Jan | 5     | Converted survey to SurveyMonkey  |     |       |
| 31-Jan | 6     | Looked-up unit addresses, sent out first 7 companies  |     |       |
| 1-Feb  | 3     | Sent out e-mails to units   |     |       |
| 2-Feb  | 2     | Searched and e-mailed unit personnel  |     |       |
| 3-Feb  | 2     | Searched and e-mailed unit personnel  |     |       |
| 7-Feb  | 2     | Modified and added survey questions- worked RBG POC   |     |       |
| 8-Feb  | 1     | Worked RBG distribution and survey monkey   |     |       |
| 9-Feb  | 5     | Modified/added questions to survey, rewrote letter for RBG distributions, again tried to obtain the HMEE distro |     |       |
| 10-Feb | 5     | Sorted BHL and HMEE users by UIC and MOS, rewrote the survey cover e-mail                                       |     |       |
| 13-Feb | 4     | Built AKO e-mail groups to sort user survey distribution  |     |       |
| 14-Feb | 2     | Contacted AKO programmers   |     |       |
| 15-Feb | 1     | Checked e-mail and survey monkey  |     |       |
| 17-Feb | 3     | Worked data (UIC count), updated report   |     |       |
| 18-Feb | 1.5   | Worked paper context  |     |       |
| 20-Jan | 5     | contacted AKO programmers, worked paper content   |     |       |
| 21-Feb | 3     | Sent out e-mails on AKO to 12H, 12Z and 12X, cut surveys to annexes   |     |       |
| 22-Feb | 1     | Checked e-mail and survey monkey  |     |       |
| 23-Feb | 1     | E-mail and survey monitoring  |     |       |
| 24-Feb | 2     | E-mail and survey monitoring  |     |       |
| 26-Feb | 1     | E-mail and survey monitoring  |     |       |
| 27-Mar | 3     | Worked wrport shell   |     |       |
| 28-Mar | 3     | Contacted AKO rep and worked survey distribution  |     |       |
| 29-Mar | 2     | Rewrote Survey solicitation letter, Echecked E-mail   |     |       |
| 5-Mar  | 5     | Split AKO lists for 12Ns and emailed 12Ns, 12Bs, 12Hs   |     |       |
| 7-Mar  | 2     | E-mailed 91Bs   |     |       |
| 8-Mar  | 1     | Checked e-mails and survey responses  |     |       |
| 9-Mar  | 3     | Downloaded initial survey results   |     |       |

|  |        |     |   |  |  |
|--|--------|-----|---|--|--|
|  | 10-Mar | 7   | Responded to Soldier e-mails, worked report input   |  |  |
|  | 13-Mar | 4   | E-mailed 375 91L  |  |  |
|  | 15-Mar | 2   | Reviewed inputs to Survey Monkey  |  |  |
|  | 17-Mar | 1   | Reviewed inputs to Survey Monkey  |  |  |
|  | 18-Mar | 2   | Data review and archive   |  |  |
|  | 21-Mar | 7   | Downloaded data and scrubbed results  |  |  |
|  | 22-Mar | 2   | Sorted data   |  |  |
|  | 24-Mar | 1   | Checked survey responses  |  |  |
|  | 25-Mar | 1   | Harvested final operator responses  |  |  |
|  | 26-Mar | 7   | Closed data and recut population based on responses, updated MOSs, started input to Chapter 4 |  |  |
|  | 27-Mar | 6   | Data review and report input—demographics   |  |  |
|  | 28-Mar | 4   | Chart manipulation and report input   |  |  |
|  | 30-Mar | 4   | Chapter 4 report input  |  |  |
|  | 31-Mar | 3   | Chapter 4 report input  |  |  |
|  | 02-Apr | 11  | Chapter 4 report input/submission   |  |  |
|  | 04-Apr | 2   | Chapter 5 report input  |  |  |
|  | 05-Apr | 2   | Chapter 5 report input  |  |  |
|  | 06-Apr | 6.5 | Chapter 5 report input  |  |  |
|  | 07-Apr | 8   | Completed Chapter 5 and Acronym List  |  |  |
|  | 10-Apr | 3   | Comment inset   |  |  |
|  | 11-Apr | 6   | Comment insert  |  |  |
|  | 12-Apr | 3   | Final comments, TOC edit, Pagination  |  |  |
|  | 16-Apr | 3   | Coordinate parent organization staffing   |  |  |
|  | 20-Apr | 2   | Received and reviewed SED comments  |  |  |
|  | 24-Apr | 3   | Incorporated SED comments   |  |  |
|  | 02-May | 2   | Track staffing and security review  |  |  |